

# Application Procedures for Grants-in-Aid for Scientific Research - KAKENHI -

# FY2021

# Grant-in-Aid for Transformative Research Areas (A/B)

This English version is provided for convenience of prospective KAKENHI applicants who experience difficulty in reading the Japanese original, which should be referred to, in case of dispute.

November 24, 2020

The Ministry of Education, Culture, Sports, Science and Technology (MEXT)

# Introduction

This document describes the procedures and other matters relevant to the "Call for Proposals for the Grants-in-Aid for Scientific Research-KAKENHI- for FY2021" including the "Transformative Research Areas (A/B)."

The contents are:

- I Outline of the Grants-in-Aid for Scientific Research-KAKENHI-
- **II** Call for Proposals
- **III** Instructions for Prospective Applicants
- **IV** Instructions for Grant Recipients
- V Instructions for Administrative Staff of Research Institution
- VI Other Relevant Issues

"II Call for Proposals" provides for each of the Research Categories, such basic issues as the subjects in the research categories to be called, the range of envisaged total budget, a project period, etc. The schedule from the call for proposals, through the proposal submission and the review, to the grant delivery is also described.

The subsequent sections, "III Instructions for Prospective Applicants," "IV Instructions for Grant Recipients" and "V Instructions for Administrative Staff of Research Institution" describe conditions for application, required procedures, and other matters to be followed by the respective actors.

This Call for Proposals is announced prior to the finalization of the national budget for FY2021, so as to let prospective applicants proceed with an early preparation for the review and enable to commence their research activities as soon as possible. It is, therefore, to be reminded that, depending on the situation of the national budget enactment, details on the grant allocation and other matters may be subject to change at a later stage.

The major changes in the FY2021 Call for Proposals are listed on the following pages.

 Grants-in-Aid for Scientific Research is a competitive funding intended to provide financial support for creative and pioneering research conducted by individual researchers. Therefore, the contents of the Research Proposal Document must be original planned by the applicant.

In preparing Research Proposal Document, plagiarism and/or misappropriation of the research contents of others are strictly impermissible. Applicants must comply with research ethics.

- The research using the KAKENHI fund should be carried out by the researchers' own initiative and responsibility. Therefore, the implementation of a KAKENHI research project and publication of the research results are solely attributed to the researchers' responsibility and view, and do not reflect that of the funding sector nor of the government.
- To ensure the quality of scientific knowledge and to gain trust of society on scientists and scientific communities, it is essential to exercise fair and conscientious research activities with the adherence to the code of conduct for scientists. Applicants must understand and practice the contents of both the Statement "Code of Conduct for Scientists -Revised Version-" (section I. "Responsibilities of Scientists") by the Science Council of Japan and the booklet "For the Sound Development of Science The Attitude of a Conscientious Scientist -" (especially section I "What Is a Responsible Research Activity?") issued by the Japan Society for the Promotion of Science (JSPS).

# < Major Changes in the Call for Proposals for Fiscal Year 2021 >

(1) Improvement of the Competitive Research Fund System on the Basis of the "Comprehensive Package to Strengthen Research Capacity and Support Young Researchers"

OStarting from the FY2021 Call for Proposals, the cost of "buyout", *i.e.* someone taking over a part of the duties (other than research) of the Principal Investigator or Co-Investigator(s), can be covered by the direct expense of KAKENHI. This amendment is put into operation according to the "Adjustment Enabling Direct Expense of Competitive Research Funds to Cover the Costs of Assignments Other Than Research (Introduction of Buyout System)" (October 9, 2020, Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Research Funds). (See page 59)

OHaving started in April 2020, a young researcher employed with a KAKENHI grant is allowed to conduct his/her own research, under certain conditions, even within the assigned working hours of the KAKENHI project. This amendment has been put in operation according to the "Implementation Guidelines for Self-motivated Research Activities by Young Researchers Employed with Competitive Research Funds" (February 12, 2020, Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Research Funds). (See pages 29, 55 and 68)

(2) Call for Proposals for Transformative Research Areas (A) (Publicly Offered Research) Category

OThe FY2021 Call for Proposals for the Grant-in-Aid for Transformative Research Areas (A) (Publicly Offered Research) category is to be announced in January 2021.

(3) Request for Participation in the KAKENHI Peer-review Process

OIt is re-emphasized that positive acceptance of invitation to serve as KAKENHI reviewer is the responsibility of researchers. Supporting the peer-review system of KAKENHI by the whole body of researchers by appropriate sharing of the burden of proposal review is crucial in sustaining the scientific research. (See page 64)

# **Table of Contents**

I. Outline of the Grants-in-Aid for Scientific Research-KAKENHI-

- 1. Purpose and Character of Grants-in-Aid for Scientific Research -KAKENHI-
- 2. Research Categories
- 3. Role Sharing Between MEXT and JSPS

## 4. Rules Pertaining to KAKENHI

- (1) Three types of Rules Pertaining to KAKENHI
- (2) Appropriate Use of KAKENHI
- (3) The Distinction between KAKENHI (Series of Single-year Grants) and KAKENHI (Multiyear Fund)
- (4) Penalty for Non-submission of "Report on the Research Achievements"
- (5) Penalty for the Case of Infringement of Related Laws and Regulations

## 5. "Guidelines on the Proper Implementation of Competitive Funding," etc.

- (1) Elimination of Unreasonable Duplication and/or Excessive Overconcentration in the Grant Allocation
- (2) Dealing with "Improper Grant Spending," "Fraudulent Grant Acquisition" or "Research Misconduct"

## 6. Dissemination of Research Achievements Supported by KAKENHI

## 7. Code of Conduct for Scientists to Adhere

# II. Call for Proposals.....16

## 1. Research Categories for Which a Call for Proposals is Organized

## 2. Schedule from Application to Grant Delivery

- (1) Procedures that Need to be Completed Prior to the Deadline for the Submission of the Application Documents
- (2) Schedule after the Submission of the Application Documents (plan)

## 3. Details of the Research Category

- (1) Grant-in-Aid for Transformative Research Areas (A)
- (2) Grant-in-Aid for Transformative Research Areas (B)
- (3) Restrictions on Parallel Grant Application/Receipt etc.

# III. Instructions for Prospective Applicants......27

## 1. Procedures to be Completed Prior to Application

- (1) Ascertainment of the Eligibility for KAKENHI Application
- (2) Confirmation of the Researcher Information Registered in the e-Rad System
- (3) Obtainment of an ID and a Password for the Electronic Application System

## 2. Restrictions on Parallel Grant Application/Receipt

- (1) The Basic Policy for Restriction on Parallel Grant Application/Receipt
- (2) Restrictions on Parallel Grant Application/Receipt
- (3) Restrictions on Simultaneous Receipt of Grants
- (4) Important Notes

### Attached Table 1 Table of Restriction on Parallel Grant Application/Receipt

## 3. Preparation of the KAKENHI Application Form (Research Proposal Document), etc.

- (1) Revision of the Research Proposal Document
- (2) Verification of the Eligibility to Apply
  - (i) Case of Application for "Transformative Research Areas (A)"
    - Procedures that Need to be Completed in Application (Documents needed to be submitted in application)
    - Procedures that Need to be Completed after Selecting an Area Subject to Interview (Documents needed to be submitted after selecting an area subject to interview)
    - Date for Application
  - (ii) Case of Application for "Transformative Research Areas (B)"
    - Procedures that Need to be Completed in Application (Documents needed to be submitted in application)
    - Date for Application

(3) Important Checkpoints of the Research Proposal Document

## 4. Completion of Research Ethics Education Coursework, etc.

## 5. Registration of the Researcher Information in "researchmap"

6. Cooperation to Review

# 

1. Handling of Continued Research Projects Whose PI Fails to Submit the Report on the Research Achievements of his/her Other KAKENHI Project

## 2. Completion of Research Ethics Education Coursework, etc.

# V. Instructions for Administrative Staff of Research Institution

## 1. Sharing the Purpose and Aim of the KAKENHI System

## 2. Issues to Be Completed Beforehand by the "Research Institution"

- (1) Requirements as a "Research Institution" and Procedures for Designation and Change
- (2) Ascertainment of the Eligibility to Apply of the Affiliated Researcher
- (3) Confirmation of the Researcher Information Registered in the e-Rad System
- (4) Obtainment of an ID and a Password for the Researcher Belonging to the Research Institution
- (5) Submission of the "Self-Assessment Checklist on the Improvement of the System" Based on the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)"
- (6) Submission of the "Checklist Pertaining to the Current Status" Based on the "Guidelines

for Responding to Research Misconduct"

- (7) Implementation of a Research Ethics Education Coursework Based on the "Guidelines on Research Misconduct," etc.
- (8) On the Submission of the Report on the Research Achievements
- (9) Obtaining Sufficient Knowledge about the Contents of the Application Procedures

# **3.** Issues that Need to Be Verified When Compiling the Application Forms (Preparing the Research Proposal Document)

- (1) Ascertainment of the Eligibility for KAKENHI Application
- (2) Confirmation of the Researcher Information Registered in the e-Rad System
- (3) Verification with the Principal Investigator
- (4) The Process of the Participation of Co-Investigator in Project Members
- (5) Verification of the Application Forms

# 4. Submission and Other Matters of the Research Proposal Document (Preparing the Research Proposal Document)

- (i) Grant-in-Aid for Transformative Research Areas (A)
  - Procedures that Need to be Completed in Application (Documents needed to be submitted in application)
  - Procedures that Need to be Completed after Selecting an Area Subject to Interview (Documents needed to be submitted after selecting an area subject to interview)
  - Outline of the Electronic Application Procedures
- (ii) Grant-in-Aid for Transformative Research Areas (B)
- Procedures that Need to be Completed in Application (Documents needed to be submitted in application)
- Outline of the Electronic Application Procedures

# VI. Other Relevant Issues ......90

- **1.** Support through Grant-in-Aid for Scientific Research on Innovative Areas Platforms for Advanced Technologies and Research Resources
- 2. Promotion of the Shared Use of Research Equipment
- **3.** Promotion of the 'Dialogue on Science and Technology with Citizens' (A Basic Approach Policy)
- 4. Cooperation with the National Bioscience Database Center
- 5. Inter-University Bio-Backup Project
- 6. National BioResource Project
- 7. Security Export Control Policy (Coping with Technology Leakage Oversea)
- 8. Treatment Improvement for Students in Doctoral Course

Attached Table 2	Grants-in-Aid for Scientific Research-KAKENHI- "Revie	W
	Section Table"	)6

- (Reference 2) Procedures on the Handling of Grants-in-Aid for Scientific Research (Omitted)
- (Reference 3) Procedures on the Handling of JSPS Grants-in-Aid for Scientific Research (KAKENHI (Series of Single-year Grants)) (Omitted)

nquiries
----------

# References

The application forms (Research Proposal Document) and other application materials are contained in separate files. Please refer to "Supplementary edition to the Application Procedures for Grants-in-Aid for Scientific Research-KAKENHI- for FY2021 (Grant-in-Aid for Transformative Research Areas (A/B)) (Forms/Procedures for Preparing and Entering a Research Proposal Document)."

\* The application forms (Research Proposal Document) and other application materials can be downloaded from the MEXT website (cf. URL below).

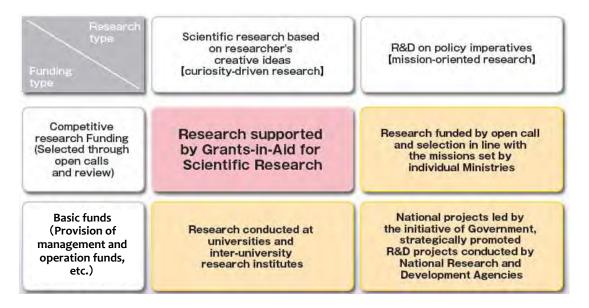
(URL) <u>https://www.mext.go.jp/a\_menu/shinkou/hojyo/boshu/1351544.htm</u>

# I. Outline of the Grants-in-Aid for Scientific Research-KAKENHI-

# 1. Purpose and Character of Grants-in-Aid for Scientific Research-KAKENHI-

Grants-in-Aid for Scientific Research (hereinafter referred to as "KAKENHI") are competitive funds that are intended to promote development of scientific research (based on original ideas of researchers), encompassing basic to applied researches in all fields ranging from humanities and social sciences to natural sciences. The grants provide financial support for creative and pioneering research projects that will become the foundation of social development. The research projects are selected by peer-review process.

# <The placement of "KAKENHI" in the policy on the promotion of science, technology and scientific research in Japan>



# 2. Research Categories

Different research categories of KAKENHI listed below are provided so as to meet the variety of the research content and budget scale.

✤ As of November 2020

Research categories	Purposes and description of each research category	
Grants-in-Aid for Scientific Research		
Grant-in-Aid for Specially Promoted Research	Outstanding and distinctive research conducted by one or a relatively small number of researchers expected to achieve remarkably excellent research results that opens up a new scientific field. The research period is 3 to 5 years. (In a truly necessary case, period up to 7 years is acceptable.) The budget ranges from 200 million to 500 million yen per project (Only in a truly necessary case, budget exceeding 500 million yen is asked for.).	SG
Grant-in-Aid for Scientific Research on Innovative Areas (Research in a Proposed Research Area)	This category is intended to foster novel research areas proposed by diverse groups of researchers that are expected to lead to development and heightening of Japan's research level in the respective fields, to be conducted by collective research efforts through collaboration, scholarly training, shared use of equipment, etc. The period is 5 years. The budget range is generally set between 10 million to 300 million yen per fiscal year per proposed area. [A call for proposals for "Publicly Offered Research" in the on-going research areas	SG

	only is put out in FY2020 and beyond.]		
Grant-in-Aid for Transformative Research Area	<ul> <li>(A) Research areas proposed through co-creative and interdisciplinary efforts of diverse researchers, which aim to create research areas that will lead the way to radical transformation of and change in the existing framework and/or direction of research as well as upgrade and level-up of scientific research in Japan and nurturing young researchers, and will contribute to the development of the proposed research areas through efforts for joint research and shared use of equipment, etc. (5 years; more than 50 million yen and up to 300 million yen per fiscal year per research area (In a truly necessary case, a budget exceeding 300 million yen may be requested.))</li> <li>(B) Research areas proposed by compact groups of researchers who will be bearers of the next generation of research areas that will lead the way to radical transformation of and change in the existing framework and/or direction of research as well as upgrade and level-up of scientific research in Japan through more challenging and exploratory research, and expected to lead to the Transformative Research Areas (A) in the future. (3 years; 50 million yen or less per fiscal year per research area)</li> </ul>	SG	
Grant-in-Aid for Scientific Research	<ul> <li>(S): Creative/pioneering research conducted by one or a relatively small number of researchers.</li> <li>5 years (in principle) 50 million to 200 million yen</li> </ul>	(S)	
	<ul> <li>(A), (B), (C): Creative/pioneering research conducted by one researcher or jointly by multiple researchers.</li> <li>(A) 3 to 5 years; 20 million to 50 million yen</li> </ul>	(A)	SG
	<ul><li>(B) 3 to 5 years: 5 million to 20 million yen</li><li>(C) 3 to 5 years; 5 million yen or less</li></ul>	(B)	
		(C)	MF
Grant-in-Aid for Challenging Research (Pioneering/Exploratory)	Research conducted by a single or multiple researchers that aims at radically transforming the existing research framework and/or changing the research direction and has a potential of rapid development. The scope of the (Exploratory) category encompasses research proposals that are highly exploratory and/or are in their budding stages. (Pioneering) 3 to 6 years; 5 million to 20 million yen (Exploratory) 2 to 3 years; 5 million yen or less	MF	
Grant-in-Aid for Early- Career Scientists	Research conducted by an individual researcher (*2) who is less than 8 years after Ph.D. acquisition. 2 to 5 years; 5 million yen or less	MF	
Grant-in-Aid for Research Activity Start- up	Research conducted by a single researcher who has been freshly appointed to a research position, or who has returned from his/her maternity, childcare or other kinds of leave. Up to2 years; Up to 1.5 million per fiscal year		
Grant-in-Aid for Encouragement of Scientists	Research conducted by an individual who is ineligible for application for other KAKENHI categories (e.g., individuals who belong to educational or research institutions, private companies, etc. and engage in the researches to contribute to the promotion of the science). 1 year; 100 thousand to 1 million yen	arch	
Grant-in-Aid for Special Purposes	Research projects of pressing urgency and importance.	Μ	IF
Frant-in-Aid for Publicatio Publication of Research Results Enhancement of International Dissemination of Information Scientific Literature	n of Scientific Research Results Subsidy for publication and/or international dissemination of research achievements of high academic values executed by academic associations and other organizations. Subsidy for efforts by academic societies and other scholarly organizations to strengthen international dissemination of academic information for the purpose of international academic exchange. Subsidy for academic publication of research results (books) authored by an individual or a group of researchers.	ations to urpose of SG	
Databases	Subsidy for creation and operation of a database open to public use by an individual or a group of researchers.		

Grant-in-Aid for JSPS Fellows	Funding period is up to 3 years for research conducted by JSPS Fellows (including Foreign JSPS Fellows). As for Cross-border Postdoctoral Fellowship (CDP) the period is up to 5 years	SG
Fund for the Promotion of . Fostering Joint International Research	<ul> <li>Joint International Research</li> <li>(A) Support of joint international research project conducted by a KAKENHI grantee in collaboration with researcher(s) at a foreign university or a research institution over a period of 6 to 12 months. The grant seeks to markedly advance research plans for the root research project and to foster independent researchers who can be internationally competitive. (The budget is up to 12 million yen.) [The category name is changed from FY2018 call for proposals.]</li> <li>(B) Support of joint international research project conducted by multiple domestic researchers and a researcher who belongs to overseas research institution. In addition to the development of scientific research, the grant seeks to build out infrastructure of joint international research or further strengthen joint</li> </ul>	
International Activities Supporting Group Home-Returning Researcher Development Research	international research and to foster researchers who can be internationally competitive. (The period is 3 to 6 years. The budget is up to 20 million yen.) Support of international activities within Scientific Research on Innovative Areas. (Set period of the Area, up to 15 million yen per fiscal year) [After FY2018 call for proposals "International Activities Supporting Group" has been incorporated into "Grant-in-Aid for Scientific Research on Innovative Areas "Administrative Group." (It continued until the FY2019 call for proposals.)] Support of research to be conducted by a Japanese researcher with current affiliation abroad who is to be newly appointed at university or research institution in Japan. (The period is up to 3 years. The budget is up to 50 million yen.)	MF

\*1 SG: Series of Single-year Grants, MF: Multi-year Fund

\*2 Individuals who are in the prospect of acquiring Ph.D. are also eligible. When counting the years after Ph.D. acquisition, the period of maternity leave and childcare leave can be excluded.

# **3. Role Sharing Between MEXT and JSPS**

Up to FY 1998, all aspects of KAKENHI funding were handled by the Ministry of Education (the predecessor of MEXT). From FY1999 on, these tasks have been gradually transferred to JSPS. The current role-sharing between MEXT and JSPS is as shown below.

✤ As of November 2020

		Grant delivery
	Call for proposals, Review	Notifications of unofficial decision Reception of the application form
Research category	Preparation of the document(s) for procedures, Reception of proposal submission	(after unofficial decision) and other documents for the relevant procedures. Notification of grant decision
Scientific Research on Innovative Areas,		
Transformative Research Areas,		
Special Purposes,	MEXT	JSPS
Fund for the Promotion of Joint International	MILAI	JSF 5
Research (International Activities		
Supporting Group)		
Specially Promoted Research,		
Scientific Research,		
Challenging Exploratory Research,		
Challenging Research,		
Early-Career Scientists,		
Research Activity Start-up,		
Encouragement of Scientists,	JSPS	JSPS
Publication of Scientific Research Results,	0515	0015
JSPS Research Fellow,		
Fund for the Promotion of Joint International		
Research (Fostering Joint International		
Research, Home-Returning Researcher		
Development Research),		
Generative Research Fields		

# 4. Rules Pertaining to KAKENHI

<u>KAKENHI</u> (Series of Single-year Grants) are governed by the "Law on Optimizing Implementation of Budgets Relating to Subsidies" (Law No. 179, 1955), the "Procedures on the Handling of Grants-in-Aid for Scientific Research" (Public Notice of MEXT), the "Procedures on the Handling of JSPS Grants-in-Aid for Scientific Research" (KAKENHI (Series of Single-year Grants)) (Regulations No. 17, 2003), and other rules.

<u>KAKENHI (Multi-year Fund)</u> are governed by the application with modifications of the "Law on Optimizing Implementation of Budgets Relating to Subsidies" (Law No. 179, 1955) and the application of the "Basic Policy on the Management of the KAKENHI (Multi-year Fund) (Decision by the Minister of Education, Culture, Sports, Science and Technology)", the "Procedures on the Handling of JSPS Grants-in-Aid for Scientific Research (KAKENHI (Multi-year Fund))" (Rule No. 19, 2011) and other rules.

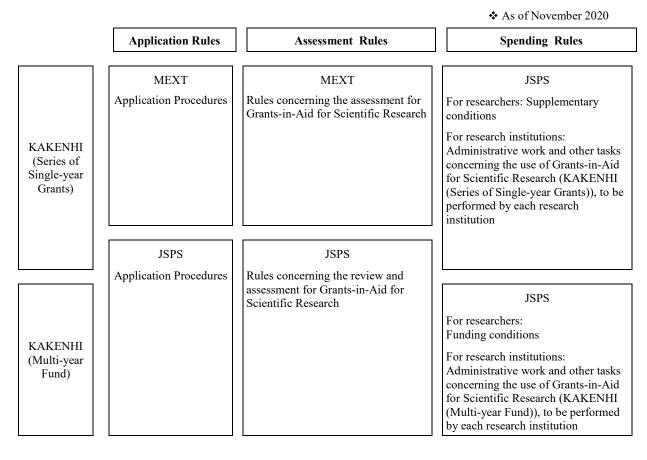
### (1) Three Types of Rules Pertaining to KAKENHI

The following three sets of rules pertain to various aspects of KAKENHI.

- i) Application Rules: rules concerning the submission of research proposals
- ii) Assessment Rules: rules concerning the pre-assessment (review) of applications, and rules concerning the interim, and other progress assessment of granted projects.
- iii) Spending Rules: rules concerning the use of KAKENHI

These three sets of rules apply as follows.

### [Grants-in-Aid for Scientific Research]



### (2) Appropriate Use of KAKENHI

KAKENHI are funded by the tax of citizens and other sources, so please ensure that the KAKENHI is used efficiently and effectively, for example through planning for the communal use of purchased items.

Researchers receiving the KAKENHI have a duty to comply with the related laws, regulations and spending rules by researchers (supplementary conditions or funding conditions), and also to use such grants appropriately. To facilitate the appropriate use of KAKENHI, research institutions to which the researchers belong are responsible for the management of KAKENHI. The Administrative work that each research institution is required to carry out (rules for use for institutions) is determined by JSPS. The research institutions are responsible for the appropriate accounting of KAKENHI. It is desirable, for example, to set up an accounting system for proper management of KAKENHI budget and expenditure, purchase order and delivery inspection, and internal auditing. To prevent improper business transactions, it is important, in addition to appropriate delivery inspections, to make all traders thoroughly informed of the KAKENHI rules and thus obtain cooperation of traders in the prevention of this kind of fraudulent accounting. Research institutions should take rigorous measures so as to eliminate business malpractice.

KAKENHI applicants and their research institutions must have full understanding of the KAKENHI rules prior to the submission of their research proposals.

# (3) The Distinction between KAKENHI (Series of Single-year Grants) and KAKENHI (Multiyear Fund)

<u>A research project submitted to the categories of KAKENHI (Series of Single-year Grants), if</u> <u>adopted</u>, is granted as a package plan for the multi-year research period. The actual funding, however, is made on the single-year basis for each fiscal year of the research period. Therefore, this type of KAKENHI cannot be used to cover the expenditures in fiscal years other than the respective grant year.

When it is anticipated that spending of the grant cannot be completed within the fiscal year, owing to reason(s) unforeseeable at the time of grant delivery, the grant can be carried over to the next fiscal year after going through the due procedure. Firstly a Principal Investigator submits an application for carry-forward of grant through his/her affiliated research institution to JSPS. After reviewing it by JSPS and MEXT, the Minister of MEXT makes a request to the Minister of Finance for the carry-forward of grant to obtain his/her approval.

<u>On the other hand, the KAKENHI (Multi-year Fund) is</u> handled as single funding for the whole research period. Therefore, it is possible to use the grant to cover the expenditures extending over fiscal year boundaries.

Moreover, if an amount of grant remains unused by the end of a fiscal year, it can be carried over to the successive fiscal year(s) as long as they are within the overall research period, without going through prior authorization procedures. In case such a grant carry-over becomes necessary in the final year of the research period, the grantee may choose to request an official approval of one-year extension of the research period.

### (4) Penalty for Non-submission of "Report on the Research Achievements"

i) The "Report on the Research Achievements" plays the important role in making the achievements of the research funded by the KAKENHI widely known to the public, and thereby returning the outcome of KAKENHI supported by citizens' tax, to the society.

The contents of the "Report on the Research Achievements" submitted by KAKENHI grantees are compiled and made available to the public on the "Grants-in-Aid for Scientific Research Database" (KAKEN) of the National Institute of Informatics and other platforms. "Report on the Research Achievements" should be submitted via the research institution to which the KAKENHI grantees belong.

ii) No KAKENHI grant will be awarded to a researcher who failed to submit the "Report on the Research Achievements" at the end of his/her research period without any justifiable reason. If such a non-compliance case is uncovered, the decision of grant award to the researcher in question may be cancelled, the on-going grant may be suspended, and return of the delivered grant may be ordered. In addition, relevant information, such as the name of the research institution to which the researcher in question belongs, may be made public.

Furthermore, if researchers have failed to submit the scheduled report on the research

achievements without justifiable reason, then execution of other KAKENHI implemented in the same fiscal year will be suspended. Therefore, it is the responsibility of the representative of the research institution to ensure that the report on the research achievements is submitted without fail.

#### (5) Penalty for the Case of Infringement of Related Laws and Regulations

If there have been serious falsehoods in the application documents, or violation of relevant laws, regulations and guidelines, the delivery of KAKENHI may be suspended or cancelled.

### 5. "Guidelines on the Proper Implementation of Competitive Funding," etc.

The "Guidelines on the Proper Implementation of Competitive Funding" (Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Research Funds, September 9, 2005; revised June 22, 2017) states common understandings among the research-related ministries and offices in regard to allocation of competitive research funds, in terms of elimination of such inappropriate practices as unreasonable duplication and/or excessive overconcentration in the grant allocation, fraudulent acquisition and/or unlawful use of grants, and misconducts in research activities. The implementation of the KAKENHI system as well as other competitive funding scheme follows the above-mentioned "Guidelines" and other related rules. Applicants are urged to take special notice of the following points.

# (1) Elimination of Unreasonable Duplication and/or Excessive Overconcentration in the Grant Allocation

 i) Towards elimination of "Unreasonable Duplication and/or Excessive Overconcentration" (\*) of competitive funds, relevant information on funding applications are shared among the pertinent ministries and funding agencies, making use of the Cross-ministerial Research and Development management system (e-Rad).

Therefore, applicants, when submitting more than one KAKENHI applications and/or other competitive grants, are urged to prepare their application documents with due care to clearly state the differences between the project to be submitted and their other projects so as to make it clear that they do not constitute unreasonable duplication.

In case a particular KAKENHI application is recognized as constituting a case of unreasonable duplication and/or excessive overconcentration, that application may not be granted.

 ii) Untruthful statement or misrepresentation of the status of applications and acquisitions of other KAKENHI grants and other competitive funds in the application form, may result in cancellation of grant or reduction of the research budget. (\*) Elimination of Unreasonable Duplication and Excessive Overconcentration in Grant Allocation

"Guidelines on the Proper Implementation of Competitive Funding" -Extract-

(Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Research Funds, September 9, 2005; revised June 22, 2017)

2. Elimination of Unreasonable Duplication and/or Excessive Overconcentration in the Grant Allocation

(1) Basic Policy of the Unreasonable Reduplication and Excessive Overconcentration

- i) In the "Guidelines", "Unreasonable Duplication" refers to a situation in which more than one competitive funds are unnecessarily and duplicative allotted to one and the same research project by one and the same researcher. Either of the following cases falls under "Unreasonable Duplication."
  - OCases where simultaneous applications have been made to more than one competitive funds for substantially the same research project, and where these research projects are redundantly adopted.
  - OCases where an application has been made again for substantively the same research project as another project that has already been adopted, and for which the allotment of competitive funding has already been completed.
  - OCases where there is duplication in the use of research funds among more than one research projects.

OOther cases corresponding to those above.

ii) In these guidelines, "Excessive Concentration" is a situation in which the entire research funds that are allotted to one and the same researcher or research group (hereinafter referred to as "researcher, etc.") in the fiscal year in question exceeds the limit within which they can be used effectively and efficiently, and in which the research funds cannot be used within the research period. Either of the following cases falls under "Excessive **Concentration.**"

OCases where, in the light of the abilities of the researcher, etc. and the research methods, etc., excessive research funds are allotted.

OCases where, in comparison with the effort (the time allocation rate (%) of time necessary for the implementation of the research activities with the entire working time of researcher) that is being allotted to the research project in question, excessive research funds are allotted.

OCases where the purchase of unnecessarily expensive equipment is carried out. OOther cases corresponding to the cases mentioned above.

# (2) Dealing with "Improper Grant Spending," "Fraudulent Grant Acquisition" or "Research

### Misconduct"

- O "Improper Grant Spending," "Fraudulent Grant Acquisition" and "Research Misconduct" refer to the following type of acts respectively.
  - "Improper Grant Spending":

Use of funds for other purposes, intentionally or by gross negligence, for example, by conducting fictitious business transactions ("azukekin") with a trader through fictitious order placements, or by charging costs higher than actually needed for personnel, travel expenses, etc., or use of funds in violation of the content of the funding decision or the conditions it implies.

• "Fraudulent Grant Acquisition":

Receiving funds by deception or other fraudulent means, for example, by applying under the name of another researcher, or by making false entries in application documents.

• "Research Misconduct":

Fabrication, falsification, or plagiarism of data, information, or findings published research achievements based on the intent of the researcher, or the failing of the researcher to fulfill the basic duty of care that he/she has.

(i) No KAKENHI will be offered, for a fixed period of time, when a researcher or related party has committed an improper grant spending of KAKENHI, has committed a fraudulent grant acquisition of KAKENHI, or has committed a research misconduct. Moreover, for research projects for which it is established that an improper grant spending of grants, a fraudulent grant acquisition of grants or research misconduct has been committed, the researcher in question may be required to return the given KAKENHI completely or partially.

Moreover, an outline of the improper grant spending of KAKENHI, the fraudulent grant acquisition of KAKENHI, and/or the research misconduct in question of the researcher who falls in those categories (containing an outline of the outcome of the investigation in the research institution, the names of the people involved, the name of the system, the institution they belong to, the research project, the budget, the fiscal year of the research, the fraudulent content, details of the measures taken, etc.) will be made public.

Also researchers who have committed improper grant spending or fraudulent grant acquisition of competitive funding other than the KAKENHI (including funds under the jurisdiction of other Offices and Ministries), etc., and/or has committed research misconduct by means of these competitive funds, and therefore are excluded from receiving these funds in question for a certain period of time, will not receive the KAKENHI for the same period of time.

Note: This applies to those schemes newly starting a call for proposals in FY2021 (and onward) for "competitive funding other than KAKENHI, etc. (including funds under the jurisdiction of other Offices and Ministries)" as well. It also applies to those schemes that ended before FY2020. Refer to the website below for the schemes to which this specifically applies at present. URL: https://www8.cao.go.jp/cstp/compefund/kyoukin r1-2.pdf

### OPeriod of KAKENHI suspension

Researcher categories	Extent of the improper grant spending		Period of KAKENHI suspension
I. Researchers who committed improper grant spending of KAKENHI and researchers who conspired in such acts	1. Misappropriation of KAKENHI for personal gain		10 years
II. Researchers who committed		(i) Cases of major seriousness and maliciousness	5 years
improper grant spending of KAKENHI and researchers	2. Other than 1.	(ii) Cases other than (i) and (iii)	2 to 4 years
who conspired in such acts		(iii) Cases of minor seriousness and maliciousness	1 year
III. Researchers who acquired KAKENHI by deception or other fraudulent means and researchers who conspired in such acts	_		5 years
IV. Researchers who were not directly involved in the improper grant spending of KAKENHI, but failed to exercise due care.	_		The upper limit is 2 years and the lower limit is 1 year depending on the degree of the breach of duty by the researchers who have the duty of care as a good manager.

### [Improper Grant Spending and Fraudulent Grant Acquisition of KAKENHI]

For cases judged as subcritical to the punitive suspension measures, sharp reprimand is administered to the individual(s) concerned.

The following cases are pertinent to the "sharp reprimand" penalty.

- 1. Among the case II above, the researchers in case that the influence on society and the maliciousness of their conducts are judged to be insignificant and the amount of money involved is small.
- 2. Among the case IV above, the researchers in case that the influence on society and the maliciousness of their conducts are judged to be insignificant.

### [Research Misconduct]

	Individual Involvement in the Misconducts		Negative Impacts on Science and on Public at Large Degree of Maliciousness	Period of KAKENHI Suspension
Sub		malicious individual(s) who, for ex ng of the research	ample, had intention of research misconduct from the	10 years
ject of Rese	(b) Author(s) of paper(s), etc. related to the paper(s) in question		Cases where it is judged that the impact on the progress of the science in the field in question and the social impact are major, or the level of maliciousness involved in the acts is high	5 to 7 years
earch Misco	research in which author or other authors bea	author or other authors bearing equivalent responsibilities)	Cases where it is judged that the impact on the progress of the science in the field in question and the social impact are minor, or the level of maliciousness involved in the acts is low	3 to 5 years
(s) have been identified (other than (a) above)	Author(s) of the paper(s) in question other than the responsible author(s) described above		2 to 3 years	
	authors of the	s) involved who are not the e research paper(s) for which conduct(s) are identified.		2 to 3 years
Responsible author(s) of paper(s), (corresponding author, lead author or other authors bearing equivalent responsibilities) for which research misconduct(s) are		other authors bearing equivalent	Cases where it is judged that the impact on the progress of the science in the field in question and the social impact are major, or the level of maliciousness involved in the acts is high	2 to 3 years
ident	identified, but not involved in the alleged research misconduct		Cases where it is judged that the impact on the progress of the science in the field in question and the social impact are low, or the degree of severity of the acts is low	1 to 2 years

\* In cases where specific issues for extenuation such as voluntary withdrawal of the paper in question may be taken into account, the suspension period can be shortened as judged fit.

- (ii) The relevant information of each research misconduct case may be provided to the offices of the research funding agencies (including Incorporated Administrative Agencies) under the jurisdiction of the relevant Office and Ministries and the Ministry of Education, Culture, Sports, Science and Technology. Thereby the penalized researcher may be also subject to restriction in application of and/or participation to research projects in other competitive funds other than KAKENHI.
  - Note: "Application and/or participation" means proposing new research projects, applying, responding to call for proposals, newly participating to research as a person involved in collective research, etc. and participating as a Principal Investigator or a person involved in collective research, etc. in research projects in progress (continued research projects).
- (iii) Research institutions are required to comply with the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards) (revised in February 18, 2014), Ordered by the Minister of Education, Culture, Sports, Science and Technology" and the "Guidelines for Responding to Research Misconduct (adopted August 26, 2014 by MEXT)." Therefore, research institutions should pay adequate attention to these two sets of Guidelines when researchers implement their research activities.

In case where the status of the system improvement in line with these guidelines is recognized inadequate based on the survey results, the measures such as the reduction in indirect cost of all

kinds of grants disbursed by MEXT or the Incorporated Administrative Agencies under the control of MEXT to the research institution(s) in question can be taken.

O "Guidelines on the Management and Audit of Public Research Funds at Research Institutions"

URL: https://www.mext.go.jp/a\_menu/kansa/houkoku/1343904.htm

○ "Guidelines for Responding to Research Misconduct"

URL: https://www.mext.go.jp/a\_menu/jinzai/fusei/index.htm

Note: Examples of improper grant spending, fraudulent grant acquisition and research misconduct of KAKENHI.

**O** Improper grant spending

- Someone instructed a trader to forge fictitious transaction pretending to have purchased expendables, made the university pay a KAKENHI for them, and then instructed the trader to keep the money as deposit for future use.
- Someone instructed a trader to forge a fictitious transaction, obtaining a false invoice which carries item names different from those actually ordered and delivered, and then made the university pay a KAKENHI for them.
- Someone instructed his/her students to submit false work attendance sheets, made the university pay a KAKENHI for them, and then kept the money as a pooled fund of his/her lab.
- Someone visited destination not listed on the oversea travel itinerary, in order to have a meeting on cooperative research unrelated to the purpose of the KAKENHI research project.
- (Note) The expenditure of the KAKENHI for fictitious and other transactions, like the ones mentioned in the case examples above, are all considered "misappropriation or misuse," even if the expenditure was intended for the purpose of conducting the KAKENHI research project.

**O** Fraudulent grant acquisition

- A researcher ineligible for the KAKENHI funding made application and acquired a KAKENHI grant.
- O Research misconduct
- Someone manipulated or forged experimental data or figures in a research paper published as an achievement of the research supported by a KAKENHI.
- Someone published books of his/her achievement with KAKENHI which contained an article translated from an original English research paper with no prior consent from the author(s) nor proper quotation statement.

## 6. Dissemination of Research Achievements Supported by KAKENHI

KAKENHI research achievements are made available to other researchers and to the general public, through posting of the "Research Outline" and the "Report on the Research Achievements" on the Grants-in-Aid for Scientific Research Database (KAKEN) operated by the National Institute of Informatics.

To promote dissemination of research achievements, the KAKENHI can be used to cover such outreach-related expenses as preparation of website or printing of pamphlets. The KAKENHI grantees are urged to actively pursue public promotion of their research achievements through the aid of KAKENHI so as to make them widely known to the public at large.

In this connection, the KAKENHI grantees are encouraged to participate in the "HIRAMEKI  $\stackrel{}{\sim}$  TOKIMEKI SCIENCE" program, in which the latest science developments are presented to elementary, junior high and high school students in an easy-to-understand style.

In addition, please take note of the following issues as well.

### (1) The acknowledgement for KAKENHI grant in research publications

When publishing research achievements of the KAKENHI project, researchers should be sure to express that the project has been supported by the KAKENHI grant, by stating in the "Acknowledgment" section of the paper the "JSPS KAKENHI Grant Number JP8 digits" in the case of English publication or "JSPS 科研費 JP8 桁の課題番号" in the case of Japanese publication.

### (Example)

【English】This work was supported by JSPS KAKENHI Grant Number JP12K34567. 【Japan】本研究は JSPS 科研費 JP12K34567 の助成を受けたものです。

### (2) The implementation of the fair and conscientious research activities

The research using the KAKENHI should be carried out based on researcher's own self-awareness and responsibility. Therefore the publication on the implementation of the research or research achievements, etc. should not come from the government request and the views and responsibilities on the research achievements should be attributed to the researchers themselves.

On the occasion such as researchers release the research achievements using the KAKENHI broadly to the public, the examples of the indication noting that the research achievements are based on the personal views are given below.

### $\langle Example \rangle$

[English] Any opinions, findings, and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of the author's(s') organization, JSPS nor MEXT.

【Japan】本研究の成果は著者自らの見解等に基づくものであり、所属研究機関、資金 配分機関及び国の見解等を反映するものではありません。

### (3) Promotion of "Open Access" to the research papers supported by KAKENHI grants

The Japan Society for the Promotion of Science (JSPS) endorses general policy of promotion of open access of publications of research results funded by public grants including KAKENHI. Note that open access is not mandatory if there are justifiable reasons for deferral such as copyright-related issues, or insufficient repository infrastructure at the research institution.

OThe open access implementation policy of JSPS is given on the following webpage: URL: <u>https://www.jsps.go.jp/data/Open\_access.pdf</u>

[Reference 1: What is "Open Access"]

"Open Access" refers to the idea that research papers published in peer-reviewed journals, etc. should be made freely accessible by anyone on line.

### [Reference 2: Different Routes to Open Access]

There are three main ways of open access implementation ((i) to (iii) below).

(i) A way in which the article published in the conventional subscription fee type academic journal after a certain period (Embargo)(\*1) (for example 6 months later) is made open access by opening the final manuscript to an Institutional Repository(\*2) established by the research institution to which the author belongs, or by opening the final manuscript to the website, etc. established by the researchers (self-archiving)(\*3).

- (ii) A way to make the article open access by posting the article on the web established by the research community or public institution.
- (iii) A way to make the article open access immediately by paying the publication fee (APC: Article Processing Charge) by the author of the article.

#### \*1: Embargo

The predetermined period from the time of publication of an article in an academic journal to the time of release so that it can be posted on an online open access archiving system (repository).

\*2: Institutional Repository

An online archiving system created by university or research institution for storage and dissemination of the intellectual products. Institutional repositories play important roles in the reform of academic information distribution by enabling the researchers register their own articles, such as the transmission of research and education achievements of the research institution, PR for both the research institution and the researcher, guaranteeing the accountability of research and education activities towards society, and the long-term conservation of intellectual products.

#### \*3: Self-archiving

"Self-archiving" refers to online posting of articles published in academic journals, dissertations, or data by those other than the publisher (the researcher or research institution) generally on their institutional repositories.

## 7. Code of Conduct for Scientists to Adhere

To ensure the quality of scientific knowledge and to gain trust of society on scientists and scientific communities, it is essential to exercise fair and conscientious research activities with the adherence to the code of conduct for scientists. Applicants must understand and practice the contents of both the Statement "Code of Conduct for Scientists -Revised Version-" (section I. "Responsibilities of Scientists") by the Science Council of Japan and the booklet "For the Sound Development of Science -The Attitude of a Conscientious Scientist-" (especially section I "What Is a Responsible Research Activity?") issued by the Japan Society for the Promotion of Science (JSPS).

And also take note that upon the formal application for grant delivery, it shall be confirmed through the electronic application system whether the Principal Investigator and Co-Investigator(s) will have taken the research ethics education coursework, etc. (see page 62) [Extraction from the Statement "Code of Conduct for Scientists -Revised Version-" by the Science Council of Japan dated January 25, 2013]

I. Responsibilities of Scientists

(Basic Responsibilities of Scientists)

- 1 Scientists shall recognize that they are responsible for assuring the quality of the specialized knowledge and skills that they themselves create, and for using their expert knowledge, skills and experience to contribute to the health and welfare of humankind, the safety and security of society and the sustainability of the global environment.
- (Attitude of Scientists)
- 2 Scientists shall always make judgments and act with honesty and integrity, endeavoring to maintain and improve their own expertise, abilities and skills, and shall make the utmost effort to scientifically and objectively demonstrate the accuracy and validity of the knowledge they create through scientific research.
- (Scientists in Society)
- 3 Scientists shall recognize that scientific autonomy is upheld by public trust and the mandate of the people, understand the relationships between science, technology, society, and the natural environment from a wideranging perspective, and act in an appropriate manner.
- (Research that Answers to Social Wishes)
- 4 Scientists shall recognize that they are responsible for answering to the wishes of society to investigate into truths and to achieve various issues. When using research funds that are to be provided for establishing the research environment and for conducting research scientists shall always recognize that such broad social expectations exist.
- (Accountability and Disclosure)
- 5 Scientists shall strive to disclose and actively explain the roles and significance of their own research, evaluate the possible effects of their research on people, society and the environment as well as the changes that their research might engender, neutrally and objectively disclose the results of this evaluation, and build a constructive dialogue with society.

(Dual Use of Scientific Research Outcomes)

6 Scientists shall recognize that there exist possibilities that their research results, contrary to their own intentions, may be used for destructive actions, and shall select appropriate means and methods as allowed by society in conducting research and publicizing the results.

\* URL: <u>http://www.scj.go.jp/ja/scj/kihan/</u>

["For the Sound Development of Science – The Attitude of a Conscientious Scientist –" by the Japan Society for the Promotion of Science (JSPS)]

(Japanese version (text version)) ("For the Sound Development of Science" Editorial Committee on JSPS)

\* URL: https://www.jsps.go.jp/j-kousei/data/rinri.pdf

# **II. Call for Proposals**

# 1. Research Categories for Which a Call for Proposals is Organized

The Ministry of Education, Culture, Sports, Science and Technology (hereinafter "MEXT") is organizing a call for proposals for the following research categories.

### Grant-in-Aid for Transformative Research Areas (A/B)

# 2. Schedule from Application to Grant Delivery

# (1) Procedures that Need to Be Completed Prior to the Deadline for the Submission of the Application Documents

Principal Investigator should sufficiently cooperate with the research institution, and should adequately respond to its requests.

The Date and Time	Procedures to be Performed by the Principal Investigator (See "III. Instructions for Prospective Applicants")	Procedures to be Performed by the Research Institution (See "V. Instructions for Administrative Staff of Research Institution")
From November 24 (Tuesday), 2020 Start of the Call for Proposals	<ul> <li>1) Preparing the Application Investigators should access the Electronic Application System using the ID and the e-Rad Password which has been provided by the research institution and preparing the application.</li> <li>[Procedures to be completed, if the need arises]</li> <li>2) Participation process of the Co-Investigator-to-be joining as a project member</li> <li>3) Submission (Sending) of the Application Documents The Principal Investigator should submit (send) the application documents to the research institution he/she belongs to, by the deadline decided the research institution.</li> </ul>	<ul> <li>[Procedures to be completed, if the need arises]</li> <li>1) The Research Institution obtains an ID and Password for e-Rad from the person in charge of the operation of e-Rad (This does not apply if the research institution already obtained them.)</li> <li>*The issue of the ID and the Password takes about 2 weeks.</li> <li>2) Registration of the Researcher Information in e-Rad and other matters.</li> <li>3) Research institutions issue an ID and password to the Principal Investigators. (This does not apply if the researcher already obtained an ID and a password.)</li> <li>[Procedures to be completed, if the need arises]</li> <li>4) The researchers who belong to the Institutions give a consent to become the Co-Investigator.</li> <li>5) • <u>Submission of the "Self-assessment Checklist on the Improvement of the System" based on the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions"</u></li> <li>• <u>Submission of the "Checklist Pertaining to the Current Status" based on the "Guidelines for Responding to Misconduct in Research"</u></li> <li>*If both Checklists have been submitted separately after April 2020, there is no need for resubmission.</li> <li><u>Deadline for submission:</u> January 28 (Thursday), 2021</li> <li>6) <u>Submission (Sending) of the Application Documents</u></li> </ul>
<u>Deadline for the Submission</u> (to be strictly observed)		

### Notes:

1. After the Principal Investigator submit (Sending) to the application to the research institution (mentioned in "Procedures to be Performed by the Principal Investigator" 3)), the research institution should submit (Sending) to the MEXT the application the application by the deadline for the submission (mentioned in "Procedures to be Performed by the Research Institution" 6)).

Next, he or she should verify the section "Preparation of the KAKENHI Application Form" (pages 42-61), etc. as well as verify the procedures designated by the research institution, etc. (deadline for the submission of the application, etc., in the research institution) with the office worker in charge in the research institution.

- 2. When the researcher is applying for KAKENHI, he or she should register the researcher information beforehand in e-Rad. The research institution should perform the registration in e-Rad. Therefore, the researcher who is planning to apply should verify the state of the registration with the office worker in charge in the research institution.
- 3. The research institution should submit a "Self-assessment Checklist on the Improvement of the System" based on the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)" and a "Checklist Pertaining to the Current Status" based on "Guidelines for Responding to Misconduct in Research" (mentioned in "Procedures to be Performed by the Research Institution" 5)). If it has not been submitted, no official grant decision will be made for the researchers belonging to the research institution in question.
- 4. If the project members are organized with some Co-Investigators, the Principal Investigator should conduct the consent process to register the Co-Investigators through the electronic application system (mentioned in "Procedures to be Performed by the Principal Investigator" 2)). And the Co-Investigators-to-be need to obtain a necessary consent to become a Co-Investigator from their research institutions, and so on (mentioned in "Procedures to be Performed by the Research Institution" 4)).

The Principal Investigator cannot submit (send) the Research Proposal Document to his/her research institutions until the research institutions to which the Co-Investigators-to-be belong give the consent to become a Co-Investigator in the research project, and so on. For this purpose, the Principal Investigator is asked to organize the project members immediately (see page 57).

### (2) Schedule after the Submission of the Application Documents (plan)

In case of application for "Transformative Research Areas (A)," the schedule after submitting application documents is different from that of "Transformative Research Areas (B)" and other research categories, and therefore the applicant should carefully verify "III Instructions for Prospective Applicants".

The schedule below is as of November 24, 2020. There may be changes in the plan including the timing of the provisional grant decision due to COVID-19. When the changes occur it will be announced on the MEXT website and through the research institutions.

Transformative Research Areas (A)	Transformative Research Areas (B)
Year 2021	Year 2021
March to September: Review * Late September: Provisional grant decision Middle of October: Formal application for grant delivery Late October: Official grant decision Around October: Disclosure of review results Middle of November: Grant delivery	March to August: Review * Late August: Provisional grant decision Middle of September: Formal application for grant delivery Late September: Official grant decision Around September: Disclosure of review results Middle of October: Grant delivery

Notes:

<sup>\*</sup> Reviews are conducted by MEXT and the grant delivery after provision grant decision is conducted by the Japan Society for the Promotion of Science (JSPS).

# Transformative Research Areas (A/B): KAKENHI (Series of Single-year Grants)

### (1) Grant-in-Aid for Transformative Research Areas (A)

A) Purpose:

Research areas proposed through co-creative and interdisciplinary efforts of diverse researchers, which aim to create research areas that will lead to radical transformation of and change in the existing framework and/or direction of research as well as upgrade and level-up of scientific research in Japan and nurturing of young researchers, and will contribute to the development of the proposed research areas through efforts for collective research and shared use of equipment, etc.

#### B) Intended for:

Research areas that aim to generate renovation and/or transformations in academic areas so as to create emerging and interdisciplinary areas transcending the existing framework of academic disciplines, or research areas that aim for a truly drastic advancement of the leading-edge portions of a particular academic discipline, which are expected to develop innovative and creative scientific research by promotion of collective research with new perspective or methods under organic coordination of diverse researcher groups, and meet all requirements of the following 1) to 3), and if applicable, 4):

- 1) Basic research area (including the area aiming for development from basic to applied research) which is expected to create research area across multiple areas or develop innovative scientific research;
- 2) "(i) Area having (or expected to have) international superiority," or "(ii) Japanese unique area or unprecedented area having (or expected to have) creativity and novelty";
- Area in which each research project is expected to bring sufficient results, and such results are expected to achieve transformation of concepts or methodologies of existing research disciplines after research period ended;
- 4) In the case of proposals to further develop the research area adopted in "Grants-in-Aid for Scientific Research on Innovative Areas (Research in a Proposed Research Area)" or other research fund programs in the past, the area for which results expected from the grants-in-aid in question were sufficiently achieved and whose contents aim for further significant and drastic development of the leading-edge portions based on the results.

C) Range of total budget:

The budget provided per research area is set at 50 million yen or greater up to 300 million yen per fiscal year. In a truly necessary case, a budget exceeding the maximum limit for each research area may be requested.

\* In case the total budget per fiscal year per research area exceeds 300 million yen Applicants will be required to provide a detailed description of the reason for such need in the Research Area Proposal, and the necessity will be assessed.

D) Research period (set period of the area):

Five years (application for research period other than the left is not subject to screening.)

E) Number of research areas scheduled to be selected: Around 18 projects.

#### F) Review section:

In application, the applicant should always select the desired category for screening from the following categories according to the contents of the research plan:

"Transformative Research Areas, Section (I)": Research project focusing mainly on the content of Broad Section "A."

"Transformative Research Areas, Section (II)": Research project focusing mainly on the contents of Broad Sections "B," "C," "D," or "E."

"Transformative Research Areas, Section (III)": Research project focusing mainly on the contents of Broad Sections "F," "G," "H," or "I."

"Transformative Research Areas, Section (IV)": Research project focusing mainly on the contents of Broad Sections "J" or "K."

(For a description on each broad section, see Attached Table 2 "Grants-in-Aid for Scientific Research - KAKENHI- Review Section Table".)

- G) Constitution of research area: (Applied research area that does not meet the review criteria is not subject to screening.)
  - A research area should consist of "Planned Research" and "Publicly Offered Research"
  - The "Planned Research" consists of "Administrative Group" and "Planned Research other than Administrative Group."
  - One "Administrative Group" must be established. Sizable numbers of "Planned Research other than Administrative Group" and "Publicly Offered Research" must be established.
  - The Administrative Group is an organization which provides the overall management of the research area. A plan for the purpose of conducting research is not permitted.
  - <u>A research area should be composed to include several "Planned Research other than Administrative Group"</u> with researchers who will be bearers of the next generation of research (researchers of age 45 or under as of <u>April 1, 2021</u>) participating as Principal Investigators.
  - · A plan in which Planned Research is intended to be added during the research period is not permitted
  - "Publicly Offered Research" should be set so that the research period is two years (the second to third year and fourth to fifth year of the set period of the area), and organize a call for proposals for FY2022-2023 in the first year of the set period of the area and a call for proposals for FY2024-2025 in the third year of the set period of the area, and exceed either of the following minimum standards. In such case, the applicant should ensure that the number of research projects and amounts not only exceed the minimum requirement, but also be enough to aim for broader development of research in the research area, considering the purpose of Transformative Research Areas (A) and characteristics of the research area in question.

1) Each number of research projects scheduled to be selected exceeds 15 in the first year and the third year

2) The total amount of budget for Publicly Offered Research (the total from FY2022-2025) exceeds 15 % of the budget (the total for five years) for the whole research area

Constitution of research area and roles

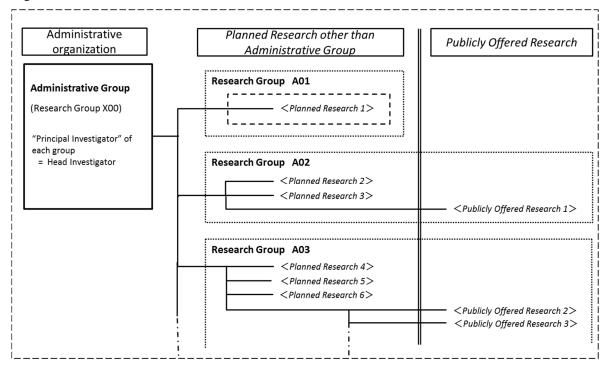
Planned	Administrative	Organization which formulates research policy for the whole research area,
Research	Group	adjusts projects, and conducts research support activities (provision of support for international activities (formulation of optimum policy for international development (strengthening of the research area by finding current international researches, development of new international network, etc.), analysis of international trends, and performance of support activities (promotion of international joint researches and formulation of overseas network (invitation of overseas researchers who are highly evaluated internationally, mutual dispatch of postdoctoral researchers, etc.))) purchase, development, and operation of equipment and devices shared in the research area, or provision of experimental samples and materials, etc.), and other activities ( <u>an organization which does not conduct research</u> )
	Planned Research other than Administrative Group	Research projects in which a Head Investigator (Principal Investigator of "Administrative Group") organizes researchers in the research area in question in advance and systematically make progress in order to develop the research area
Publicly Offered Research		s which one researcher performs in cooperation with "Planned Research" in order te research in the research area in question.

\*1: When setting up the budget for Publicly Offered Research, please post annual budget enough to achieve research per project.

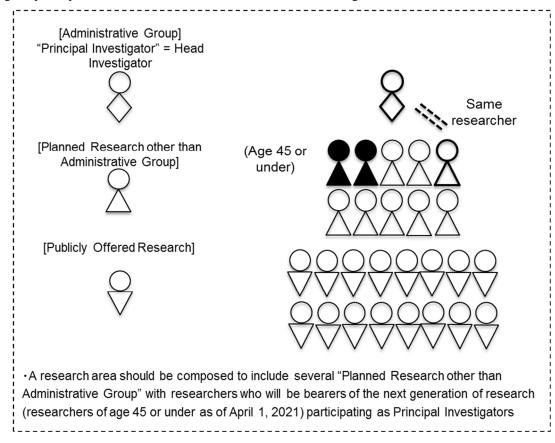
\*2: In order to efficiently develop the research area, a research group can be established, in which "Planned Research" or "Publicly Offered Research" are grouped by research theme or role in the research area.

- \*3: The replacement of the Principal Investigator is not permitted in principle except for the "Administrative Group." If a Principal Investigator of Planned Research lacks (due to death, etc.), however, it may be permitted as a special case via screening by the Academic Deliberation Council for Science and Technology.
- \*4: It is not permitted to allot direct expenses for research projects of "Administrative Group" to costs directly required for achieving other research projects in the research area in question.

Image of constitution of research area



- \* A research group needs to have a number of research group such as "A01" for the sake of convenience for electronic processing ("X00" is used for Administrative Group), and please see "Supplementary edition to the Application Procedures for Grants-in-Aid for Scientific Research-KAKENHI- for FY2021 (Grant-in-Aid for Transformative Research Areas (A/B)) (Forms/Procedures for Preparing and Entering a Research Proposal Document)" for detailed numbering method.
- Image of participation of researchers who will be bearers of the next generation of research



### Participation of members of research area in "Administrative Group"

The Principal Investigator and Co-Investigator of the "Administrative Group" are as shown below:

"Administrative Group"		Position in the Research Area
Principal Investigator	=	Head Investigator
Co-Investigator	=	Principal Investigator or Co-Investigator of "Planned Research other than Administrative Group"

The Principal Investigator of "Planned Research other than Administrative Group" must be a member (Co-Investigator or Research Collaborator) of the "Administrative Group."

H) Interim assessment, ex-post assessment:

- Interim assessment is conducted in the fourth fiscal year of the set period of the area and ex-post assessment is conducted in the fiscal year following completion of the set period of the area.
- Research plan may be reviewed and adjusted and the allotted amount may be subject to change (including the halt of funding) based on the result of the interim assessment
- I) Others:
  - During the second fiscal year of the set period of the area, follow-up will be conducted to check whether improvements are made based on issues, etc. pointed out in the opinions expressed upon selection.
  - It is possible to perform procedures after screening for review of continuous Planned Research or other matters based on the progress situation of research in the area.
  - Submission of a Data Management Plan (DMP)

With respect to the management and utilization of research data, initiatives for strategic storing and management of research data and broader utilization of research outcome are called for from the perspective of ensuring autonomous research and development activities in Japan and promoting open science on a global basis, under such initiatives as the 5th Scientific and Technology Basic Plan (endorsed by the Cabinet on January 22, 2016) and the Integrated Innovation Strategy 2019 (endorsed by the Cabinet on June 21, 2019).

For Grant-in-Aid for Transformative Research Areas, <u>the Head Investigator of the selected research area will be</u> <u>asked</u>, <u>upon formal application for grant delivery</u>, to submit a Data Management Plan (DMP) on the storing and management, etc. of research results and research data in such research area.

• For Grant-in-Aid for Transformative Research Areas, there are no plans for calls for "budget for collecting research results of Finished Research Area" for Finished Research Areas in Grant-in-Aid for Scientific Research on Innovative Areas (Research in a Proposed Research Area).

### (2) Grant-in-Aid for Transformative Research Areas (B)

#### A) Purpose:

Research areas proposed by compact, small-scale groups of researchers who will be bearers of the next generation of research (about 3 or 4 groups), which aim to create research areas that will lead to radical transformation of and change in the existing framework and/or direction of research as well as upgrade and level-up of scientific research in Japan through more challenging and exploratory research, and expected to lead to Transformative Research Areas (A) in the future.

### B) Intended for:

Research areas that aim to generate renovation and/or transformations in academic areas so as to create emerging and interdisciplinary areas transcending the existing framework of academic disciplines, which are expected to develop innovative and creative scientific research through short-term collective research initiatives with new perspective or methods under organic coordination of compact, small-scale researcher groups, and meet all requirements of the following 1) to 3):

- 1) Basic research area (including the area aiming for development from basic to applied research) which aims to create research area across multiple areas or innovative scientific research;
- "(i) Area having (or expected to have) international superiority," or "(ii) Japanese unique area or unprecedented area having (or expected to have) creativity and novelty";
- 3) Area which is expected to lead to Transformative Research Areas (A) on the grounds that each research project is expected to bring sufficient results and has the potential to transform concepts or methodologies of existing research disciplines after research period ended

#### C) Range of total budget:

The budget provided per research area is 50 million yen or less per fiscal year.

D) Research period (set period of the area):

Three years (application for research period other than the left is not subject to screening.)

- E) Number of research areas scheduled to be selected: Around 18 projects.
- F) Review section:

In application, the applicant should always select the desired category for screening from the following categories according to the contents of the research plan:

"Transformative Research Areas, Section (I)": Research project focusing mainly on the content of Broad Section "A."

"Transformative Research Areas, Section (II)": Research project focusing mainly on the contents of Broad Sections "B," "C," "D," or "E."

"Transformative Research Areas, Section (III)": Research project focusing mainly on the contents of Broad Sections "F," "G," "H," or "I."

"Transformative Research Areas, Section (IV)": Research project focusing mainly on the contents of Broad Sections "J" or "K."

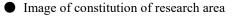
(For a description on each broad section, see Attached Table 2 "Grants-in-Aid for Scientific Research - KAKENHI- Review Section Table".)

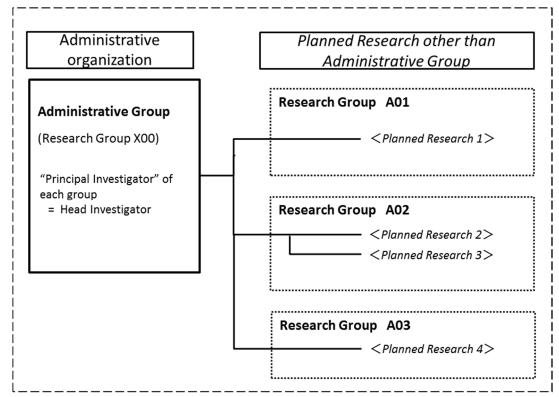
- G) Constitution of research area: (Applied research area that does not meet the review criteria is not subject to screening.)
  - <u>The Head Investigator must be a researcher who will be a bearer of the next generation of research (researcher of age 45 or under as of April 1, 2021).</u>
  - A research area should consist of "Administrative Group" and "Planned Research other than Administrative Group."
  - One "Administrative Group" must be established. Several "Planned Research other than Administrative Group" must be established.
  - The Administrative Group is an organization which provides the overall management of the research area. A plan for the purpose of conducting research is not permitted.
  - <u>A research area should be composed to include several "Planned Research other than Administrative Group"</u> with researchers who will be bearers of the next generation of research (researchers of age 45 or under as of <u>April 1, 2021</u>) participating as Principal Investigators.
  - · A plan in which Planned Research is intended to be added during the research period is not permitted

• Constitution of research area and roles

Planned Research	Administrative Group	Organization which formulates research policy for the whole research area, adjusts projects, etc. (an organization which does not conduct research)
	Planned Research other than Administrative Group	Research projects in which a Head Investigator (Principal Investigator of "Administrative Group") organizes researchers in the research area in question in advance and systematically make progress in order to develop the research area

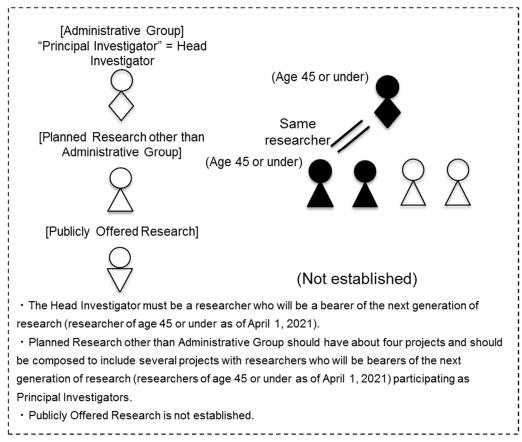
- \*1: In order to efficiently develop the research area, a research group can be established, in which "Planned Research" are grouped by research theme or role in the research area.
- \*2: The replacement of the Principal Investigator is not permitted in principle except for the "Administrative Group." If a Principal Investigator of Planned Research lacks (due to death, etc.), however, it may be permitted as a special case via screening by the Academic Deliberation Council for Science and Technology.
- \*3: It is not permitted to allot direct costs for research projects of "Administrative Group" to costs directly required for achieving other research projects in the research area in question.





\* A research group needs to have a number of research group such as "A01" for the sake of convenience for electronic processing ("X00" is used for Administrative Group), and please see "Supplementary edition to the Application Procedures for Grants-in-Aid for Scientific Research-KAKENHI- for FY2021 (Grant-in-Aid for Transformative Research Areas (A/B)) (Forms/Procedures for Preparing and Entering a Research Proposal Document)" for detailed numbering method.

• Image of participation of researchers who will be bearers of the next generation of research



### Participation of Members of Research Area in "Administrative Group"

The Principal Investigator and Co-Investigator of the "Administrative Group" are as shown below:

"Administrative Group"		Position in the Research Area
Principal Investigator	=	Head Investigator
Co-Investigator	=	Principal Investigator or Co-Investigator of "Planned Research other than Administrative Group"

The Principal Investigator of "Planned Research other than Administrative Group" must be a member (Co-Investigator or Research Collaborator) of the "Administrative Group."

H) Interim assessment, ex-post assessment:

- No interim assessment or ex-post assessment will be conducted.
- If the applicant intends to apply for Grant-in-Aid for Transformative Research Areas (A) based on the research results of Transformative Research Areas (B), it is planned that such research results will also be subject to screening at the time of the screening for Transformative Research Areas (A).

I) Important matters:

- A researcher is only eligible to receive Grant-in-Aid for Transformative Research Areas (B) once as Head Investigator ("Receiving a grant" here means, a research proposal being adopted and the official decision of grant delivery being issued.)
- A pre-selection based on the "Research area proposal (summary version)" will be conducted. (Pre-selection will not be conducted if the number of applications is small.)

J) Other matters:

• Submission of a Data Management Plan (DMP)

With respect to the management and utilization of research data, initiatives for strategic storing and management of research data and broader utilization of research outcome are called for from the perspective of ensuring autonomous research and development activities in Japan and promoting open science on a global basis, under such initiatives as the 5th Scientific and Technology Basic Plan (endorsed by the Cabinet on January 22, 2016) and the Integrated Innovation Strategy 2019 (endorsed by the Cabinet on June 21, 2019).

For Grant-in-Aid for Transformative Research Areas, the Head Investigator of the selected research area will be asked, upon formal application for grant delivery, to submit a Data Management Plan (DMP) on the storing and management, etc. of research results and research data in such research area.

• For Grant-in-Aid for Transformative Research Areas, there are no plans for calls for "budget for collecting research results of Finished Research Area" for Finished Research Areas in Grant-in-Aid for Scientific Research on Innovative Areas (Research in a Proposed Research Area).

### (3) Restrictions on Parallel Grant Application/Receipt etc.

- A) Rules on restrictions on duplication related to "Grant-in-Aid for Transformative Research Areas (A/B)"
  - The rules on restrictions on duplication related to Principal Investigators and Co-Investigators of "Grant-in-Aid for Transformative Research Areas (A/B)" are as the Attached Table 1 "Table of Restrictions on Duplication". The applicant should be sure to verify it before preparing application documents.
- B) Application documents, methods, or others:

The applicant should pay attention to application documents and methods because they are different between application for "Transformative Research Areas (A)" and application for "Transformative Research Areas (B)." Moreover, see "3. Preparation of the KAKENHI Application Form (Research Proposal Document)" of "III. Instructions for Prospective Applicants" for the details.

# **III. Instructions for Prospective Applicants**

## 1. Procedures to be Completed Prior to Application

The following three items must be completed prior to the submission of the research proposal:

- (1) Ascertainment of the Eligibility for KAKENHI Application,
- (2) Confirmation of the Researcher Information Registered in the e-Rad System,
- (3) Obtainment of an ID and a Password for the Electronic Application System.

### (1) Ascertainment of the Eligibility for KAKENHI Application

An applicant submitting a research proposal to Grants-in-Aid for Scientific Research (KAKENHI) as Principal Investigator (PI) must meet the requirements (i) and (ii) stated below.

A researcher carrying KAKENHI eligibility through more than one research institution can submit application(s) through either of the research institutions. However, in the event of parallel submissions, they have to comply with the rules on restrictions on the parallel grant application/receipt (see page 33).

(i) At the time of the proposal submission, a researcher needs to have been approved by his/her research institution(\*) as an eligible researcher who meets the Requirements a), b) and c) stated below, and have his/her Researcher Information properly registered in the e-Rad system as eligible for KAKENHI application.

### < Requirements >

- a) The applicant must be an individual belonging to a research institution with a job assignment including a research activity within the said institution. (Whether the job is paid/unpaid, or full-time/part-time is irrelevant. It is not a prerequisite of eligibility that the research activity constitutes the main part of his/her job.)
- b) The applicant must be actually engaged in a research activity in his/her research institution. (Those who are only engaged in research assisting jobs are ineligible.)
- c) The applicant must not be a graduate student or any other categories of student. (However, an individual who has a position in a research institution with a research activity as his/her main job (e.g., a university teaching staff, a researcher belonging to a company, etc.) and holds a student status at the same time is eligible.)
- (\*): Here, the research institution must be such that designated according to the Article 2 of the "Rules for the Handling of Grants-in-Aid for Scientific Research" (Notification of MEXT)

(Reference) Requirements that the research institution must meet (see page 66): < Requirements >

- The research institution must authorize the research project for which KAKENHI is granted, as its proper activity.
- The research institution must take responsibility for management and accounting of the KAKENHI delivered to its researchers.
- (ii) The individual must not be categorized as ineligible for grant acquisition in the fiscal year covered by a call for proposals, as a penalty for his/her improper grant spending, fraudulent grant acquisition, or research misconduct.

<Important Point 1>

A researcher who is employed with a KAKENHI grant (hereinafter referred to as "KAKENHI employee"), is generally bound by their employment contract to concentrate on the research work relevant to the KAKENHI project for which he/she is employed (hereinafter referred to as "employment-related work") specified in his/her employment contract. Therefore, such a KAKENHI employee cannot apply for his/her own KAKENHI project which is to be conducted within the working hours of his/her employment.

However, provided that he/she can clearly demarcate his/her own research hours from the working hours of employment and intends to conduct his/her own research project during the working hours on his/her own initiative, the KAKENHI employee can submit his/her own KAKENHI proposal, on the condition that the following points are verified by his/her research institution. The KAKENHI employee can apply for KAKENHI as a PI or become as a Co-I.

- The KAKENHI employee is granted on his/her employment contract, to conduct research **a** his/her own initiative, besides the employment-related work.
- The employment-related work and the work devoted to the research on his/her own initiative are clearly demarcated in regard to the working hours and the effort.
- The KAKENHI employee is able to secure enough research hours (besides the working hours for his/her employment-related work) to be allotted to his/her own KAKENHI project.

[Self-motivated research activities by young researchers employed with KAKENHI funding]

A young researcher (\*) who is employed with KAKENHI funds (KAKENHI employee) and meets the following conditions, may conduct his/she own research during the working hours assigned for the employment-related work, after going through the necessary procedures set by his/her research institution. He/She can apply for KAKENHI as a PI or become a Co-I.

(1) A young researcher desires on his/her own will to conduct his/she own research.

(2) The PI and Co-I (the employer of the young researcher) desires that the said research has a positive contribution to the promotion of the funded research project for which he/she is employed, and the research institution approves the said decision.

(3) The PI and Co-I judges that the efforts to be spared by the young researcher to the said research within the extent that do not cause any hindrance to the execution of the funded research project for which he/she is employed, and the research institution approves the judgement. (The upper limit of the efforts to be spared to the self-motivated research is 20 percent of the efforts to be put into the funded research project for which he/she is employed.)

\* In this context, "young researcher" is defined as an individual who is age 39 or under or less than 8 years after Ph.D. acquisition as of April 1 of each fiscal year, and whose job assignment includes research activities. When applying for Grants-in-Aid for Scientific Research (KAKINHI) he/she must meet the eligibility requirements for KAKENHI application.

#### (Reference) Views on the self-motivated research activities by the KAKENHI employee

Attachment 1 to the "Changes in the FY2020 Call for Proposals for Grants-in-Aid for Scientific Research (KAKENHI) and Other Matters" (March 19, 2020) (Excerpt)

https://www.jsps.go.jp/j-grantsinaid/06\_jsps\_info/g\_200316/index.html

Grants-in-Aid for Scientific Research (hereinafter referred to as "KAKENHI") is a funding scheme that is intended to promote development of scientific research (based on original ideas of researchers), encompassing basic to applied researches in all fields ranging from humanities and social sciences to natural sciences. Scientific research is a source of innovation i.e., value creation based on new

knowledge and has a vital role in nurturing human resources for leading a knowledge-based society broadly. It is particularly important to foster young scientists who are responsible for the next generation in order that the scientific research may sustainably exercise its role in the society.

It enable young researchers employed with a KAKENHI grant to conduct self-motivated research activities (including research activities with other research funds and activities helping research/management capacity building; hereinafter the same). Allowing them to conduct research activities in an independent and free research environment contributes not only to fostering young researchers, but also to the further development of the KAKENHI projects of their research institutions through research based on their freewheeling thinking and to the development of scientific research the entire country. Therefore, the concept of self-motivated research activities by young researchers is introduced in the KAKENHI scheme in this call for proposals.

For details refer to the following.

"Implementation Guidelines for Self-motivated Research Activities by Young Researchers Employed with Competitive Research Funds" (February 12, 2020, Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Research Funds)

https://www.mext.go.jp/a\_menu/shinkou/torikumi/1385716\_00001.htm

<Important Point 2>

JSPS Research Fellows (DC) and JSPS International Research Fellows are not eligible for KAKENHI application. In general, graduate students are not eligible either (See the notes below for exceptions.). Therefore, an individual with the status of student in a research institution is not eligible even if he/she also holds a position to conduct research in that or other research institution.

(Note 1) The term "student" as defined here does not include such an individual who has a position to conduct research in his/her research institution, as the main job (e.g., university teaching staff, researcher belonging to company, etc.), and holds a student status at the same time.

(Note 2) If JSPS Research Fellows (SPD, PD, RPD, or CPD) meet the following application requirements at their research institutions which they register as their host research institutions, they can also apply only from the host research institutions for below research categories other than the "Grant-in-Aid for JSPS Fellows." In contrast to applying for KAKENHI as PI, if they take part in a KAKENHI proposal as Co-I they have no restriction on research categories for application.

(i) Publicly Offered Research of the Grant-in-Aid for Scientific Research on Innovative Areas (Research in a Proposed Research Area)

(ii) Grant-in-Aid for Scientific Research (B/C)

(iii) Grant-in-Aid for Challenging Research (Exploratory)

(iv) Grant-in-Aid for Early-Career Scientists

(v) Fund for the Promotion of Joint International Research (Fostering Joint International Research (A)) (Excluding CPD)

#### <Important Point 3>

The PIs and the Co-Is constitute the "members of funded projects," as stipulated in the Law on the Improvement of the Administration of the Budget for Grants-in-Aid (1955, Law no. 179). In an event that they have committed improper grant spending, fraudulent grant acquisition, research misconduct, etc. the eligibility for KAKENHI application will be suspended for a period of time specified by the rule.

In the following cases, an individual registered in the e-Rad system as "eligible for KAKENHI application" may be subject to different treatment.

- In case the research institution to which the individual belongs has made a judgement that it is not appropriate to let the individual conduct the said research activity as a part of his/her work within the institution, the institution may withhold the submission of his/her KAKENHI proposal, or may withhold the formal application for grant delivery of a provisionally adopted KAKENHI grant resulting in declination of the grant in question.
- In case a KAKENHI recipient has failed to submit the "Report on the Research Achievements" that is due after the completion of the research period of his/her KAKENHI without any good reason, no new KAKENHI grant(s) will be delivered to him/her, even if the grant(s) have been provisionally adopted. Moreover, if a KAKENHI recipient has failed to submit the "Report on the Research Achievements" by the due date, then the delivery of KAKENHI grant(s) for that fiscal year will be suspended.

#### (2) Confirmation of the Researcher Information Registered in the e-Rad System

A researcher who intends to submit a research document proposal as the PI to any of the KAKENHI research categories for which "Call for Proposals" is announced, must carry the eligibility for KAKENHI application at the time of submission of the "Research Proposal Document" from his/her research institution to MEXT, and must be registered in the e-Rad system as such.

Therefore, <u>it is important for the researcher to ascertain proper registration of his/her Researcher</u> Information in the e-Rad system.

The registration in the e-Rad system is handled by <u>the research institution</u> to which the researcher belongs. The researcher should check with the administrative section of his/her institution about the registration procedures including the registration deadline within the institution, the method of confirmation of the current contents of registration, etc. If any of the entry items (such as "affiliation," "position," etc.) of the researcher who has been already registered in the e-Rad system need updating, they should be duly completed.

#### (3) Obtainment of an ID and a Password for the Electronic Application System

When the research institution completes the e-Rad registration of a researcher, an ID and a password will be issued for him/her. The researcher can access the KAKENHI Electronic Application System using the ID and password and prepare the Research Proposal Document.

The ID and the password issued to a researcher remain valid after he/she moves to another research institution. Every researcher should exercise due care in handling his/her ID and password so as to prevent their leakage and abuse.

#### (Reference) On "Grant-in-Aid for Research Activity Start-up"

The "Grant-in-Aid for Research Activity Start-up" is aimed at supporting researchers who were not able to apply for the Call for Proposals that was announced in September 2020 by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) and by the Japan Society for the Promotion of Science (JSPS), such as those who are newly obtaining research position, and those who are returning from their leave of absence for childcare, etc. after the regular submission deadline.

The FY2021 Call for Proposals in this category is scheduled for March 2021, and the provisional conditions of the eligibility for application is as follows:

- (i) An individual who could not submit a KAKENHI proposal, because he/she obtained the eligibility for KAKENHI application only after the application deadline (November 5, 2020) to the research categories(\*) of which the Call for Proposals was announced in September 2020 by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) and by the Japan Society for the Promotion of Science (JSPS).
- (ii) An individual who could not submit a KAKENHI proposal to the research categories(\*) for which the Call for Proposals was announced in September 2020 by MEXT and JSPS, because he/she was on a maternity leave (the period before and after childbirth) or child leave in FY2020.

(For the details, refer to the Application Procedures for the "Grant-in-Aid for Research Activity Start-up" to be announced in March 2021.)

Since the registration to the e-Rad system is handled by the research institution, researchers who may come to fall under the category (i) above, should act accordingly by contacting the administrative section of their respective research institutions.

- (\*) Here, the relevant research categories are "Scientific Research on Innovative Areas," "Specially Promoted Research," "Scientific Research," "Challenging Research" and "Early-Career Scientists" among the Grants-in-Aid for Scientific Research for FY2021.
- (Note) JSPS Research Fellows (SPD, PD, RPD, or CPD) are not eligible for application to the "Grant-in-Aid for Research Activity Start-up", even if they satisfy the above application conditions.

#### 2. Restriction on Parallel Grant Application/Receipt

A researcher who intends to submit research proposal(s) to KAKENHI should be well acquainted with the "Restrictions on Parallel Grants Application/Receipt" before starting preparation of research proposal document(s) to check if applications to the intended categories are permitted.

#### (1) The Basic Policy for Restriction on Parallel Grant Application/Receipt

KAKENHI consists of different "Research Categories" and "Application Sections" set on the basis of budget scale, content, and other factors of the intended research, so as to meet various needs and research styles of the applicants.

On the other hand, in consideration of the necessity to support many excellent researchers with limited funding resources, and of the possible detrimental influence of overcrowding applications on the proper management of the review process, the "Rules for Restrictions on Parallel Submission of Research Proposals" have been set up, according to the following basic principles.

- Give considerations so as to ensure that as many excellent researchers as possible can be supported with limited funding resources.
- $\bigcirc$  Give considerations so as to ensure that the number of applications does not become excessive in comparison with the review scheme of each research category.
- O The restrictions to be enforced are primarily directed to the applicant as Principal Investigator (PI) who bears all responsibility for the implementation of the research project. In some cases such as the research categories with large budget scale, however, the restrictions may be also extended to individuals as the Co-Investigator (Co-I).
- The restriction on parallel submission of research proposals and the restriction on simultaneous receipt of grants are separately set on each of the KAKENHI research categories, in accordance of the basic concepts outlined above and by taking into consideration the purpose, characteristics and other factors of each KAKENHI category

Restrictions on parallel grant application/receipt do apply to the current round of call for proposals. Accordingly, <u>the applicant should be well acquainted with the description of the rules given below</u>, and the "Table of Restriction on Parallel Grants Application/Receipt" (see pages 38-41). In case a particular research project falls under the concept of "unreasonable duplication" as put forward in the "Guidelines on the Proper Implementation of Competitive Funding" (see page 7), it

may be judged as such in the review process. Therefore, the applicant should take due precautions in preparing his/her research proposal document.

#### (2) Restrictions on Parallel Grant Application/Receipt

(i) In Grant-in-Aid for "Transformative Research Areas (A)" and "Transformative Research Areas (B)", cases in which the applicant intends to submit to the same research area.

In Grant-in-Aid for "Transformative Research Areas (A)" and "Transformative Research Areas (B)", **the application for the same research area by one researcher is limited to one research project, regardless of whether he/she is a Principal Investigator or a Co-Investigator (except for the research project of "Administrative Group."**). (If a researcher holds an on-going KAKENHI research project in a particular research area, he/she cannot submit a new KAKENHI research proposal in the same research area.)

However, Principal Investigators of "Planned Research other than Administrative Group" must participate as Co-Investigator or Research Collaborator of "Administrative Group." In addition, Co-Investigator of "Planned Research other than Administrative Group" can participate in "Administrative Group" when necessary.

#### (cases marked with "-" in the Table)

# (ii) Cases in which the applicant intends to submit two research proposals as the "Principal Investigator" for both. 【"PI → PI" type】

In case an applicant intends to submit two research proposals (to different research categories) as PI for both, the following rules (cases A to D) of restrictions on parallel grant application /receipt apply.

Cases in which a researcher extended the research period for a KAKENHI grant (Multi-year Fund) or a KAKENHI grant (Partial Multi-year Fund) in the final fiscal year (except the extension of research period due to maternity/childcare leave, research stay abroad, etc.), constitute exception to the rules given below.

A Cases where a researcher can submit only one research proposal as PI.

#### (cases marked with "×" in the Table)

B Cases where a researcher cannot submit a new research proposal, as he/she holds an on-going research project.

#### (cases marked with "▲" in the Table)

C Cases where a researcher can make parallel submission of research proposals to a research category in the column A and to another category in the column B. If both proposals are adopted, only one of them is granted, as indicated by the symbols in the Table.

For cases marked with "■" the research category in the column A is given priority. For cases marked with "□" the research category in the section B is given priority.

D Cases of accepting up to 2 research projects which are applied for Publicly Offered Research of "Grant-in Aid for Scientific Research for Transformative Research Areas" and "Grant-in-Aid for Scientific Research on Innovative Areas" are accepted (the application for the same area is not permitted.).

#### (cases marked with "◆" in the Table)

(iii) Cases in which an applicant submitting a research proposal as PI to a category in column A participates as Co-I in another research proposal submitted to a category in column B
 ["PI → Co-I" type]

For cases in which a researcher submitting a certain research proposal as a PI intends to participate in another research project as a Co-I, or a researcher who is the PI of the prospected on-going project in FY2021 intends to participate in another research project as a Co-I, there are no restrictions in general so that the researcher can participate in both projects.

However, for some research categories, the following rules (cases A to C) of restrictions on parallel grant application/receipt as stated below do apply.

A Cases in which the researcher cannot be a Co-I of the other project

(cases marked with "×" in the Table)

B Cases where the researcher cannot be a Co-I of the other project, because of his/her on-going project.

#### (cases marked with "▲" in the Table)

C Cases where a researcher can participate in the other proposal as Co-I, but, if both are adopted, he/she has to carry out the project in the column A.

(For cases marked with "■" the research category in the column A is given priority.)

(iv) Cases where a researcher who participates as Co-I in a newly-submitted research proposal or a researcher who is a Co-I of an on-going project intends to submit a new research proposal as the PI of another research project.
 ["Co-I → PI" type]

For cases in which a researcher participating in a certain research project (on-going or newly submitted) as a Co-I intends to submit another research proposal as a PI, or a researcher who is a Co-I of the prospected on-going project in FY2021 intends to submit another research proposal as PI, there are no restrictions in general, so that the researcher can participate in both projects. However, for some research categories, the following rules (cases A to C) of restrictions on parallel grant application/receipt as stated below do apply.

A Cases in which the researcher cannot be a PI of the other project

#### (cases marked with "×" in the Table)

B Cases where the researcher cannot be a PI of the other project, because of his/her on-going project.

#### (cases marked with "▲" in the Table)

C Cases where a researcher can participate in the other proposal, but, if both are adopted, he/she has to carry out the project in the column B.

(For cases marked with "D" the research category in the column B is given priority.)

(v) Cases in which a researcher who participates as Co-I in more than one research projects (on-going or newly submitted) also intends to participate as Co-I in another research proposal.
 【"Co-I → Co-I" type】

For cases in which a researcher participating in a certain research project (on-going or newly submitted) as a Co-I intends to participate in another research project as a Co-I, or a researcher who is a Co-I of the prospected on-going project in FY2021 intends to participate in another research project as a Co-I, there are no restrictions in general, so that the researcher can participate in both projects.

However, the following rules (cases A and B) of restrictions on parallel grant application/receipt as stated below do apply.

A Cases in which the researcher cannot be a Co-I of the other project

(cases marked with "×" in the Table)

B Cases where the researcher cannot be a Co-I of the other project, because of his/her on-going project.

(cases marked with "▲" in the Table)

#### (3) Restrictions on Simultaneous Receipt of Grants

According to the "Restriction on Parallel Grant Application/Receipt," cases in which parallel submission of research projects is permitted, but only one of them can be granted even if both are adopted, are handled as follows.

Handling of the cases marked with "■" or "□" when both projects are adopted

- A For the "PI → PI" type (such as the case of PI of a Specially Promoted Research project and PI of another project in other research categories), the researcher must decline the grant delivery of the project in the lower priority category, or abolish the on-going project in the lower priority. The relative priority of the research categories is indicated by the marks "■" and "□" in the Table.
- B If the PI of a newly adopted Specially Promoted Research project has been acting as Co-I of on-going project(s) in other research categories, he/she must withdraw the Co-I status of the latter project(s).

In an event that the withdrawal of the Co-I status makes the implementation of the latter project(s) unsustainable, the said project(s) have to be abolished (or withdrawn).

#### (4) Important Notes

- Even for the cases in which parallel grant application/receipt is not prohibited by the rules, the applicant should give a careful consideration so as not to fall in such situation that he/she cannot carry his/her responsibility as PI or Co-I, by committing him/herself to too many research projects. The applicant should be well acquainted with the content of "Elimination of Unreasonable Duplication and/or Excessive Concentration in the Grant Allocation" mentioned on page 7.
- 2) In some cases, even after a research proposal has been duly submitted via the Electronic Application System, it may be eliminated from the subsequent review process on the basis of the rules of restrictions on parallel grant application/receipt. This may happen, for example, in a case where the said proposal becomes in conflict with the "Restrictions on Parallel Submission of Research Proposals" by a change in the project members of an on-going research project. The applicant should check against such possibility before submitting the research proposal document.
- 3) The rules of restrictions on parallel submission of research proposals do apply to a case in which a researcher carrying eligibility for applications in more than one research institutions intends to submit different proposals from each of those institutions.
- 4) In regard to the "Table of Restrictions on Parallel Grant Application/Receipt," the participation in the "Administrative Group" of the "Transformative Research Areas" is deemed exceptional (for Transformative Research Areas (A), see page 22; for Transformative Research Areas (B), see page 26). The following points should be noted.
  - A The PI of the "Administrative Group" of the "Transformative Research Areas" should check the restriction on parallel submission of proposal as PI or Co-I of other research proposals they intend to submit in parallel by referring to the relevant entries of the "Table of Restrictions on Parallel Grant Application/Receipt."

- B The Co-I of the "Administrative Group" of the "Transformative Research Areas" should check the restriction on the <u>participation as PI or Co-Ito the "Planned Research (Planned Research</u> other than the "Administrative Group") and the parallel submission of proposal as PI or Co-I of <u>other research proposals they intends to submit in parallel</u> by referring to the relevant entries of the "Table of Restrictions on Parallel Grant Application/Receipt (see pages 38-41)."
- 5)In regard to the Restrictions on Parallel Grant Application/Receipt relevant to "the researcher submitting a research proposal as PI or Co-I" or "the PI or Co-I of the prospected on-going project in FY2021" for the research categories for which the call for proposals is announced by the JSPS, applicants should refer to the ""Research categories for which JSPS organizes a call for proposals" in the "Table of Restrictions on Parallel Grant Application/Receipt."
- 6) When a PI of an on-going project of KAKENHI (Multi-year Fund) or KAKENHI (Partial Multi-year Fund) extends the research period in the final fiscal year (except the case with the interruption of the research due to maternity/childcare leave, research stay abroad, etc.), the restriction on parallel grant application/receipt does not apply between the on-going project and a new research proposal he/she intends to submit.

On the other hand, the restriction on parallel grant application/receipt does apply between the new research proposal and other new research proposal(s) (including the on-going project(s)) to be submitted by the same PI.

- 7) When an individual who is a JSPS Research Fellow (SPD, PD, RPD, or CPD) has obtained the eligibility for KAKENHI application at the research institution which he/she has registered as his/her host research institution, he/she can submit a research proposal as Co-I.
- 8)If an individual is granted his/her application for "Planned Research in Transformative Research Areas (A/B) (including research projects of "Administrative Group")", and if subsequently he/she is adopted as JSPS Fellow, he/she has to choose either the JSPS fellowship or the KAKENHI project.

A JSPS Research Fellow (SPD, PD, RPD, or CPD), during the period of his/her term, cannot submit any research proposals to those research categories for which the rule of restrictions on parallel grant application/receipt applies.

Therefore, even after a submitted proposal has been duly filed in the Electronic Application System, it may be eliminated from the subsequent review process by the rule of restrictions on parallel grant application/receipt. The applicant should check against such possibility before submitting the research proposal document.

9) There are no restrictions on parallel grant application/receipt between KAKENHI and other competitive funding schemes. Still, applicants should read the description in the column "Elimination of Unreasonable Duplication and/or Excessive Overconcentration in the Grant Allocation" on page 7.

### Attached Table 1 Table of Restrictions on Parallel Grant Application/Receipt for "Grant-in-Aid for Transformative Research Areas (A/B)"

1) Type "Principal Investigator (New Proposal/Continued) (Column A) → Principal Investigator (Column B)"

This table shows the restrictions on parallel grant application receipt in case of "a person who tries to apply as Principal Investigator for a research project mentioned in Column A (research categories for which MEXT organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2021(continued research project) mentioned in Column A.

$\backslash$	Column B	Scientific Research (Research in a pro	on Inservative Areas posed research area)			Transformative R	esearch Areas (A)				Transformative F	tesearch Areas (B)				search	search	search	2	a	
		Research area same as the one in Column A	Research area different from the one in Column A		Research area same a	s the one in Column A		Research area diffs Cole	reat from the one in turn A	Research a	trea same as the one is	a Column A Continued	Research area different from the one in Column A	Specially Promoted Research	kesearch (S	ientific Res (A)	ientific Re (B)	ientific Re (C)	er Scientists	Challengi	Research
		Participation of the second se	New Arabani	New Proposal	The second secon	Tanan Com	page distant	See Proposal	Publicly allowed New Proposal	New Properal	The second secon	Total Second	tparast ti New Impacel	Specially Rea	Scientific Research (S)		Te and a second	The second secon	Laanno - Anne Mure New Proposal	Strangeoug New Proposal	Se according
Column A		PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	PI	Ы	PI	PI	PI	PI	PI	PI	PI
as ) Administrative group*1	centinued PI	-	•					•	•				<b>.</b>	•	•					•	
on Innovative Are ased research area	continued PI	-	•					•	•				•							•	
Scientific Research on Innovative Areas (Research in a proposed research area) Publicly officed research	New PI	-	٠						•											×	
Se Publicly reser	continued PI		•						•											•	
ttive group	New PI Proposal			-			-	×					×	×	•					×	
cch Areas (A)	Continued PI		•				-	•	•				•	•	•					•	
atire Resea search	New PI Proposal				_	_	_	×					×							×	
laure	Continued PI		<b></b>			_	-	•	•				<b>^</b>							•	
Publicly offered research	New PI		•			_	-		•											×	
tive gro	New PI roposal							×	-	-			×	×							
tesearch Areas (B) Administra	Continued PI		•					•	•				•	•							
Transformative F id research	New PI reposal							×	-		-	-	×								
lame	Continued PI		•					•	•			-	•								
-: A researcher	researcher can apply can only apply for c r she has a continues er can only apply for er cannot apply for a	te research project (	except for the resea	rch project of "Adm A, he or she cannot applied for a resear B (He or she only i	iinistrative Group*) is apply for a research ch project mentioned mplements the resear- or she only implement	n one and the same r project mentioned in in Column A, he or ch of a continued res	esearch area regard 1 Column B) she cannot apply fo reach project menti	less of Principal Inv a research project oned in Column A)	restigators or Co-Ins mentioned in Colum	restigators. m B).											

• The research on party for a sense type provide the sense of the s

\*1 The "International Activities Supporting Group" (Noncomproposal have been called enser FY2016) have the same restrictions on displications as the "Administrative Group." \*2 In regards to the "continued research area" made "Research area different from the one in Column A", the Administrative Group have be same restrictions on displication as for "Planned research."

#### 2) Type "Principal Investigator (New Proposal/Continued) (Column A) $\rightarrow$ Co-Investigator (Column B)"

This table shows the restrictions on parallel grant application/receipt in case of "a person who tries to apply as Principal Investigator for a research project mentioned in Column A (research categories for which MEXT organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2021 (continued research project) mentioned in Column A" participates in a research project mentioned in Column B as Co-Investigator.

$\mathbf{N}$		Col	umn B	Scientific Research (Research in a pro	on Innovative Areas posed research area)		Transformative F	tesearch Areas (A)			Transformative I	tesearch Areas (B)				arch	arch	arch	50	
	$\setminus$			Research area same	Research area	Research a	rea same as the one i	n Column A	Research area	Research :	area same as the one i	n Column A	Research area	omoted th	arch (S)	Scientific Research (A)	Scientific Research (B)	Scientific Rese (C)	Challenging	Research
	/	$\langle \rangle$		as the one in Column A	different from the one in Column A	New Res	arch Area	Continued	different from the one in Column A	New Res	earch Area	Continued	- different from the one in Column A	Specially Promoted Research	Scientific Research (S)	Scient	Scient	Scient	5	
				ijanessa, psimitelja Rew Proposal	[230552] Potting [d] New Proposal	antierteininnbe New Proposal	New Proposal	normal for the second s	(3) Testel (1) (2) (2) (2) (2) (2) (2) (2) (2) (2) (2	dnord Wew Proposal	New Proposal	(Director for the putting Li New Proposal	to reason for the second secon	New Proposal	New Proposal	New Proposal	Central Ocura	Te states S	SP From the second seco	August Au
	umn 2			Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I	Co-I
as ()	Administrative group*1	Continued	Ы		•				•				•	•						
Scientific Research on Innovative Areas (Research in a proposed research area)	Planned research	Continued	Ы	-	•				•				•							
scientific Research (Research in a pro-	y offered earch	New Proposal	PI	-																
	Publich	Continued	PI	-																
	inistrative group	New Proposal	Ы		×	_		_	×				×	×						
Arcas (A)	<u>a</u>	Continued	ы		•				•				, <b>•</b>	•						
Transformative Research Areas (A)	Planned research	New Proposal	Ы		×		_	_	×				×							
		Continued	Ы		•			_	•				•							
	Publicly offered research	New Proposal	Ы					_												
	Administrative group	New Proposal	PI		×				×	_		_	×							
Transformative Research Areas (B)	Administr	Continued	Ы		•				•				•							
Transformative R	Planned research	New Proposal	Ы		×				×		_	_	×							
	Planned	Continued	ы		<b></b>				<b></b>			_	•							

Eink cell: The researcher can apply for both research projects. — A researcher can uby apply for our exearch project (scopt for the research project of "Administrative Group") in one and the same research area regardless of Principal Investigators or Co-Investigators. — A researcher can uby apply for a secarch project can be apply for a research project matrixen in Column 1). — With researcher can only apply for an escarch project can be observed for a research project matrixen in Column 1). — A The researcher can only apply for a research project can be observed for a research project matrixen in Column 1). — A The researcher can not apply for a research project can be observed for a research project matrixen in Column 1). — A The researcher can not apply for a research project matrixen in D (Ho v the cody implements the research of a continued research project mentioned in Column A). — A The researcher can not assignt for an research project matrixen in Column B. (Ho v the cody implements the research of a continued research project mentioned in Column A).

\*1 The "International Activities Supporting Groups" (No new proposals have been called since FY20(6)) use the same restrictions and undications as the "Administrative Groups."

#### $\textbf{3) Type ''Co-Investigator (NewProposal/Continued) (Column A) \rightarrow Principal Investigator (Column B)''$

This table shows the restrictions on parallel grant application/receipt in case of "a person who trics to participate as Co-Investigator in a research project mentioned in Column A (research enterprises for which MEXT organizes a call for proposals), or a person who has already become Co-Investigator of a research project that is scheduled to be continued in FY2021(continued research project) mentioned in Column A.

$\square$	Column B	Scientific Research (Research in a pro	on Innovative Areas provad research area)			Transformative 8	isearch Areas (A)				Transformative R	nsmitch Arms (B)				earch	earch	earch		2	
		as the one in	Research area different from the		Research area same :	e the one in Column .	A	Research area diffe Colu	rent from the one in	Research	men same as the one ir	i Column A	Research area different from the	romoted	Scientific Research (S)	Scientific Res (A)	Scientific Res (B)	Scientific Res (C)	Scientist	Challenging	Research
	$\backslash$	Column A	one in Column A	New Res	eurch Area	Cent	inned T	4	2	New Res	eurch Area	Continued -fi	ene in Column A	Specially Prome Research	ttific Re			Scie	Career		
		Publicly offere research	Publicly offere research	Administrativ group	Planed recen	Pland recur	Publicly offere research	Phanod record	Publicly offer- research	Administration	Phenod record	Plenued recent	Phanod record			General	General	General	Early	Picueening	Bulantory
Column	A	New Propesal	New Proposal PI	New Preposal PI	New Proposal	New Proposal	New Proposal PI	New Proposal PI	New Preposal PI	New Proposal	New Propesal	New Proposal PI	New Proposal PI	New Proposal	New Proposal PI	New Propesal PI	New Proposal PI	New Proposal PI	New Proposal	New Propasal	New Propasal PI
Scientific Research on Innovative Areas (Research in a proposed research area)	-to-I	_						×					×								
Scientific Research (Research in a pro)	Contact Co-I	_						•					•								
Research Areas (A)	Harasar		,		_	_	_	×					×								
25	Consent Co-I					-	-	•					•								
Ressecti Aress (B)	Horazan							×			_	_	×								
ative	Pound Cennet Co-I			$\square$			$\square$	•				_	•								

#### 4) Type ''Co-Investigator (New/Continued) (Column A) $\rightarrow$ Co-Investigator (Column B)''

This table shows the restrictions on parallel grant application (receipt in case of "a person who tries to participate as Co-Investigator in a research project mentioned in Column A (research categories for which MEXT organizes a call for proposals), or a person who has already become Co-Investigator of a research project that is scheduled to be continued in FY2021(continued research project) mentioned in Column A (research categories for which MEXT organizes a call for proposals), or a person who has already become Co-Investigator of a research project that is scheduled to be continued in FY2021(continued research project) mentioned in Column A (research categories for which MEXT organizes a call for proposals), or a person who has already become Co-Investigator of a research project that is scheduled to be continued in FY2021(continued research project) mentioned in Column B as Co-Investigator.

$\langle \rangle$		Colu	ımn B	Scientific Recench a (Recends in spray)	a lanavative Areas sed research area)		Transformative	Research Areas (A)			Transformative B	lesearch Areas (B)			_	search	search	Scientific Research	29	-
$\backslash$				Research area came as fao ane in Column A	Receively area different from the one in Column	Research a	rea same as the one i	in Column A	Research area different from the	Research a	rea same as the one in	n Column A	Research area different from the	moted	earch (S)	Scientific Rese (A)	Scientific Resea (B)	o Re	allengi	Research
	$\backslash$			Contrared Novemb Arm	Å	New Res	rarch Area	Continued	one in Column A	New Rest	arch Area	Continued	one in Column A	ially Prom Research	fic Rese	Scient	Scien	Scien	Ċ	ш.
				Piterned research*1	Planned research*1	Administrative group	Planod reserch	Planed reserch	Plumed reserch	Administration	Planned research	Planod reserch	Planed reserch	Spec	Scientific Res	General	General	General	Picesening	Bulteriory
Colum	1 A		$\backslash$	Co-I	New Prazesal Co-I	NewProposal Co-I	New Proposel Co-I	New Propasal Co-I	New Prozesal Co-I	New Prazesal Co-I	New Proposal Co-I	New Proposal Co-I	New Propasal Co-I	New Pranasal	New Proposal	New Proposal	New Proposal Co-I	New Proposal	New Prozesal	New Pracosal
Scientific Research on Innovative Areas (Research in a proposed research area)	Planned research	Hev Empend	Co-I	-	×				×				×							
Scientific Research (Research in a pro	Planned	Cassinard	Co-I	-	•				•				•							
dive Research Arese (A)	Planned research	New Proposal	Co-I		×		-	-	×				×							
Transformative R	Planned	Centered	Co-I		•			-	•				•							
ive Research Areas (B)	Planned research	New Propend	Co-I		×				×		-	-	×							
Transformative R.	Planned	Curanaed	Co-I		•				•			_	•							

Ideal of all pre-conceptor can easily for both manufactorises. A stranding encounter of the strain term of the strain term of the strain term of the strain resourch area regardings of Principal Investigators a Co-Monságator (In such as due to all his a continuo to cancel project mestrode in Column A. In or all encounter project methods of the strain term of the strain t

\*I in regards to the "continued research area" under "Research area same as the cone in Column A" and the "research area different from the one in Column A", the Administrative Group has the same restrictions on duplication as for "Planned research."

#### 5) Type "Research categories for which JSPS organizes a call for proposals (Column A) → Principal Investigator (Column B)"

This table shows the restrictions on parallel grant application/receipt in case of "a person who as Principal Investigator tries to apply for or as Co-Investigator participate in a research project mentioned in Column A (research categories for which JSPS organizes a call for proposals), or a person who has already become Principal Investigator or Co-Investigator of a research project that is scheduled to be continued in FY2021 (continued research project) mentioned in Column A" applies as Principal Investigator for mentioned in Column B. There is no restriction on parallel grant application/receipt between a research category, which JSPS organizes a call for proposals and which this table does not describe, and a research project mentioned in Column B.

	Colum	ın B	Scientific Research on Innovative Areas (Research in a proposed research area)	Tra	nsformative Research Areas	: (A)	Transformative Research Areas (B)			
			Publicly offered research	Administrative group	Planned Research	Publicly offered research	Administrative group	Planned Research		
	$\overline{}$		New Proposal	New Proposal	New Proposal	New Proposal	New Proposal	New Proposal		
Column A			PI	PI	PI	PI	PI	PI		
	New Proposal	PI		×			×			
Specially Promoted	Continued	PI	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>		
Research	New Proposal	Co-I		×						
	Continued	Co-I		<b>A</b>						
Scientific Research (S)	New Proposal	PI								
Scientific Research (5)	Continued	PI		<b>A</b>						
Scientific Research (B) Generative Research Fields	Continued	PI								
Scientific Research (C) Generative Research Fields	Continued	PI								
Challenging Research (Pioneering)	New Proposal	PI	×	×	×	×				
chanonging research (Fioneering)	Continued	PI	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>				
JSPS Fellows (JSPS Research Fellow)	Continued	PI		<b></b>	<b>A</b>		<b></b>	<b>A</b>		
Home-Returning Researcher Development Research	Continued	PI								

#### 6) Type "Research categories for which JSPS organizes a call for proposals (Column A) $\rightarrow$ Co-Investigator (Column B)"

This table shows the restrictions on parallel grant application/receipt in case of "a person who as Principal Investigator tries to apply for in a research project mentioned in Column A (research categories for which JSPS organizes a call for proposals), or a person who has already become Principal Investigator of a research project that is scheduled to be continued in FY2021 (continued research project) mentioned in Column A" participates in a research project mentioned in Column B as Co-Investigator. There is no restriction on parallel grant application/receipt between a research category, which JSPS organizes a call for proposals and which this table does not describe, and a research project mentioned in Column B.

	Column B		Scientific Research on Innovative Areas (Research in a proposed research area)	Transformative Research Areas (A)	Transformative Research Areas (B)
			Planned Research*1	Planned Research*1	Planned Research*1
			New Proposal	New Proposal	New Proposal
Column A			Co-I	Co-I	Co-I
Specially Promoted	New Proposal	PI			
Research	Continued	PI	<b>A</b>	▲	<b></b>

Blank cell: The researcher can apply for both research projects. X: The researcher can only apply for one research project (in case he or she applied for a research project mentioned in Column A). A: The researcher cannot apply for a research project mentioned in Column B (He or she only implements the research of a continued research project mentioned in Column A). C: The researcher cannot apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in Column A. C: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in Column B. C: The researcher can apply for both research projects. However, in case both are adopted, he or she only implements the research of the research project in Column B.

\*1 The Administrative Group has the same restrictions on duplication as for "Planned research."

## 3. Preparation of the KAKENHI Application Form (Research Proposal Document), etc.

Grants-in-Aid for Scientific Research is a competitive funding intended to provide financial support for creative and pioneering research conducted by individual researchers. Therefore, the contents of the Research Proposal Document must be original planned by the applicant.

In preparing Research Proposal Document, plagiarism and/or misappropriation of the research contents of others are strictly impermissible. Applicants must comply with research ethics.

#### (1) Revision of the Research Proposal Document

As for the Research Proposal Document, in the Reform of the KAKENHI Review System, since April 2018, the definition of the "Collaborating Researcher" has been abolished as a revision of the definition of the research members in conjunction with some revisions such as the disapproval of the description on the research achievements by the "Collaborating Researcher" on and after the call for proposals for FY2018 announced in September 2017. In addition, on and after the call for proposals for FY2019 announced in September 2018, the revision including the way to describe the achievements in the column of research achievements has been made, and with the Research Proposal Document some changes such as followings have been applied. When preparing the Document, your careful confirmation is requested on the contents of the booklet, the Application Procedures for Grants-in-Aid for Scientific Research -KAKENHI-(Supplement) "Forms/Procedures for Preparing and Entering a Research Proposal Document".

• The "Research Achievements of the Principal Investigator (PI) and Co-Investigator(s) (Co-I(s))" column in the Research Proposal Document is to be changed to the "Applicant's Ability to Conduct the Research and the Research Environment" column in accordance with the rating elements.

Furthermore, the summary on the discussion related to this revision such as in the Subdivision on Research Grant Screening Section of the Academic Deliberation in the Subdivision on Science, Council for Science and Technology is as follows.

(Reference) The summary on the discussion including in the Subdivision on Research Grant Screening Section of the Academic Deliberation in the Subdivision on Science, Council for Science and Technology

(Problem recognition, etc.)

- During the review process, there seems to be a reality which is easily enable to distort what an application and a review per se should be, including the possibility to enumerate unnecessarily the achievements irrelevant to the research project in the "Research Achievements" column.
- There seems to be a possibility that the "Research Achievements" column gives a wrong recognition that without filling in the column spaces with many of research achievements as possible, it might be disadvantage for applicants at the review.
- There is still a room for consideration on the "way to make applicants describe" their research achievements and so on although it is necessary to verify them to assess their ability to conduct the research corresponding to the shared responsibility of the Principal Investigator and the Co-Investigators.
- If there might be a possibility to provide applicants and others with a recognition that as if a
  performance over-emphasis principle be prevailing at the review in the KAKENHI, a
  rectification of it should be attempted as far as possible and a consideration to contrive to do
  so is required.
- In case making continuous use of the "Research Achievements" column, a consideration enabling applicants to properly describe information necessary to assess their ability to conduct the research is required. (An impression as if the "filling in the column is just an important thing" should be dispelled.)
- Regarding the assessment on the ability to conduct the research by using such as the research achievements, an attempt to foster a correct recognition for both sides of applicants and reviewers is required.

(Basic policy, etc. for the revision of the Research Proposal Document)

- At the review of the KAKENHI, as for research projects proposed by the Principal Investigator, in association with considering a scientific significance and creativity, a clarification of research objectives and so on, it is also intended to assess the researchers' ability to conduct the research strictly and to select appropriate research projects.
- The positioning of the research achievements in the Research Proposal Document is for judging a practical feasibility of the research described in the Research Proposal Document before rolling out the research.
- Based on the understandings above, the research achievements should be clearly defined that they are regarded as verifying the ability to conduct the research for the research plan.

#### (2) Verification of the Eligibility to Apply

When applying, the applicant should carefully verify the following contents.

#### (i) Case of Application for "Transformative Research Areas (A)"

For "Transformative Research Areas (A)," first a Research Area Proposal is screened to select an area subject to interview, then for the selected research area, the Research Proposal Documents are also reviewed for the final screening. Therefore, application documents need to be submitted in two stages as follows:

- A) Documents submitted in application (Research Area Proposal)
- B) Documents submitted after selecting an area to interview (Research Proposal Documents)

When submitting application documents, the applicant should submit them to a research institution to which he/she belong to the date designated by the research institution. <u>Changes to the submitted</u> <u>Research Area Proposal and Research Proposal Documents are not permitted</u>, so be sure to confirm <u>prior to submission that there are no mistakes to the submitted forms or content</u>. The details of preparation and application methods of application documents are as follows.

## Procedures that Need to be Completed in Application (Documents needed to be submitted in application)

1) Obtaining tentative number of research area and notification of schedule by Head Investigator

First, a Head Investigator needs to obtain a tentative number of the research area. Therefore, the Head Investigator should access to the Electronic Application System with the e-Rad ID and a password and obtain "Tentative Number of Research Area," and notify researchers who will be a Principal Investigator of each Planned Research of "Tentative Number of Research Area" and a schedule on which application information should be submitted to the Head Investigator.

- 2) Entering application information (Items to be entered in the Website) by Principal Investigators of Planned Research (including research projects of "Administrative Group")
  - A) A Principal Investigator of Planned Research should prepare "Application Information (PDF file)" by accessing the Electronic Application System with ID and a password of e-Rad and entering "Application Information (Items to be entered in the Website)" based on the "Procedures for Preparing and Entering a Research Proposal Document (Administrative Group/Planned Research) Application Information (Items to be entered in the Website)."
  - B) If there are no mistakes in the contents of prepared "Application Information (PDF file)," the Principal Investigator should perform the "check completed and submission" process. (This means that the applicant should submit the "Application Information (PDF file)" to a research institution to which he/she belongs. Only the "Application Information (PDF file)" verified by the research institution is submitted (sent) to the Head Investigator through the

Electronic Application System. Moreover, it is not possible to change the contents of "Application Information (PDF file)" after verification of the research institution unless the Head Investigator rejected it.)

<Documents prepared by Principal Investigators of Planned Research (including research projects of "Administrative Group")>

Documents to be prepared	"Items to be entered in the Website"
	(Part of the Research Proposal Document) *
Preparation instructions	Enter through the Electronic Application System
	(which is submitted to the Head Investigator and is reflected
	in the Research Area Proposal)

\* The basic data such as the Title of Proposed Project and the proposed project, like the research expenditure for which the application is made, basic data on the project members, etc. Application information of each Planned Research should be entered by a Principal Investigator of each Planned Research and submitted to the Head Investigator. The submitted application information cannot be subject to change.

#### 3) Preparation of the Research Area Proposal by Head Investigator

- A) A Head Investigator should obtain information of "Application Information (PDF file)" submitted from a Principal Investigator of each Planned Research and verify the contents or other matters.
- B) After confirming that there are no mistakes in the contents, the Head Investigator should perform "verification" process once the "Application Information (PDF file)" of all Planned Research for which he/she intends to apply is in place.
- C) Based on the "Procedures for Preparing and Entering a Research Area Proposal," the Head Investigator should prepare a "Research Area Proposal (PDF file)" by entering the "Application Information (Items to be entered in the Website)" of the "Research Area Proposal" and uploading the separately prepared "Forms to be uploaded as an attached file" of the "Research Area Proposal" to the "Electronic Application System."
- \* "Forms to be uploaded as an attached file" can be downloaded from the "Grants-in-Aid for Scientific Research-KAKENHI-" page within the MEXT website below even before the obtaining of the e-Rad ID and password.

(URL: https://www.mext.go.jp/a\_menu/shinkou/hojyo/boshu/1351544.htm)

D) If there are no mistakes in the contents of prepared "Research Area Proposal (PDF file)," the Head Investigator should perform the "check completed and submission" process by the deadline designated by a research institution to which he/she belongs. (This means that the applicant should submit the "Research Area Proposal (PDF file)" to the research institution to which the applicant belongs. Moreover, it is not possible to make corrections or other modifications to the contents of the "Research Area Proposal (PDF file)" for which the research institution has already performed the "approval" process.) < Documents prepared by Head Investigator >

Documents to be	Research Area Pro	posal (Form S-71) *1
prepared	Items to be entered in the Website *2	Forms to be uploaded as an attached file *3
Preparation instructions	Enter through the Electronic Application System (The application information which the Principal Investigator of each Planned Research has entered and submitted are automatically displayed in the project members and research expenditure columns)	Prepare the "Forms to be uploaded as an attached file" of the "Research Area Proposal" and upload to the Electronic Application System

\*1 The Research Area Proposal should be prepared by the Head Investigator. The submitted Research Area Proposal cannot be changed.

\*2 Information to be entered by the Head Investigator through the Electronic Application System when preparing the Research Area Proposal, including basic data on the proposed project such as title of research area and research expenditure, and data on the project members, etc. of the research area.

\*3 Information related to the contents of the entire research area, including objectives of the research area, plan and methods to promote the research area, etc.

#### Procedures that Need to be Completed after Selecting an Area Subject to Interview (Documents needed to be submitted after selecting an area subject to interview)

#### 1) Notification of schedule by Head Investigator

The Head Investigator of an area selected as the area subject to interview should issue instructions to submit the Research Proposal Document to a researcher who will become a Principal Investigator of each Planned Research for which "Application Information (PDF file)" was submitted, and communicate a submission schedule to him/her.

#### 2) Preparation of the Research Proposal Document by Principal Investigator of Planned Research (including research projects of "Administrative Group")

A) Based on the "Procedures for Preparing and Entering Research Proposal Document (Administrative Group/Planned Research) Application Information (Items to be entered in the Website), " the Principal Investigator of Planned Research should prepare the "Research Proposal Document (PDF file)" by entering the second half of the "Application Information (Items to be entered in the Website)" and uploading the separately prepared "Forms to be uploaded as an attached file" of the "Research Proposal Document" to the "Electronic Application System."

\* "Forms to be uploaded as an attached file" can be downloaded from the "Grants-in-Aid for Scientific Research-KAKENHI-" page within the MEXT website below even before the obtaining of the e-Rad ID and password.

(URL: <u>https://www.mext.go.jp/a\_menu/shinkou/hojyo/boshu/1351544.htm</u>)

B) If there are no mistakes in the contents of prepared "Research Proposal Document (PDF file)," the Principal Investigator should perform the "check completed and submission" process. (This means that the applicant should submit the "Research Proposal Document (PDF file)" to a research institution to which he/she belongs. Only the "Research Proposal

Document (PDF file)" verified by the research institution is submitted (sent) to the Head Investigator through the Electronic Application System. Moreover, it is not possible to change the contents of "Research Proposal Document (PDF file)" after verification of the research institution unless the Head Investigator rejected it.)

Documents to be prepared		esearch Proposal Document oup: Form S-72) (Planned R	
	Items to be entered in the Website (first part) *2	Forms to be uploaded as an attached file *3	Items to be entered in the Website (second part) *4
Preparation instructions	Use the application information prepared under "Procedures that Need to be Completed in Application (documents needed to be submitted in application)" (see p. 44) (Cannot be revised)	Prepare the "Forms to be uploaded as an attached file" of the "Research Proposal Document" and upload to the Electronic Application System (To be prepared after selecting the area subject to interview)	Enter through the Electronic Application System (To be prepared after selecting the area subject to interview)

< Documents prepared by Principal Investigators of Planned Research (including research projects of "Administrative Group" >

\*1 The Research Proposal Document for each Planned Research should be prepared by a Principal Investigator of each Planned Research and submitted to the Head Investigator.

Moreover, please note that the Research Proposal Document of the Administrative Group is Form S-72.

\*2 Basic data on the proposed project such as title of research project and research expenditure, and data on the project members, etc. (Application information prepared under "Procedures that Need to be Completed in Application (documents needed to be submitted in application)" (see p. 44))

\*3 Details on the proposed project including the research objective, research methods, etc.

\*4 Main existing equipment, details of research expenditure and their necessity, status of application and acquisition of research grants, etc.

#### 3) Verification of the Research Proposal Document by Head Investigator

- A) A Head Investigator should obtain the "Research Proposal Document (PDF file)" submitted from a Principal Investigator of each Planned Research and verify the contents or other matters.
- B) After confirming that there are no mistakes in the contents, the Head Investigator should perform "verification" process once the "Research Proposal Document (PDF file)" of all Planned Research for which he/she has applied is in place.
- C) If there are no mistakes in the contents of the "Research Proposal Document (PDF file)" of each Planned Research, the Head Investigator should perform the "check completed and submission" process by the deadline designated by a research institution to which he/she belongs. (This means that the applicant should submit the "Research Proposal Document (PDF file)" of each Planned Research to the research institution to which the applicant belongs. Moreover, it is not possible to make corrections or other modifications to the contents of the "Research Proposal Document (PDF file)" of each Planned Research Proposal Document (PDF file)" of each Planned Research for make corrections or other modifications to the contents of the "Research Proposal Document (PDF file)" of each Planned Research for which the research institution has already performed the "approval" process.)

#### **Date for Application**

"Head Investigator" should carry out procedures while paying attention to deadlines or other matters of procedures by a research institution.

"Principal Investigator of Planned Research" should carry out procedures while paying attention to a submission schedule of the Research Proposal Documents or other matters communicated from the Head Investigator, deadlines or other matters of procedures by a research institution.

Date	Head Investigator	Principal Investigator of Planned Research
At any time	• Obtaining of "the e-Rad ID and past	sword"
From November 24 (Tuesday)	<ul> <li>Obtaining of "Tentative Number of Research Area"</li> <li>Notification of "Tentative Number of Research Area" and "Submission (sending) schedule of application information (part of the Research Proposal Document)" to a Principal Investigator of each Planned Research</li> </ul>	• Verification of "Tentative Number of Research Area" and "Submission (sending) schedule of application information (part of the Research Proposal Document)" to a Head Investigator
From November 24 (Tuesday)	• Preparation of "Research Area Proposal" (entering application information and preparing the forms to be uploaded as an attached file)	<ul> <li>Entering "Application information (part of the Research Proposal Document)"</li> <li>Submission by the date communicated by the Head Investigator</li> </ul>
January 28 (Thursday)	• Deadline for submission (approval process) of "Research Area Proposal" by the research institution	
	Selection of area subjects	to interview
Middle of May	Notification of submission (sending) schedule of "Research Proposal Document" to a Principal Investigator of each Planned Research	
From Middle of May		<ul> <li>Preparation of "Research Proposal Document"</li> <li>Submission by the date communicated by the Head Investigator</li> </ul>
Early June	• Deadline for submission (approval process) of "Research Proposal Document" of each Planned Research by the research institution	

(Note) After notification of the selection of an area subject to interview, the applicant will have about 3 weeks before the deadline for submission (approval process) of the "Research Proposal Document," so please note it for prompt treatment.

Moreover, please note that a schedule after selection of the area subject to interview may be changed according to the progress situation of screening.

#### (ii) Case of Application for "Transformative Research Areas (B)"

For "Transformative Research Areas (B)," a pre-selection using the Research Area Proposal (Summary Version) may be conducted as necessary, and thereafter document screening and collegial screening will be conducted based on the Research Area Proposal (Detailed Version) and Research Proposal Documents. Therefore, the following three types of application documents need to be submitted at the time of application:

Research Area Proposal (Summary Version), Research Area Proposal (Detailed Version), Research Proposal Documents

When submitting application documents, the applicant should submit them to a research institution to which he/she belong to the date designated by the research institution. <u>Changes to the submitted</u> <u>Research Area Proposal (Summary Version)</u>, <u>Research Area Proposal (Detailed Version)</u> and <u>Research Proposal Documents are not permitted, so be sure to confirm prior to submission that there are no mistakes to the submitted forms or content.</u> The details of preparation and application methods of application documents are as follows.

## Procedures that Need to be Completed in Application (Documents needed to be submitted in application)

#### 1) Obtaining tentative number of research area and notification of schedule by Head Investigator

First, a Head Investigator needs to obtain a tentative number of the research area. Therefore, the Head Investigator should access to the Electronic Application System with the e-Rad ID and a password and obtain "Tentative Number of Research Area," and notify researchers who will be a Principal Investigator of each Planned Research of "Tentative Number of Research Area" and a schedule on which application information should be submitted to the Head Investigator.

#### 2) Preparing Research Proposal Documents by Principal Investigators of Planned Research (including research projects of "Administrative Group")

- A) A Principal Investigator of Planned Research should prepare "Application Information (PDF file)" by accessing the Electronic Application System with the e-Rad ID and a password and entering "Application Information (Items to be entered in the Website)" based on the "Procedures for Preparing and Entering Research Proposal Document (Administrative Group/Planned Research) Application Information (Items to be entered in the Website)."
- B) Prepare the "Research Proposal Document (PDF file)" by uploading the separately prepared "Forms to be uploaded as an attached file" of the "Research Proposal Document" to the "Electronic Application System."

% "Forms to be uploaded as an attached file" can be downloaded from the "Grants-in-Aid for Scientific Research-KAKENHI-" page within the MEXT website below even before the obtaining of the e-Rad ID and password. (URL: https://www.mext.go.jp/a\_menu/shinkou/hojyo/boshu/1351544.htm)

C) If there are no mistakes in the contents of prepared "Research Proposal Document (PDF file)," the Principal Investigator should perform the "check completed and submission"

process. (This means that the applicant should submit the "Research Proposal Document (PDF file)" to a research institution to which he/she belongs. Only the "Research Proposal Document (PDF file)" verified by the research institution is submitted (sent) to the Head Investigator through the Electronic Application System. Moreover, it is not possible to change the contents of "Research Proposal Document (PDF file)" after verification of the research institution unless the Head Investigator rejected it.)

<Documents prepared by Principal Investigators of Planned Research (including research projects of "Administrative Group")>

Documents to be prepared		esearch Proposal Document oup: Form S-77) (Planned R	
	"Items to be entered in the Website" (first part) *2	"Forms to be uploaded as an attached file" *3	"Items to be entered in the Website" (second part) *4
Preparation instructions	Enter through the Electronic Application System (Some of the data in the project members and research expenditure columns will be reflected in the Research Area Proposal after submitting to the Head Investigator)	Prepare the "Forms to be uploaded as an attached file" and upload to the Electronic Application System	Enter through the Electronic Application System

\*1 The Research Proposal Document for each Planned Research should be prepared by a Principal Investigator of each Planned Research and submitted to the Head Investigator.

Moreover, please note that the Research Proposal Document of the Administrative Group is Form S-77.

- \*2 Basic data on the proposed project such as title of research project and research expenditure, and data on the project members, etc.
- \*3 Details on the proposed project including the research objective, research methods, etc.
- \*4 Main existing equipment, details of research expenditure and their necessity, status of application and acquisition of research grants, etc.

#### 3) Verification of Research Proposal Documents and Preparation of the Research Area Proposal (Summary Version) and Research Area Proposal (Detailed Version) by Head Investigator

- A) A Head Investigator should obtain the "Research Proposal Document (PDF file)" submitted from a Principal Investigator of each Planned Research and verify the contents or other matters.
- B) After confirming that there are no mistakes in the contents, the Head Investigator should perform "verification" process once the "Research Proposal Document (PDF file) for all Planned Research for which he/she intends to apply is in place.
- C) Based on the "Procedures for Preparing and Entering a Research Area Proposal (Detailed Version)/Research Area Proposal (Summary Version)," the Head Investigator should prepare the PDF files of the "Research Area Proposal (Summary Version)" and "Research Area Proposal (Detailed Version)" by entering the "Application Information (Items to be entered in the Website)" of the "Research Area Proposal" and uploading the separately prepared "Forms to be uploaded as an attached file" of the "Research Area Proposal

(Summary Version)" and "Research Area Proposal (Detailed Version)" to the "Electronic Application System."

\* "Forms to be uploaded as an attached file" can be downloaded from the "Grants-in-Aid for Scientific Research-KAKENHI-" page within the MEXT website below even before the obtaining of the e-Rad ID and password.

(URL: https://www.mext.go.jp/a\_menu/shinkou/hojyo/boshu/1351544.htm)

D) If there are no mistakes in the contents of prepared "Research Area Proposal (Summary Version) and Research Area Proposal (Detailed Version) (PDF files)," the Head Investigator should perform the "check completed and submission" process by the deadline designated by a research institution to which he/she belongs. (This means that the applicant should submit the "Research Area Proposal (Summary Version) (PDF file), "Research Area Proposal (Detailed Version) (PDF file), and "Research Proposal Documents of each Planned Research (PDF files)" to the research institution to which the applicant belongs. Moreover, it is not possible to make corrections or other modifications to the contents of the "Research Area Proposal (Summary Version) (PDF file), "Research Area Proposal (Detailed Version) (PDF file), "Research Area Proposal (Detailed Version) file), "Research Area Proposal (Detailed Version) (PDF file), "Research Area Proposal (Detailed Version) (PDF file), "Research Proposal (Detailed Version) (PDF file), "Research Area Proposal (Detailed Version) (PDF file)," and "Research Proposal Documents of each Planned Research (PDF files)" for which the research institution has already performed the "approval" process.)

Documents to be prepared	Research Area Proposal *1 (Summary Version: Form S-75) (Detailed Version: Form S-76)						
	Items to be entered in the Website *2	Forms to be uploaded as an attached file *3					
Preparation instructions	Enter through the Electronic Application System (The application information which the Principal Investigator of each Planned Research has entered and submitted are automatically displayed in the project members and research expenditure columns)	Prepare the "Forms to be uploaded as an attached file" of the "Research Area Proposal (Summary Version)" and "Research Area Proposal (Detailed Version)," and upload to the Electronic Application System					

< Documents prepared by Head Investigator >

- \*1 The Research Area Proposal should be prepared by the Head Investigator. The submitted Research Area Proposal cannot be changed.
- \*2 Information to be entered by the Head Investigator through the Electronic Application System when preparing the Research Area Proposal, including basic data on the proposed project such as title of research area and research expenditure, and data on the project members, etc. of the research area.
- \*3 Information related to the contents of the entire research area, including objectives of the research area, plan and methods to promote the research area, etc.

#### **Date for Application**

"Head Investigator" should carry out procedures while paying attention to deadlines or other matters of procedures by a research institution.

"Principal Investigator of Planned Research" should carry out procedures while paying attention to a submission schedule of the Research Proposal Documents or other matters communicated from the Head Investigator, deadlines or other matters of procedures by a research institution.

Date	Head Investigator	Principal Investigator of Planned Research	
At any time	• Obtaining of "the e-Rad ID and password"		
From November 24 (Tuesday)	<ul> <li>Obtaining of "Tentative Number of Research Area"</li> <li>Notification of "Tentative Number of Research Area" and "Submission (sending) schedule of application information (part of the Research Proposal Document)" to a Principal Investigator of each Planned Research</li> </ul>	• Verification of "Tentative Number of Research Area" and "Submission (sending) schedule of application information" to a Head Investigator	
From November 24 (Tuesday)	• Preparation of "Research Area Proposal" (entering application information and preparing the forms to be uploaded as an the attached file)	<ul> <li>Preparation of "Research Proposal Document"(Entering "Application Information" and Preparing the "Forms to be uploaded as an attached file")</li> <li>Submission by the date communicated by the Head Investigator</li> </ul>	
January 28 (Thursday)	• Deadline for submission (approval process) of "Research Area Proposal" by the research institution		

#### (3) Important Checkpoints of the Research Proposal Document

In preparing a Research Proposal Document, the applicant should pay attention to the following points.

#### 1. Qualification as a KAKENHI project

The following kinds of research plans fall outside the scope of funding target:

- A) A research plan which merely aims at purchasing ready-made research equipment.
- B) A research plan whose purpose is to build a large-size research facility or equipment which is more appropriate to be funded by other resources.
- C) A research plan whose purpose lies at developing and selling goods and/or services (including market research associated with such as them)
- D) An entrusted research conducted as regular business.
- E) A research plan with a yearly research expenditure for any of the fiscal years in its research period **less than 100,000 yen**.

#### 2. Eligibility of the Project Members

The PI (see 1 on page 56) may organize a research team with appropriate combination of Co-Investigator(s) (Co-I) (see 2 on page 57), and Research Collaborators(s) (see 3 on page 58), as needed by the nature of the research project.

### As is the case for PI, <u>Co-Investigator(s) is also subject to verification of their KAKENHI</u> eligibility by their respective research institute by the time of proposal submission (See notes <u>below</u>).

On the other hand, to be a Research Collaborator(s), registration to the e-Rad system is not a requirement.

### < Requirements > a) The applicant must be an individual belonging to a research institution with a job assignment including research activity within the said institution. (Whether the job is paid/unpaid, or full-time/part-time is irrelevant. It is not a prerequisite of eligibility that the research activity constitutes the main part of his/her job.) b) The applicant must be actually engaged in a research activity in his/her research institution. (Those who are only engaged in research assisting jobs are ineligible.) c) The applicant must not be a graduate student or any other categories of student. (However, an individual who has a position in a research institution with a research activity as his/her main job (e.g., a university teaching staff, a researcher belonging to a company, etc.), and holds a student status at the same time is eligible.) (\*): Here, the research institution must be such that designated according to the Article 2 of the "Rules for the Handling of Grants-in-Aid for Scientific Research" (Public Notice of MEXT) (Reference) Requirements that the research institution must meet (see page 66): < Requirements > - The research institution must authorize the research project for which KAKENHI is granted, as its proper activity.

- The research institution must take responsibility for management and accounting of the KAKENHI delivered to its researcher staffs.

(Note 1) A JSPS Research Fellow (SPD, PD, RPD or CPD) who meets the above application requirements at his/her host research institution can participate in a KAKENHI research project as Co-I. There are no restrictions on the research categories in which he/she can participate as Co-I unlike in the case of participating as PI.

(Note 2) JSPS Research Fellows (DC), International JSPS Fellows and graduate students (or students of any other category) cannot be a PI or Co-I of a KAKENHI project.

<Important Point 1>

KAKENHI employee is generally bound by his/her employment contract to concentrate on the research work relevant to the employment-related work specified in it. Therefore, such a KAKENHI employee cannot apply for his/her own KAKENHI project which is to be conducted within the working hours of his/her employment.

However, provided that a KAKENHI employee can clearly demarcate his/her own research hours from the working hours of employment and intends to conduct his/her own research project during the working hours on his/her own initiative, he/she can submit his/her own KAKENHI proposal, on the condition that the following points are verified by his/her research institution. In this case, he/she can apply as PI, or participate to other KAKENHI project(s) as Co-I.

- The KAKENHI employee is granted on his/her employment contract, to conduct research on his/her own initiative, besides the employment-related work.
- The employment-related work and the work devoted to the research on the KAKENHI employæ'sown initiative are clearly demarcated in regard to the working hours and the effort.
- The KAKENHI employee is able to secure enough research hours (besides the working hours for his/her employment-related work) to be allotted to his/her own KAKENHI project.

[Self-motivated research activities by an "early-career scientist" employed with KAKENHI]

A young researcher (\*) who is employed with KAKENHI funds (KAKENHI employee) and meets the following conditions, may conduct his/her own research during the working hours assigned for the employment-related work, after going through the necessary procedures set by his/her research institution. He/she can apply for KAKENHI as a PI or become a Co-I.

(1) The young researcher desires on his/her own will to conduct his/her own research.

(2) The PI or Co-I (the employer of the young researcher) decides that the said research has a positive contribution to the promotion of the funded research project for which he/she is employed, and the research institution approves the decision.

(3) The PI or Co-I judges that the efforts to be spared by the young researcher to the said research is within the extent that do not cause any hindrance to the execution of the funded research project for which he/she is employed, and the research institution approves the judgement. (The upper limit of the efforts to be spared to the self-motivated research is 20 percent of the efforts to be put into the funded research project for which he/she is employed.)

\* In this context, "young researcher" is defined as an individual who is age 39 or under or less than 8 years after Ph.D. acquisition as of April 1 of each fiscal year, and whose job assignment includes research activities. When applying for Grants-in-Aid for Scientific Research (KAKENHI) he/she must meet the eligibility requirements for KAKENHI application.

(Reference) Views on the introduction of self-motivated research activities by KAKENHI employee

Attachment to the "Proposals of the Grants-in-Aid for Scientific Research (KAKENHI) in Fiscal Year 2020" (March 19, 2020) (Excerpt)

https://www.jsps.go.jp/j-grantsinaid/06\_jsps\_info/g\_200316/index.html

Grants-in-Aid for Scientific Research (hereinafter referred to as "KAKENHI") is a funding scheme that is intended to promote development of scientific research (based on original ideas of researchers), encompassing basic to applied researches in all fields ranging from humanities and social sciences to natural sciences. Scientific research is a source of innovation *i.e.*, value creation based on new knowledge and has a vital role in nurturing human resources for leading a knowledge-based society broadly. It is particularly important to foster young scientists who are responsible for the next generation in order that the scientific research may sustainably exercise its role in the society.

It enable young researchers employed with a KAKENHI grant to conduct self-motivated research activities (including research activities with other research funds and activities helping research/management capacity building; hereinafter the same). Allowing them to conduct research activities in an independent and free research environment contributes not only to fostering young researchers, but also to the further development of the KAKENHI projects of their research institutions through research based on their freewheeling thinking and to the development of scientific research the entire country. Therefore, the concept of self-motivated research activities by young researchers is introduced in the KAKENHI scheme in this call for proposals.

For details, refer to the following:

"Implementation Guidelines for Self-motivated Research Activities by Young Researchers Employed with Competitive Research Funds" (February 12, 2020, Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Research Funds)

https://www.mext.go.jp/a\_menu/shinkou/torikumi/1385716\_00001.htm

#### <Important Point 2>

The PIs and the Co-Is constitute the "members of funded projects," as stipulated in the Law on the Improvement of the Administration of the Budget for Grants-in-Aid (1955, Law no. 179). In an event that they have committed improper grant spending, fraudulent grant acquisition or research misconduct, the eligibility for KAKENHI application will be suspended for a period of time specified by the rule.

In the following cases, an individual registered in the e-Rad system as "eligible for KAKENHI application" may be subject to different treatment.

- In case the research institution to which the individual belongs has made a judgement that it is not appropriate to let him/her conduct the said research activity as a part of his/her work within the institution, it may withhold the submission of his/her KAKENHI proposal, or may withhold the formal application for grant delivery of a provisionally adopted KAKENHI grant resulting in declination of the grant in question.
- In case a KAKENHI recipient has failed to submit the "Report on the Research Achievements" that is due after the completion of the research period of his/her KAKENHI without any justifiable reason, no new KAKENHI grant(s) will be delivered to him/her, even if the grant(s) have been provisionally adopted. Moreover, if a KAKENHI recipient has failed to submit the "Report on the Research Achievements" by the due date, then the delivery of KAKENHI grant(s) for that fiscal year will be suspended.

#### 1) Principal Investigator (PI) (Applicant)

(A) Principal Investigator is the main recipient of the grant who bears full responsibility for the implementation of the research project (including compiling the research achievements). An individual who is anticipated to become unable to carry through the PI's responsibility over the entire research period due to, for example, loss of the KAKENHI eligibility caused by PI's own accord, should refrain from becoming a PI. (See note below)

#### (Note)

The Principal Investigator is the researcher who plays the central role in the implementation of the research plan and thus bears a heavy responsibility. An individual who is anticipated to lose his/her eligibility for KAKENHI application during the research period due to his/her own accord so that is anticipated to be unable to carry through the responsibility, should refrain from becoming a Principal Investigator. Substitutions of the PI of an on-going KAKENHI project are not permitted.

As an exception, for the "Planned Research" of "Transformative Research Areas" replacements of PI may be accepted by going through appropriate procedures.

### (B) <u>When organizing project members, the Principal Investigator must obtain a consent to</u> <u>become a Co-Investigator from the researcher via Electronic Application System in</u> <u>advance.</u>

(C) The PI must be registered in the e-Rad system as "Eligible for KAKENHI Application". It is also required that he/she is *not* designated as "ineligible for grant receipt" in the fiscal year covered by a call for proposals (suspension of eligibility), as a penalty for such misconducts as improper grant spending, fraudulent grant acquisition or research misconduct associated with KAKENHI or any other competitive funds.

#### 2) Co-Investigator (Co-I)

(A) The Co-Investigator is a recipient of the grant who, in cooperation with the PI, bears responsibility for the implementation of the research project in accordance with the clear share of his/her roles. The Co-I must be a member of the project who receives a share of the grant based on the contents of the share as a recipient of the grant. (This rule applies even when the Co-I belongs to the same institution as the PI.)

An individual who is anticipated to become unable to carry through the Co-I's responsibility over the entire research period due to, for example, the loss of the KAKENHI eligibility caused by Co-I's own accord, should refrain from becoming a Co-I.

(B) The Co-I must be registered in the e-Rad system as being "Eligible for KAKENHI Application." It is also required that he/she is *not* designated as being "ineligible for grant receipt" in the fiscal year covered by a call for proposals (a suspension of eligibility), as a penalty for such misconducts as an improper grant spending, a fraudulent grant acquisition or a research misconduct associated with the KAKENHI or any other competitive funds.

<About the Process of Participation of Co-Investigator in Project Members>

A consent process to become a Co-Investigator is conducted via the Electronic Application System if the applicant adds a Co-Investigator to project members. Following processes for both Principal Investigator and Co-Investigator(s) are necessary in the application process.

[Actions to be taken by the Principal Investigator]

• The Principal Investigator must enter the information on the researcher whom he/she wants to add to the project members in the "Project Members List" column on the "Application Information Input" screen, request the researcher to become a Co-Investigator, and obtain a consent from the Co-Investigator-to-be by the time submitting (sending) the Research Proposal Document to his/her research institution.

[Actions to be taken by the researcher who is requested to become a Co-Investigator]

• If the researcher is requested to become a Co-Investigator by the Principal Investigator via the Electronic Application System, the researcher must select "Consent" or "Dissent" after confirming the contents to be consented.

Procedures to be Performed by the Principal Investigator	Procedures to be Performed by the Co-Investigator-to-be	Procedures to be Performed by the Research Institutions to which Co-Investigator-to-be belongs
<ol> <li>Request to become a Co-Investigator —</li> </ol>	<ul> <li>② Give a consent to become a — Co-Investigator</li> </ul>	<ul> <li>Give a consent to become a Co-Investigator as a standpoint of the research institutions</li> </ul>
The Principal Investigator requests to the researcher who is to be requested to become a Co-Investigator to participate in the project as a Co-Investigator via the Electronic Application System	The Co-Investigator-to-be is requested to participate in the project as a Co- Investigator from the Principal Investigator via the Electronic Application System and then the Co- Investigator-to-be selects a consent (or a dissent).	The information consented by the Co-Investigator-to-be is shown via the Electronic Application System and then the research institutions also conducts the process such as giving consents to him/her.

- The organization of the project members should be completed through all necessary procedures mentioned above to be carried out with the approximate target of **two weeks prior to the deadline for the submission of the application documents set by MEXT**. (All application procedures are workable on the system after two weeks prior to the deadline for the submission of the application documents to the research institution to which the Principal Investigator belongs, it is necessary to obtain consents from all the Co-Investigators-to-be.

- \* Please refer to the KAKENHI (Grants-in-Aid for Scientific Research) Electronic Application System Operation Manual for the detailed information such as operating environments, operating methods, and so on (URL: https://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei\_ka.html).
- \* After the researcher has given a consent to become a Co-Investigator, the information on the Co-Investigator-to-be will be shown to the research institution to which he/she belongs via the Electronic Application System, and then it will be necessary to obtain a consent, etc. from the research institution as well.

Since the Principal Investigator cannot submit (send) the Research Proposal Document to his/her research institution until the research institution to which the Co-Investigator-to-be belongs gives the consent, etc., be sure to finish the process in time for the deadline of the submission.

#### 3) Research Collaborator

- (A) Research Collaborator is an individual who cooperates in the implementation of a research project other than the PI and the Co-I(s).
- (B) Registration as "Eligible for KAKENHI Application" in the e-Rad system is *not* a requirement for becoming a Research Collaborator.

For example, following people can also participate in the research project as a Research Collaborator: a postdoctoral researcher, a graduate student, a research assistant (RA), a JSPS Research Fellow (DC), JSPS Fellows (SPD, PD, RPD or CPD) who are not registered as eligible for KAKENHI application in their host research institution, a researcher belonging to an overseas research institution, a researcher belonging to a corporation not designated as a research

institution according to Article 2 of the Rules for the Handling of Grants-in-Aid for Scientific Research, and an individual offering research support such as technician and intellectual property specialist.

#### 3. Requirements for the Appropriation of Research Expenditure

#### 1) Expenditures that can be covered by direct expense

Expenditures necessary for the implementation of the research plan (including those necessary for compiling the research achievements) can be covered by the direct expense.

\* If any of the expenditure categories (equipment costs, travel expenses, or personnel cost/honoraria) exceeds 90% of the total yearly expenditure in any fiscal year of the research period, or if the expenditure in category Consumables or Miscellaneous constitutes a significant portion of the total expenditure, the necessity of that spending should be clarified in Research Proposal Document.

#### [Direct Expense of Competitive Research Funds to Cover the Costs of Assignments Other Than Research]

The cost of "buyout" (*i.e.*, the cost for hiring someone taking over a part of the duties other than research (\*) of the Principal Investigator or Co-Investigator(s)) can be covered by the direct expense so that they can secure ample amount of time for research projects (the buyout system).

\* The kinds of duties that can be covered by the buyout system are those authorized as proper jobs of the researcher at his/her research institution, excluding (i) research activities, and (ii) administrative work for institutional management. They include educational and related activities, e.g., educational activities (teaching and preparation for teaching, supervising students) and social engagement activities (medical practices, outreach activities). Activities associated with business profit are excluded.

Starting from the FY2021 Call for Proposals, the buyout system is applicable in the research categories listed below. A KAKENHI applicant who wish to use the buyout system should do so according to the buyout scheme agreed upon between him/her and his/her research institution.

When an applicant wishes to use the buyout system, enter the cost of the buyout in the "Miscellaneous expense" column, and enter the word "buyout" in the "Item" column of the Research Proposal Document form. (Please refer to the supplementary volume of "Application Procedures for Grants-in-Aid for Scientific Research-KAKENHI-" (Forms/Procedures for Preparing and Entering a Research Proposal Document).

[Research categories subject to the buyout system]

Specially Promoted Research, Transformative Research Areas, Scientific Research on Innovative Areas (Research in a Proposed Research Area) (excluding "Platforms for Advanced Technologies and Research Resources"), Scientific Research, Challenging Research (including "Challenging Exploratory Research"), Early-Career Scientists (including "Young Scientists (A/B)"), Research Activity Start-up, Fostering Joint International Research (B), Home-Returning Researcher Development Research (limited to those who belongs to the domestic research institutions), Special Purposes.

[Research categories *not* subject to the buyout system]

Encouragement of Scientists, Publication of Scientific Research Results, JSPS Fellows, Scientific Research on Innovative Areas (Research in a Proposed Research Area) (Platforms for Advanced Technologies and Research Resources), Fostering Joint International Research (A) (including the Joint International Research before name change). As for the research category of Fostering Joint International Research (A) (including the Joint International Research before name change) it is possible to budget the cost for hiring replacements.

As for the details of the expenses covered by the buyout system and matters to be done by the research institution refer to the following.

"Amendment Enabling Direct Expense of Competitive Research Funds to Cover the Costs of Duties Other Than Research (Introduction of Buyout System)" (October 9, 2020, Agreement among Research Promotion Bureau, Science and Technology Policy Bureau, Research and Development Bureau and Higher Education Bureau)

https://www.mext.go.jp/a menu/shinkou/torikumi/1385716 00003.htm

The objective of the buyout system is to increase the number of hours the PI (or Co-I) can devote to the funded project on the basis of his/her own needs and request. Accordingly, items such as the actual

presence of the PI's (or Co-I's) needs and request, and the resulting expansion of research time devoted to the funded project (increased number of hours for research) may be subject to later inspection in relation to the grant spending. In the event that the buyout expenditure is found to be used improperly (e.g., the increase in hours devoted to the funded project is not verified), an order to return the delivered grant may be issued. Therefore, the research institution should ensure the appropriate implementation of the buyout system.

#### 2) Expenditures that cannot be covered by KAKENHI

#### The following kinds of spending cannot be covered by KAKENHI:

- A. Costs associated with buildings and other facilities (excluding expenditure for installations necessary for installation of research equipment purchased by the KAKENHI direct expense).
- B. Expenditures for measures to deal with accidents or disasters that occurred during the implementation of funded project
- C. Personnel cost/Honoraria for the PI or Co-I(s)
- D. Other expenditures that are apt to be covered by indirect expense\*
- \* Indirect expense which amounts to 30% of the direct expense, is intended for use by the research institution in covering expenditures needed by the research institution for the management and other things associated with the implementation of the research project. Indirect expense will be placed for all the research categories of this call for proposals. Applicant does not need to state the indirect expense in his/her Research Proposal Document.

#### 4. Other Important Points

- The compiled books of the submitted KAKENHI Research Proposal Document to be sent to the reviewers are <u>in black-and-white (gray scale) print.</u> Therefore, in preparing the Research Proposal Document, the applicant should pay attention to the clarity of the figure when printed in gray scale.
- 2) The personal information included in the Research Proposal Document will be used for the elimination of "unreasonable duplication and/or excessive concentration in the allocation of competitive funds" and for the appropriate funding of KAKENHI grants. (This includes providing the data to external contractor(s) in charge of electronic processing and management of the KAKENHI data.) The information included in the Research Proposal Document is to be provided to the e-Rad system. (The information registered in the e-Rad system is utilized for proper assessment of research and development by national funding, development of effective and efficient comprehensive strategy, planning and development of resource allocation policy, etc. Therefore the information will be supplied to the Cabinet Office through the e-Rad system. The applicant may be requested to cooperate in verification of the information and other related works.)

The information on the adopted KAKENHI projects (the title of research project, the name of PI and his/her affiliated research institution, the grant to be delivered, research period, etc.) is categorized as "information that is scheduled to be made public," as laid down in Article 5, paragraph (1), item (i), (a) of the "Act on Access to Information Held by Administrative Organs" (Act No. 42 of 1999) and Article 5, paragraph (1), item (i), (a) of the "Act on Access to Information Held by Independent Administrative Agencies" (Act No. 140 of 2001). The information will be made public through press release materials, the Grants-in-Aid for Scientific Research Database

(KAKEN) of the National Institute of Informatics, and other means.

The researchers and their affiliated research institutions are requested to carry out the application procedures with full understanding of the information handling (utilization, provision and disclosure) stated above.

- 3) Upon application to the "Grant-in-Aid for Transformative Research Areas," applicants may make inquiries to the Senior Scientific Research Specialists (See note) of the MEXT about the system. Please contact the Scientific Research Aid Division, Research Promotion Bureau, MEXT (see page 160).
  - (Note) Researchers in universities or other research institutions who make investigation, instruction, and advice on academic matters (Article 53 and 62 of " Ministry of Education, Culture, Sports, Science and Technology organization rules").
  - "List of Senior Scientific Research Specialist (in charge of Grants-in-Aid for Scientific Research)"

URL: https://www.mext.go.jp/a\_menu/shinkou/hojyo/1284449.htm

#### 4. Completion of Research Ethics Education Coursework, etc.

Principal Investigators and Co-Investigators taking part in a research funded by the KAKENHI, are requested to have completed properly the following procedures including research ethics, by the time they submit the formal application for grant delivery of a newly adopted research project in the FY2021 Grants-in-Aid for Scientific Research, and <u>upon the formal application for a grant delivery, it shall be confirmed through the Electronic Application System whether they will have taken the research ethics education coursework, etc.</u>

If a PI or Co-I completed the research ethics related procedures in the past, or has moved from the research institute at which he/she completed the procedure, he/she should check with the administrative section of his/her current institution for the validity of the procedure he/she conducted in the past.

[Actions to be taken by the Principal Investigator]

- The PI must either read through and learn the teaching materials by him/herself concerning the research ethics education coursework such as "For the Sound Development of Science The Attitude of a Conscientious Scientist" published by the JSPS Editorial Committee of "For the Sound Development of Science," the "e-Learning Course on Research Ethics [eL CoRE]" or "APRIN e-learning program (eAPRIN)," etc., or attend a lecture on research ethics conducted by research institutes based on "Guidelines for Responding to Misconduct in Research" (Adopted by the MEXT on August 26, 2014), by the time of the formal application for grant delivery.
- The PI must understand thoroughly and exercise the proper research practices in conducting his/her research, from amongst the contents of both the Statement "Code of Conduct for Scientists -Revised Version-" by the Science Council of Japan and the booklet "For the Sound Development of Science -The Attitude of a Conscientious Scientist-" issued by the JSPS, by the time of the formal application for grant delivery.
- · From each Co-Investigator-to-be, the PI must
  - (i) obtain a consent of participation in the research project as a Co-I through the Electronic Application System and also a consent expressing "the completion of a seminar attendance or other kinds of coursework relevant to research ethics by the time of the formal application for the grant delivery of the research project in question," by the time of submitting (sending) the Research Proposal Document to the research institution which the PI belongs to, and;
- (ii) ascertain that the Co-I has actually completed the coursework such as an attendance at the lecture on research ethics by the time of the formal application for the grant delivery.

[Actions to be taken by the Co-Investigator-to-be]

- The Co-I must provide the PI with both a consent of the participation in the research project as a Co-I via the Electronic Application System and a consent expressing "the completion of a seminar attendance or other kinds of coursework relevant to research ethics by the time of the formal application for the grant delivery of the research project in question".
- The Co-I must either read through and learn the teaching materials by oneself concerning the research ethics education coursework such as "For the Sound Development of Science The Attitude of a Conscientious Scientist" published by the JSPS Editorial Committee of "For the

Sound Development of Science," the "e-Learning Course on Research Ethics [eL CoRE]" or "APRIN e-learning program (eAPRIN)," etc., or attend a lecture on research ethics conducted by research institutes based on "Guidelines for Responding to Misconduct in Research" (Adopted by the MEXT on August 26, 2014), and report the PI to the effect that he/she has done, by the time of the formal application for the grant delivery by the PI.

• The Co-I must understand thoroughly and exercise the proper research practices in conducting their research, from amongst the contents of both the Statement "Code of Conduct for Scientists - Revised Version-" by the Science Council of Japan and the booklet "For the Sound Development of Science - The Attitude of a Conscientious Scientist-" issued by the JSPS, and report the PI to the effect that he/she has done, by the time of the formal application for the grant delivery by the PI.

#### 5. Registration of the Researcher Information in "researchmap"

The "researchmap (<u>https://researchmap.jp/</u>)" is the Japan's largest researcher information database as a general guide to Japanese researchers. The information on the research achievements registered in the researchmap is ready to be openly available over the Internet and the database itself is linked to the e-Rad, many university faculty databases and so on. The Japanese Government as a whole is going to further utilize the researchmap.

Furthermore, since the posted information in the researchmap and/or the Grants-in-Aid for Scientific Research Database (KAKEN) is to be handled as a reference according to the necessity in the review of the KAKENHI applications, the registration of the researcher information into the researchmap is encouraged. In addition, when doing so, make sure to register the "Researcher Number" because the posted information is to be searched with the "Researcher Number" when referring to the posted information in the researchmap in the course of the review.

< Inquiries >

Service Support Center (in charge of the "researchmap")

Department for Information Infrastructure

Japan Science and Technology Agency

Web inquiry form: https://researchmap.jp/public/inquiry/

#### 6. Cooperation to Review

The Grants-in-Aid for Scientific Research-KAKENHI- adopts a peer review process in which the researchers selected from their own community engaged themselves in the assessment and reviewing of each research proposals on the basis of its scientific merit. The KAKENHI review is conducted thanks to the cooperation of more than 7,000 researchers as reviewers. The peer review forms the basis of the autonomy of academic community and plays an important role in ensuring quality of scientific research and its improvement. The review of applications is carried out with the constructive and mutually critical spirit of scientists and based on the purely academic value. It is no exaggeration to say that the KAKENHI review system is indispensable in supporting Japan's scientific research into the future among other research funds.

The Grants-in-Aid for Scientific Research (KAKENHI) program is supported by researchers who have responsibilities not only to conduct the funded research projects as applicants and grant recipients but also as a reviewers. It is important for researchers to find out excellent research proposals as reviewers in order to support the scientific research as is the case of putting out excellent research results with KAKENHI funds. It is expected that the above-stated understanding is share in the academic community. Furthermore, participating to the review process has an aspect of fostering researchers through enhancing their capability to conduct the objective and academic assessments based on the various views of fellow reviewers leading up to broaden their horizons. In order to support the peer-review system of KAKENHI by the whole body of researchers by appropriately sharing the burden of proposal review without putting an extra load on some researchers. The researchers' positive participation in the review process is well appreciated when they are requested to become the KAKENHI reviewer by JSPS or MEXT in the future.

# **IV. Instructions for Grant Recipients**

Handling of a research project that is to be continued in FY2021 (hereafter referred to as "continued research project")

# 1. Handling of Continued Research Projects Whose PI Fails to Submit the Report on the Research Achievements of his/her Other KAKENHI Project

As is the case for new proposal submissions, no KAKENHI will be delivered to a researcher who fails to submit the Report on the Research Achievements at the end of the research period, without any justifiable reason. In such cases, a cancellation of the official grant decision and an order for refund of the grant may be issued. In addition, the information such as the name of the research institution of the said researcher may be made public.

Furthermore, if a researcher fails to submit the scheduled Report on the Research Achievements without any justifiable reason, then he/she may be ordered to suspend the spending of his/her other KAKENHI grant(s) for the same fiscal year.

### 2. Completion of Research Ethics Education Coursework, etc.

The PI should check with the administrative section of his/her institution about the rules concerning the research ethics education coursework, etc. For a continued research project upon the formal application for a grant delivery or request for payment in every fiscal year, it shall be confirmed through the electronic application system whether the PI and Co-I(s) have taken the research ethics education coursework, etc.

In case that the PI intends to add a new Co-I to the continued project in FY2021, the PI has to obtain a consent to become a Co-I from the Co-I-to-be via the electronic application system in advance. In this case, the Co-I-to-be has to complete the followings prior to the formal application for grant delivery and report to the PI what he/she has done. (Or, in case the grant has been already delivered, he/she has to do the followings by the time the "application for approval of change of the Co-Investigator" is submitted by the PI to JSPS.)

- Either to read through and learn the teaching materials by oneself concerning the research ethics education coursework such as "For the Sound Development of Science The Attitude of a Conscientious Scientist" published by the JSPS Editorial Committee of "For the Sound Development of Science, the "e-Learning Course on Research Ethics [eL CoRE]" or "APRIN e-learning program (eAPRIN)," etc., or to attend a lecture on research ethics conducted by research institutions based on "Guidelines for Responding to Misconduct in Research" (adopted by MEXT on August 26, 2014).
- To understand thoroughly and to exercise the proper research practices in conducting their research, from amongst the contents of both the statement "Code of Conduct for Scientists Revised Version-" by the Science Council of Japan and the booklet "For the Sound Development of Science -The Attitude of a Conscientious Scientist -" issued by JSPS.

## V. Instructions for Administrative Staff of Research Institution

### 1. Sharing the Purpose and Aim of the KAKENHI System

The KAKENHI provides a financial support for the creative and pioneering researches based on the original ideas of researchers.

Review of the submitted research proposals is conducted by the peer review process, in which researchers selected from their own community engage themselves in the assessment and reviewing of each research proposals on the basis of its scientific merit. The KAKENHI review process is based on the cooperation of more than 7,000 reviewers.

While the KAKENHI review process has been continually improved by, for instance, the introduction of new review methods from the FY2018 grant, the growing needs of KAKENHI have resulted in the number of new applications exceeding one hundred thousand in recent years. The workload on the researchers who are cooperating as reviewers is getting heavier along with the increase in the applicant number. Pressing concern is that if the burden on the reviewers keeps increasing to be excessive, it may seriously affect the reviewers' own research and educational activities, and may also result in deterioration of the quality of the review process. One of the possible factors for the recent increase in the application number may be attributed to the fact that some of research institutions seem to set the KAKENHI application as one of their organizational activity indicators. Application for the KAKENHI grant per se should be made on the basis of the initiative of the researchers is undesirable.

All research institutions are requested to share and disseminate within themselves the primary purpose and aim of the KAKENHI system afresh.

### 2. Issues to Be Completed Beforehand by the "Research Institution"

(1) Requirements as a "Research Institution" and Procedures for Designation and Change In order to apply for the KAKENHI, a researcher needs to belong to a "Research Institution." Concerning the "Research Institution" cited here, the following four types of "Research Institution" have been designated as eligible in Article 2 of the Rules for the Handling of Grants-in-Aid for Scientific Research announced by the Ministry of Education, Culture, Sports, Science, and Technology (MEXT).

- 1) Universities and inter-university research institutions
- 2) MEXT facilities and other institutions engaged in scientific research
- 3) Technical colleges
- 4) Institutions designated by the MEXT (see note as below)

Note:

In order to become a research institution, institutions not falling under 1) to 3) first need to receive the designation by MEXT. Therefore, institutions should consult with the Scientific Research Aid Division of the Research Promotion Bureau of MEXT.

Moreover, if changes in one of the following items have been scheduled, institutions that have received the designation by MEXT and already have been recognized as a research institution should promptly report the content of these changes to the Scientific Research Aid Division of the Research Promotion Bureau of MEXT.

- A) Abolition or dissolution of the research institution
- B) Name and address of the research institution, and name of the representative
- C) Matters concerning laws, regulations, endowment acts, and other rules that prescribe the purpose of establishment, the business content, and the internal organization of the research institution

Moreover, researchers who belong to such institutions should consider that, in order to conduct research activities using the KAKENHI, the research institution should meet the requirements mentioned below.

- < Requirements >
  - 1) The research institution must authorize the research project for which the KAKENHI is granted, as its proper activity.
  - 2) The research institution must take responsibility for management and accounting of the KAKENHI delivered to its researcher(s).

## (2) Ascertainment of the Eligibility to Apply of the Affiliated Researcher

Researchers who intend to apply for KAKENHI should meet the requirements (i) and (ii) below. Therefore, they should sufficiently verify these requirements with the research institution. Researchers who intend to apply for KAKENHI should meet following application eligibility. (see page 28)

- (i) At the time of the proposal submission, a researcher needs to have been approved by his/her research institution as an eligible researcher who meets the Requirements a), b) and c) stated below, and have his/her Researcher Information properly registered in the e-Rad system as eligible for KAKENHI application.
  - < Requirements >
    - a) The applicant must be an individual belonging to a research institution with job assignment including research activity within the said institution. (Whether the job is paid/unpaid, or full-time/part-time is irrelevant. It is not a prerequisite of eligibility that the research activity constitutes the main part of his/her job.)
    - b) The applicant must be actually engaged in research activity in his/her research institution. (Those who are only engaged in research assisting jobs are ineligible.)
    - c) The applicant must not be a graduate student or any other categories of student. (However, an individual who has a position in a research institution with a research activity as his/her main job (e.g., university teaching staff, researcher belonging to a company, etc.) and holds a student status at the same time is eligible.)
- (ii) The individual must not be categorized as ineligible for grant acquisition in the fiscal year subjected to call for proposals, as a penalty for his/her improper grant spending, fraudulent grant acquisition, or research misconduct.

<Important point 1>

KAKENHI employee whose personnel cost is covered with the KAKENHI fund is generally bound by their employment contract to concentrate on the research work relevant to the employment-related work specified in his/her employment contracts. Therefore, such a KAKENHI employee cannot apply for his/her own KAKENHI project which is to be conducted within the working hours of his/her employment.

However, provided that he/she can clearly demarcate his/her own research hours from the working hours of employment and intends to conduct his/her own research project during the working hours on his/her own initiative, the KAKENHI employee can submit his/her own KAKENHI proposal, on the condition that the following points are verified by his/her research institution. In this case, he/she can apply as PI, or participate to other KAKENHI project(s) as Co-I.

- The KAKENHI employee is granted on his/her employment contract, to conduct research on his/her own initiative, besides the employment-related work.
- The employment-related work and the work devoted to the research on the KAKENHI employee's own initiative are clearly demarcated in regard to the working hours and the effort.
- The KAKENHI employee is able to secure enough research hours (besides the working hours for his/her employment-related work) to be allotted to his/her own KAKENHI project.

[Self-motivated research activities by an "early-career scientist" employed with KAKENHI]

A young researcher (\*) who is employed with KAKENHI funds (KAKENHI employee) and meets

the following conditions, may conduct his/her own research during the working hours assigned for the employment-related work, after going through the necessary procedures set by his/her research institution. He/she can apply for KAKENHI as a PI or become a Co-I.

(1) The young researcher desires on his/her own will to conduct his/her own research.

(2) The PI or Co-I (the employer of the young researcher) decides that the said research has a positive contribution to the promotion of the funded research project for which he/she is employed, and the research institution approves the decision.

(3) The PI or Co-I judges that the efforts to be spared by the young researcher to the said research is within the extent that do not cause any hindrance to the execution of the funded research project for which he/she is employed, and the research institution approves the judgement. (The upper limit of the efforts to be spared to the self-motivated research is 20 percent of the efforts to be put into the funded research project for which he/she is employed.)

\* In this context, "young researcher" is defined as an individual who is age 39 or under or less than 8 years after Ph.D. acquisition as of April 1 of each fiscal year, and whose job assignment includes research activities. When applying for Grants-in-Aid for Scientific Research (KAKENHI) he/she must meet the eligibility requirements for KAKENHI application.

(Reference) Views on the introduction of self-motivated research activities by KAKENHI employee

Attachment to the "Proposals of the Grants-in-Aid for Scientific Research (KAKENHI) in Fiscal Year 2020" (March 19, 2020) (Excerpt)

https://www.jsps.go.jp/j-grantsinaid/06\_jsps\_info/g\_200316/index.html

Grants-in-Aid for Scientific Research (hereinafter referred to as "KAKENHI") is a funding scheme that is intended to promote development of scientific research (based on original ideas of researchers), encompassing basic to applied researches in all fields ranging from humanities and social sciences to natural sciences. Scientific research is a source of innovation *i.e.*, value creation based on new knowledge and has a vital role in nurturing human resources for leading a knowledge-based society broadly. It is particularly important to foster young scientists who are responsible for the next generation in order that the scientific research may sustainably exercise its role in the society.

It enable young researchers employed with a KAKENHI grant to conduct self-motivated research activities (including research activities with other research funds and activities helping research/management capacity building; hereinafter the same). Allowing them to conduct research activities in an independent and free research environment contributes not only to fostering young researchers, but also to the further development of the KAKENHI projects of their research institutions through research based on their freewheeling thinking and to the development of scientific research the entire country. Therefore, the concept of self-motivated research activities by young researchers is introduced in the KAKENHI scheme in this call for proposals.

For details, refer to the following:

"Implementation Guidelines for Self-motivated Research Activities by Young Researchers Employed with Competitive Research Funds" (February 12, 2020, Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Research Funds)

https://www.mext.go.jp/a\_menu/shinkou/torikumi/1385716\_00001.htm

<Important point 2>

If JSPS Research Fellows (SPD, PD, RPD, or CPD) meet the following application requirements at their research institutions which they register as their host research institutions, they can also

apply only from the host research institutions for research categories below other than the "Grantin-Aid for JSPS Fellows (JSPS Research Fellow)" (refer to the "Table of Restriction on Parallel Grant Application/Receipt"). If applying, the research institution should not operate that it doesn't approve the applications of which proposed research period exceeds the JSPS fellowship funding period.

i) Grant-in-Aid for Scientific Research on Innovative Areas (Research in a Proposed Research Area)

- ii) Grant-in-Aid for Scientific Research (B/C)
- iii) Grant-in-Aid for Challenging Research (Exploratory)
- iv) Grant-in-Aid for Early-Career Scientists

v) Fund for the Promotion of Joint International Research (Fostering Joint International Research (A)) (Excluding CDP)

Moreover, research institutions should bear in mind that JSPS Research Fellows (DC), Overseas JSPS Fellows, and students including graduate students cannot apply, even if they hold a position in which they conduct research activities in the research institution to which they belong or in another research institution.

### (3) Confirmation of the Researcher Information Registered in the e-Rad System

In addition to the Principal Investigator who intends to apply, the Co-Investigator who makes up the Project Members should be limited to whom the researcher information has been registered in e-Rad as "Eligible to Apply for KAKENHI" when research institution submits (sends) the Research Proposal Document to MEXT.

Regarding the registration (update) of the researcher information necessary when applying, the administrative staff in the research institution to which the researcher belongs should perform the procedures using e-Rad. (If there is any item, such as the institution, the position, or others, that needs to be corrected, even though the applicant information has already been included in the researcher list of the research institution, he/she needs to register the correct information on the researcher list.)

For specifics on the method of registration, administrative staff of the research institution should verify the "Manual for Research Institutions to which the Researchers belong (for Research Institution Office Representatives and for Research Institution Office Workers)."

Moreover, concerning the registration of the researcher information in e-Rad, there is no registration period (deadline). Therefore, registration is possible at any time.

However, since Research Proposal Document will not be accepted after the deadline for submission of Research Proposal Document, applicants should complete the registration (update) of the researcher information early, in order to have sufficient time to submit them.

In order not to negatively affect the compilation of the applications within the research institution, when completing the applications, the research institution should perform the various procedures (including the procedures within the research institution), positioning this specific procedure as

#### (Reference) On "Grant-in-Aid for Research Activity Start-up"

The "Grant-in-Aid for Research Activity Start-up" is aimed at supporting researchers who were not able to apply for the Call for Proposals that was announced in September 2020 by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) and by the Japan Society for the Promotion of Science (JSPS), such as those who are newly appointed to a research position, and those who are returning from their leave of absence for childcare, etc. after the regular submission deadline.

The FY2021 Call for Proposals in this category is scheduled for March 2021, and the provisional conditions of the eligibility for application are as follows:

- (i) An individual who could not submit a KAKENHI proposal, because he/she obtained the eligibility for KAKENHI application only after the application deadline (November 5, 2020) to the research categories (\*) of which the Call for Proposals was announced in September 2020 by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) and by the Japan Society for the Promotion of Science (JSPS).
- (ii) An individual who could not submit a KAKENHI proposal to the research categories (\*) for which the call for proposals was announced in September 2020 by MEXT and JSPS, because he/she was on a leave of absence for childcare, etc. in FY2020.

(For the details, the Application Procedures for the "Grant-in-Aid for Research Activity Start-up" category to be announced in March 2021 should be referred to.)

Since the registration to the e-Rad system is handled by the research institution, researchers who may come to fall under the category (i) above, should act accordingly by contacting the administrative section of his/her prospective research institution.

- (\*) Here, the relevant research categories are "Scientific Research on Innovative Areas," "Specially Promoted Research," "Scientific Research," "Challenging Research" and "Early-Career Scientists" among the Grants-in-Aid for Scientific Research for FY2021.
- (Note) JSPS Research Fellows (SPD, PD, RPD or CPD) are not eligible for application to the "Grant-in-Aid for Research Activity Start-up," even if they satisfy the above application conditions.

### (4) Obtainment of an ID and a Password for the Researcher Belonging to the Research Institution

In order to apply for KAKENHI, researchers should perform the procedures, by accessing the "Electronic Application System," he/she should retain the ID and the Password for e-Rad.

For this reason, the research institution should verify whether researchers who intend to apply have an ID and a Password, or not.

In the case where there is a researcher who intends to apply and who has neither ID nor Password, the research institution should provide him/her with an ID and a password in accordance with the following procedure.

 In order to provide the researcher with an ID and a Password, the research institution needs to have an ID and a Password for use of the research institution. If the research institution has not yet obtained them, it should first of all download a registration form from the e-Rad Portal site, conduct a registration application in writing.

It takes approximately two weeks for the "ID and Password for use of the research institution" to arrive after registration application the "Application for Use of the Electronic Application System".

Notes:

- \*1: Please refer to "How to Apply for the Registration on Research Institutions." (URL: <u>https://www.e-</u> <u>rad.go.jp/organ/entry.html</u>) on the e-Rad website for information on downloading an application form for the ID and password for e-Rad.
- \*2: Research institutions that already obtained an ID and a password for e-Rad issued do not need to obtain it again.

- \*3: It is not necessary to obtain an ID and a password for e-Rad for each research category of the KAKENHI.
- 2) After obtaining an ID and a Password for use of the research institution, the administrative staff in the research institution should provide an ID and a password to the researcher who is planning to apply as a Principal Investigator. The ID and password for each researcher is issued through registration of the researcher information in e-Rad. Please refer to the "Manual for Research Institutions" (for Research Institution Office Representatives and for Research Institution Office Workers: the section of "Procedures for Researchers") for information on the concrete way how to provide them.

Notes:

- \*1: When providing the login ID and password, research institutions must make it known to researchers that they must strictly protect the login ID and password in order to prevent them from being disclosed to others.
- \*2: Once the ID and the password for the researcher have been provided they can be used, even if the research institution changes.
- \*3: Please be sure to obtain and use the latest version of the Operation Manual.

# (5) Submission of the "Self-Assessment Checklist on the Improvement of the System" Based on the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)"

When implementing the adopted research projects with KAKENHI grant the research institutions must comply with the content of the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)" (Adopted by the Minister of MEXT. Revised on February 18, 2014.) (hereinafter referred to as "Guidelines on Public Research Funds"), they must set up a system of the management and audit for implementing the public research funds and report the state of implementation and other matters by submitting a "Self-Assessment Checklist on the Improvement of the System based on the Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)" (hereinafter referred to as "Self-Assessment and Audit of Public Research Funds at Research Institutions (Implementation Standards)" (hereinafter referred to as "Self-Assessment Checklist on the Improvement Of the System").

Therefore, "those research institutions which Principal Investigators and Co-Investigators applying for KAKENHI in FY2021 belong to" and "those research institutions which Principal Investigators and Co-Investigators continuing research projects using KAKENHI are scheduled to belong to in FY2021" <u>must submit in accordance with the procedure and forms posted on the MEXT the</u> <u>"Self-Assessment Checklist on the Improvement of the System" to the Office of Research Funding Administration, Promotion Policy Division, Research Promotion Bureau of the MEXT by January 28 (Thursday), 2021 via e-Rad. For details, refer to the website (URL: <u>https://www.mext.go.jp/a\_menu/kansa/houkoku/1324571.htm).</u>If the "Self-Assessment Checklist on the Improvement of the System" has already been submitted in April 2020 or later, it is not necessary to submit it again.</u>

## <u>Researchers affiliated to a research institution which has not turned in the said checklist</u> <u>cannot receive the official grant decision</u>.

Note: When using e-Rad, an ID and a Password for the research institution are necessary.

< Inquiries >

(Concerning forms and submission of the Guidelines on Public Research Funds)

Office of Research Funding Administration, Promotion Policy Division, Research Promotion Bureau, MEXT

e-mail: kenkyuhi@mext.go.jp

URL: https://www.mext.go.jp/a\_menu/kansa/houkoku/1324571.htm

(Concerning the research institute e-Rad registration)

Helpdesk of the Cross-ministerial Research and Development Management System of MEXT Telephone: 0570-066-877 (Navi Dial)

Office hours: 9:00-18:00, except on Saturdays, Sundays, National Holidays and the New

Year Holidays (from December 29 until January 3)

URL: https://www.e-rad.go.jp/organ/entry.html

(Time period when e-Rad is available for use)

Monday to Sunday 0:00 - 24:00 (in operation 24 hours a day, 365 days a year)

However, even during the above-mentioned time period, it may happen that the operation of e-Rad is disrupted or suspended, when maintenance and inspection is being carried out. If the operation is scheduled to be disrupted or suspended, this will be announced beforehand on the portal site.

(6) Submission of the "Checklist Pertaining to the Current Status" Based on the "Guidelines for Responding to Research Misconduct"

When implementing the research projects with KAKENHI grant the research institutions must comply with the content of the "Guidelines for Responding to Research Misconduct" (Adopted by the Minister of MEXT on 26 August 2014) (hereinafter referred to as "Guidelines on Research Misconduct") and submit a "Checklist Pertaining to the Current Status based on the Guidelines for Responding to Research Misconduct" (hereinafter referred to as "Checklist on the Research Misconduct").

Therefore "those research institutions which the Principal Investigators and Co-investigators applying for KAKENHI in FY2021 belong to" and "those research institutions which Principal Investigators and Co-Investigators continuing research projects using KAKENHI are scheduled to belong to in FY2021" <u>must submit in accordance with the procedure and forms posted on the MEXT the "Checklist on the Research Misconduct" to the Office for Research Integrity</u> <u>Promotion, Human Resources Policy Division, Science and Technology Policy Bureau of the MEXT by January 28 (Thursday), 2021 via e-Rad. For details, refer to the website (URL: <u>https://www.mext.go.jp/a\_menu/jinzai/fusei/1415332\_00001.htm</u>).If the "Checklist on the Research Misconduct" has already been submitted in April 2020 or later, it is not necessary to submit it again.</u>

<u>Researchers affiliated to a research institution which has not turned in the said checklist</u> <u>cannot receive the official grant decision.</u>

Note: Please note that while the "Checklist on the Research Misconduct" is the same in using e-

## Rad for submission with the "Self-Assessment Checklist on the Improvement of the System," the submission destination is different. Both checklists must be submitted.

Note: When using e-Rad, an ID and a Password for the research institution are necessary.

< Inquiries >

- (Concerning the format and submission of Guidelines for Responding to Research Misconduct)
- \* Differs from the contact information for the Guidelines on Public Research Funds.

Office for Research Integrity Promotion, Human Resources Policy Division, Science and Technology Policy Bureau, MEXT

e-mail: kiban@mext.go.jp URL: <u>https://www.mext.go.jp/a\_menu/jinzai/fusei/index.htm</u>

(Concerning the research institute e-Rad registration)

Helpdesk of the Cross-ministerial Research and Development Management System (e-Rad) of MEXT

Telephone: 0570-066-877 (Navi Dial)

Office hours: 9:00-18:00, except on Saturdays, Sundays, National Holidays and the New Year Holidays (from December 29 until January 3)

URL: https://www.e-rad.go.jp/organ/entry.html

(Time period when e-Rad is available for use)

Monday to Sunday 0:00 - 24:00 (in operation 24 hours a day, 365 days a year)

Even during the above-mentioned time period, the operation of e-Rad may be disrupted or suspended, when maintenance and inspection is being carried out. If the operation is scheduled to be disrupted or suspended, this will be announced beforehand on the portal site.

## (7) Implementation of a Research Ethics Education Coursework Based on the "Guidelines on Research Misconduct," etc.

Principal Investigators and Co-Investigators taking part in a new research project have to complete followings before the formal application for grant delivery.

- Either to read through and learn the teaching materials by oneself concerning the research ethics coursework such as "For the Sound Development of Science -The Attitude of a Conscientious Scientist-" (JSPS Editing Committee of "For the Sound Development of Science"), the "e-Learning Course on Research Ethics (eL CoRE)," the "APRIN e-learning program (eAPRIN)," etc., or to attend a lecture on research ethics conducted by research institutes based on the "Guidelines on Research Misconduct."
- To understand thoroughly and to exercise the proper research practices in conducting their research, from amongst the contents of both the Statement "Code of Conduct for Scientists Revised Version-" by the Science Council of Japan and the booklet "For the Sound Development of Science -The Attitude of a Conscientious Scientist-" issued by the JSPS.

To that end, each research institution is requested to disseminate broadly what the researchers should consider, in conducting of their researches as well as carrying out an ethics education in

research training session based on the "Guidelines on Research Misconduct."

#### (8) On the Submission of the Report on the Research Achievements

The research institution to which researchers belong has to collect and submit the report on the research achievements. If the research institution has failed, without justifiable reason, to submit the report on the research achievements at the end of the research period, it may happen that it is treated as indicated below. Therefore, it is the responsibility of the representative of the research institution to ensure that the report on the research achievements is submitted without fail.

• No KAKENHI will be delivered to researchers who do not submit the report on the research achievements at the end of the research period, without justifiable reason. Moreover, it may happen that the official grant decision to the researcher is cancelled, that an order to return the grant is issued, or that the information such as the name of the research institute the said researcher belongs to is disclosed in public.

Furthermore, if researchers have failed to submit the scheduled report on the research achievements without justifiable reason, then execution of other KAKENHI implemented in the same fiscal year will be suspended.

### (9) Obtaining Sufficient Knowledge about the Contents of the Application Procedures

The research institution should beforehand disseminate the contents of the Application Procedures to all the researchers belonging to it. MEXT would especially like to request the dispersion of information on the items listed in the Application Procedures and the submission deadlines of Research Proposal Document, in order to avoid potential misunderstandings.

Moreover, the Application Procedures are available on the section Grants-in-Aid for Scientific Research of the MEXT website.

URL: https://www.mext.go.jp/a\_menu/shinkou/hojyo/boshu/1351544.htm

# **3. Issues that Need to Be Verified When Compiling the Application Forms** (Preparing the Research Proposal Document)

The contents of the Research Proposal Document should be verified in each research institution, and all the Research Proposal Document should be submitted to MEXT together. When doing so, special attention should be paid to the following points.

## (1) Ascertainment of the Eligibility for KAKENHI Application

It should be verified whether the Principal Investigator and the Co-Investigator(s) listed in the Research Proposal Document are researchers who meet the requirements that are stipulated in the Application Procedures (see page 28), and also whether the researcher information is registered in e-Rad as "Eligible to Apply for KAKENHI."

Moreover, it should be verified certainly that they must not be categorized as ineligible for grant acquisition in fiscal year covered by a call for proposals, as a penalty for his/her improper grant spending, fraudulent grant acquisition, or research misconduct.

### (2) Confirmation of the Researcher Information Registered in the e-Rad System

Regarding the registration (update) of the researcher information necessary when applying, the administrative staff in the research institution to which the researcher belongs should perform the procedures using e-Rad.

Moreover, even though applicant has already been included in the researcher list of the research institution, if there is any item such as the department placed, the position, or others that needs to be corrected, the applicant's information on the researcher list should be corrected.

### (3) Verification with the Principal Investigator

etc. as well.

The research institution should verify whether the Principal Investigator and the Co-Investigator(s) who have been listed in the Research Proposal Document have completed the Research Proposal Document, after confirming the description in the column "II. Call for Proposals" in this Application Procedures for Grants-in-Aid for Scientific Research.

### (4) The Process of the Participation of Co-Investigator in Project Members

A research institution should conduct the process such as giving a consent with regard to the researcher who belongs to it becoming a Co-Investigator via the Electronic Application System. When the information on the Co-Investigator-to-be is presented to the research institution to which the Co-Investigator-to-be belongs via the Electronic Application System after the researcher who was requested to become a Co-Investigator from the Principal Investigator gave a consent to do so in the Electronic Application System, then the research institution need to give a consent to do so,

Since the Principal Investigator cannot submit (send) the Research Proposal Document to his/her research institution until the Co-Investigators-to-be's research institution gives a consent to do so, etc., the research institution should proceed with the consent process in time for the deadline of the submission.

\* Please refer to the KAKENHI Electronic Application System Operation Manual (URL: <u>https://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei\_ka.html)</u> for the detailed information such as operating environment, operating method, and so on.

### (5) Verification of the Application Forms

It should be verified whether the application format is in conformity with the prescribed format. As for the forms to be uploaded, in particular, verify not only the total number of pages but also the numbers of pages instructed for each column is met.

Moreover, the format and other matters of the application forms for each research category are as follows.

	Application Forms			
Research category	Items to be entered in the Website (First half)	Forms to be uploaded (File ID)	Items to be entered in the Website (Second half)	
Grant-in-Aid for Transformative Research Areas (A)		S-71		
(Research Area Proposal)	-			
Grant-in-Aid for Transformative Research Areas (A) (Administrative Group)	To be entered in the	S-72	To be entered in the Electronic Application System (Main existing equipment,	
Grant-in-Aid for Transformative Research Areas (A) (Planned Research)	Electronic Application System (Title of research project, Fundamental data on the research project such as	S-73	details of research expenditure and their necessity, status of application and acquisition of research grants, etc.)	
Grant-in-Aid for Transformative Research Areas (B)	- total budget, Data on the project members, etc.)	S-75		
(Research Area Proposal (Summary Version))			_	
Grant-in-Aid for Transformative Research Areas (B)		S-76		
(Research Area Proposal (Detailed Version))				
Grant-in-Aid for Transformative Research Areas (B)		S-77	To be entered in the Electronic Application System	
(Administrative Group)			(Main existing equipment, details of research	
Grant-in-Aid for Transformative Research Areas (B)		S-78	expenditure and their necessity, status of application and acquisition	
(Planned Research)			of research grants, etc.)	

# 4. Submission and Other Matters of the Research Proposal Document (Preparing the Research Proposal Document)

## (i) Grant-in-Aid for Transformative Research Areas (A)

# Procedures that Need to be Completed in Application (Documents needed to be submitted in application)

- 1) Procedures to be Performed by the Research Institution to which Principal Investigators of Planned Research (including research projects of "Administrative Group") belong
  - A) The research institution should access the Electronic Application System using the e-Rad ID and the password to obtain the "Application Information (PDF files)" prepared by the Principal Investigators of the Planned Research, and verify the contents and other matters.
  - B) If there are no mistakes in the contents of the "Application Information (PDF files)," the research institution should perform the "verify" process. (Only the "Application Information (PDF files)" verified by the research institution is deemed to have been submitted (sent) to the Head Investigator through the Electronic Application System. Moreover, it is not possible to change the contents of "Application Information (PDF file)" after verification of the research institution unless the Head Investigator rejected it.)

### 2) Procedures to be Performed by the Research Institution to which a Head Investigator belong

- A) The research institution should obtain the information of the "Research Area Proposal (PDF file)" prepared by the Head Investigator based on his/her verification of the application information submitted from the Principal Investigator of each Planned Research, and verify the contents or other matters.
- B) If there are no mistakes in the contents of the "Research Area Proposal (PDF file)," the research institution should perform the "approval" process. (The "Research Area Proposal (PDF file)" is deemed to have been submitted (sent). Moreover, it is not possible to change the contents of the "Research Area Proposal (PDF file)" once it has been submitted (sent).)

The deadline for the submission of the Research Area Proposal is:

January 28 (Thursday), 2021, 4:30 pm (This deadline should be strictly observed.)

- Note 1: Research Area Proposal that are submitted (sent) after this deadline will not be accepted for any reason. Therefore, the documents should be submitted (sent) well in advance.
- Note 2: After the submission (sending) of the application documents, it is not possible to make corrections or to re-submit them.

## Procedures that Need to be Completed after Selecting an Area Subject to Interview (Documents needed to be submitted after selecting an area subject to interview)

- 1) Procedures to be Performed by the Research Institution to which Principal Investigators of Planned Research (including research projects of "Administrative Group") belong
  - A) The research institution should access the Electronic Application System using the e-Rad ID and the password to obtain the "Research Proposal Documents (PDF files)" prepared by the Principal Investigators of the Planned Research and verify their contents and other matters.
  - B) If there are no mistakes in the contents of "Research Proposal Documents (PDF files)," the research institution should perform the "verify" process. (Only the "Research Proposal Documents (PDF files)" verified by the research institution is deemed to have been submitted (sent) to the Head Investigator through the Electronic Application System. Moreover, it is not possible to change the contents of "Research Proposal Documents (PDF file)" after verification of the research institution unless the Head Investigator rejected it.)

### 2) Procedures to be Performed by the Research Institution to which a Head Investigator belong

- A) The research institution should obtain the information of the "Research Proposal Documents (PDF file)" of each Planned Research prepared by the Principal Investigators of each Planned Research and verified by the Head Investigator, and verify the contents or other matters.
- B) The research institution should perform the "approval" process of the "Research Proposal Documents (PDF files)" of each Planned Research which contain no mistakes in the contents. (The "Research Proposal Documents (PDF file)" of each Planned Research are deemed to have been submitted (sent). Moreover, it is not possible to change the contents of the "Research Proposal Documents (PDF file)" of any Planned Research once they have been submitted (sent).)

The deadline for the submission of the Research Proposal Documents of each Planned Research: The research institution to which the Head Investigator of the area subjects to interview belongs will be separately notified.

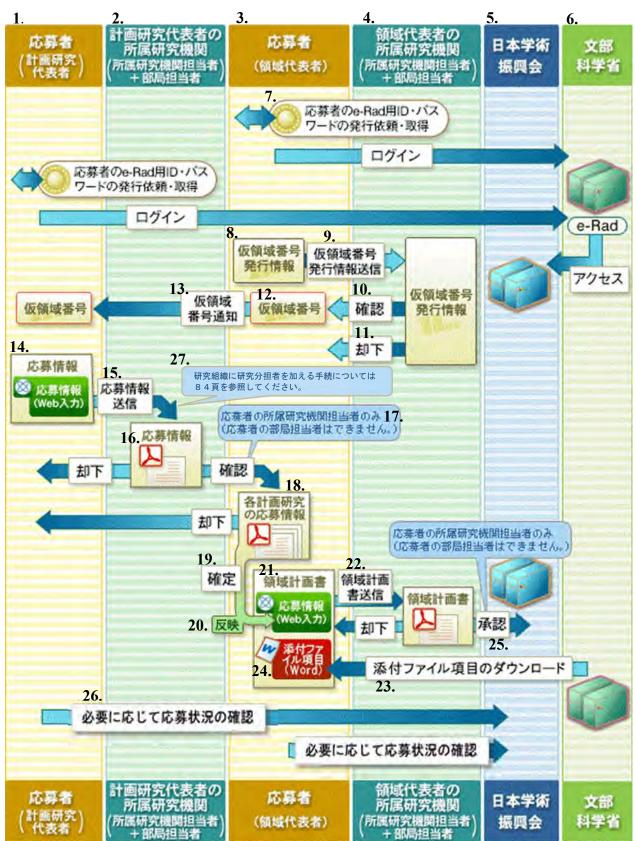
## **Outline of the Electronic Application Procedures**

The ID and the password which are used in the e-Rad are designed to verify the individual. Therefore, the handling and administration of them should be done carefully when carrying out the application procedures.

Moreover, an outline of the procedures for electronic application can be found below. However, for details on the operating environment, procedure, etc. of the "Electronic Application System," please refer to the "Operation Manual" of the website below.

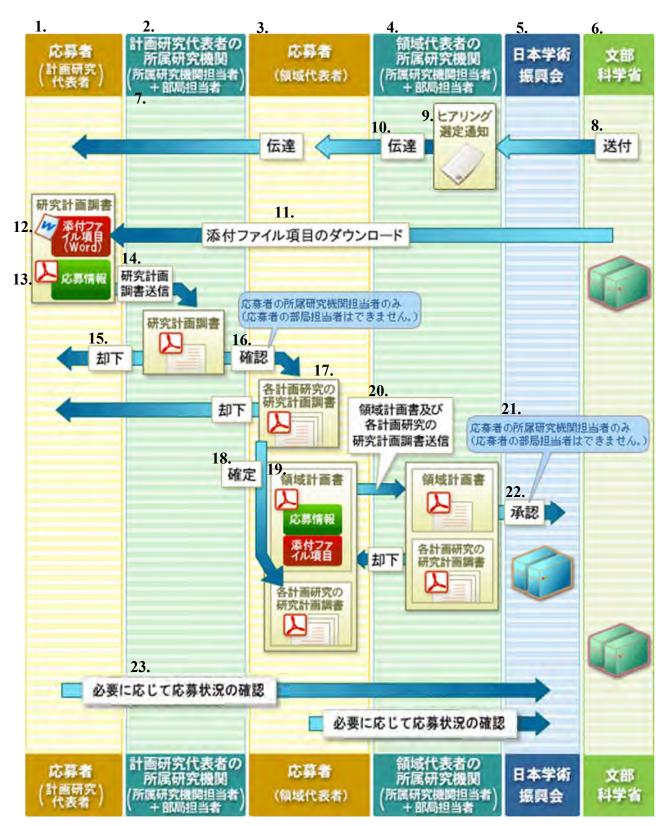
URL: https://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei\_ka.html

• Procedures that Need to be Completed in Application

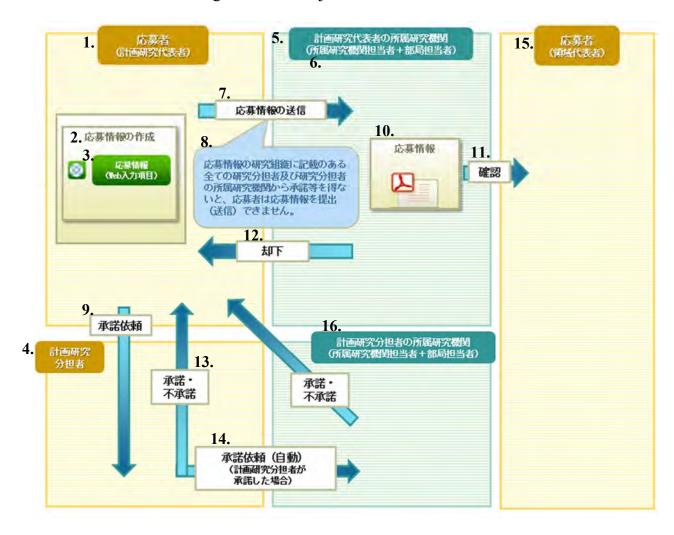


- (1) applicant (Principal Investigator of Planned Research)
- (2) the research institution to which the applicant (Principal Investigator of Planned Research) belongs
- ③ applicant (Head Investigator)
- (4) the research institution to which the applicant (Head Investigator) belongs
- (5) the Japan Society for the Promotion of Science (JSPS)
- (6) the Ministry of Education, Culture, Sports, Science and Technology (MEXT)
- O request for issue and acquisition of the applicant's e-Rad ID and password
- (8) issuance information for tentative number of research area
- (9) sending issuance information for tentative number of research area
- 1 verification
- 1 rejection
- (12) tentative number of research area
- (13) notification of tentative number of research area
- (1) application information (items to be entered in the website)
- (15) sending application information
- (**b** application information
- (1) only the person in charge of the research institution to which the applicant belongs (The person in charge of the department of the applicant cannot make an approval.)
- (18) application information (of each Planned Research)
- (19) confirmation
- (20) reflection
- 1 Research Area Proposal Document
- 2 sending Research Area Proposal Document
- 3 downloading of the forms to be uploaded as an attached file
- (2) forms to be uploaded as an attached file (Word)
- **25** approval
- (b) confirmation of the state of the application as necessary
- Delease see page 84 for procedures to add a Co-Investigator to the project members.

• Procedures that Need to be Completed after Selecting an Area Subject to Interview



- ① applicant (Principal Investigator of Planned Research
- 2) the research institution to which the applicant (Principal Investigator of Planned Research) belongs
- ③ applicant (Head Investigator)
- 4 the research institution to which the applicant (Head Investigator) belongs
- (5) the Japan Society for the Promotion of Science (JSPS)
- (6) the Ministry of Education, Culture, Sports, Science and Technology (MEXT)
- O person in charge in the research institution + person in charge in the department
- (8) mailing
- (9) notification of interview selection
- 1 communication
- (1) downloading of the forms to be uploaded as an attached file
- 1 forms to be uploaded as an attached file (Word)
- (1) application information
- (1) sending Research Proposal Document (Administrative Group) or (Planned Research)
- (15) rejection
- (16) verification
- (1) Research Proposal Document (Administrative Group) or (Planned Research)
- (18) confirmation
- (19) Research Area Proposal
- 1 sending Research Area Proposal and Research Proposal Document (Planned Research)
- (1) only the person in charge of the research institution to which the applicant belongs (The person in charge of the department of the applicant cannot make an approval.)
- 22 approval
- ③ confirmation of the state of the application as necessary



• Procedures to Add a Co-Investigator to the Project Members

- (1) applicant (Principal Investigator of Planned Research)
- (2) preparation of application information
- (3) application information (items to be entered in the website)
- (4) Co-Investigator of Planned Research
- (5) the research institution to which the applicant (Principal Investigator of Planned Research) belongs
- (6) person in charge in the research institution + person in charge in the department
- $\overline{\mathcal{T}}$  sending of application information
- (8) The applicant cannot submit (send) the application information unless he/she obtains the consent, etc., of all the Co-Investigator(s) that are indicated as project member in the application information and the research institution(s) to which the Co-Investigator(s) belong.
- (9) request for consent
- 1 application information
- (1) verification
- 12 rejection
- (13) consent/dissent
- (1) request for consent (automatic) (if the Co-Investigator of a Planned Research consents)
- (15) applicant (Head Investigator)
- (b) the research institution to which the applicant (Co-Investigator of Planned Research) belongs

# Procedures that Need to be Completed in Application (Documents needed to be submitted in application)

- 1) Procedures to be Performed by the Research Institution to which Principal Investigators of Planned Research (including research projects of "Administrative Group") belong
  - A) The research institution should access the Electronic Application System using the e-Rad ID and the password to obtain the "Research Proposal Documents (PDF files)" prepared by the Principal Investigators of the Planned Research, and verify their contents and other matters.
  - B) If there are no mistakes in the contents of the "Research Proposal Documents (PDF files)," the research institution should perform the "verify" process. (Only the "Research Proposal Documents (PDF files)" verified by the research institution are deemed to have been submitted (sent) to the Head Investigator through the Electronic Application System. Moreover, it is not possible to change the contents of the "Research Proposal Documents (PDF files)" after verification of the research institution unless the Head Investigator rejected it.)

#### 2) Procedures to be Performed by the Research Institution to which a Head Investigator belong

- A) The research institution should obtain the information of the "Research Area Proposal (Summary Version) (PDF file)" and "Research Area Proposal (Detailed Version) (PDF files)" which were prepared by the Head Investigator upon verification of the application information submitted by the Principal Investigators of each Planned Research and the "Research proposal Documents (PDF file)" which were prepared by the Principal Investigators of each Planed Research, and verify the contents or other matters.
- B) If there are no mistakes in the contents of the "Research Area Proposal (Summary Version) (PDF file), "Research Area Proposal (Detailed Version) (PDF files)" and the "Research Proposal Documents (PDF file)," the research institution should perform the "approval" process. (The "Research Area Proposal (Summary Version) (PDF file), "Research Area Proposal (Detailed Version) (PDF files)" and the "Research Proposal Documents (PDF file)" of each Planned Research are deemed to have been submitted (sent). Moreover, it is not possible to change the contents of the "Research Area Proposal (Summary Version) (PDF file)" "Research Area Proposal (Detailed Version) (PDF file) or the "Research Proposal Documents (PDF file)" of any Planned Research once they have been submitted (sent).)

The deadline for the submission of the Research Area Proposal (Summary Version)/(Detailed Version) (PDF files), and the Research Proposal Documents (PDF files) is:

January 28 (Thursday), 2021, 4:30 pm (This deadline should be strictly observed.)

Note 1: Research Area Proposals and Research Proposal Documents that were submitted

(sent) after this deadline will not be accepted for any reason. Therefore, the documents should be submitted (sent) well in advance.

Note 2: After the submission (sending) of the application documents, it is not possible to make corrections or to re-submit them.

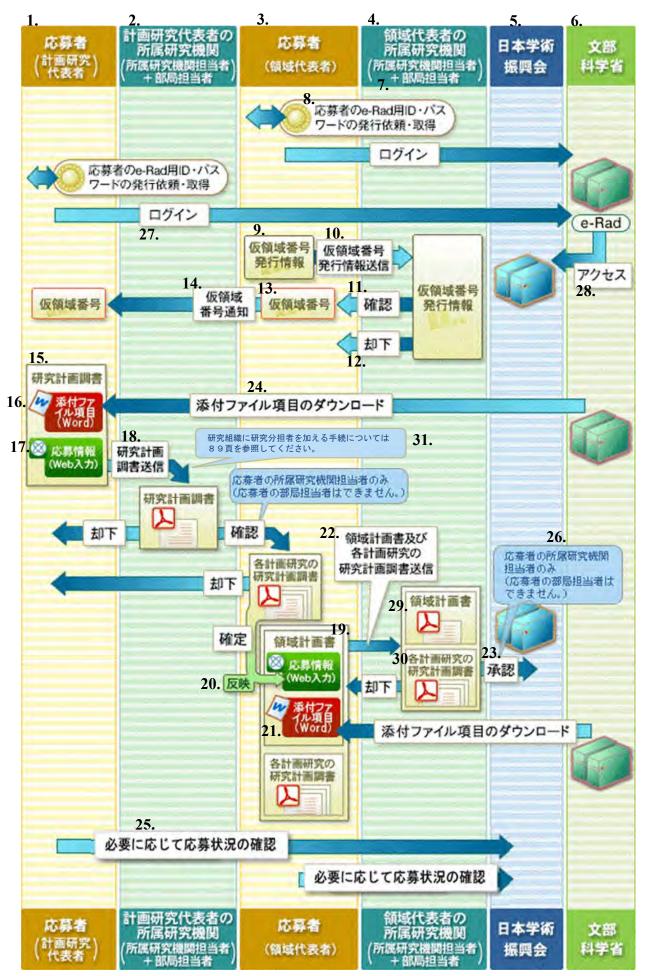
## **Outline of the Electronic Application Procedures**

The ID and the password which are used in the e-Rad are designed to verify the individual. Therefore, the handling and administration of them should be done carefully when carrying out the application procedures.

Moreover, an outline of the procedures for electronic application can be found below. However, for details on the operating environment, procedure, etc. of the "Electronic Application System," please refer to the "Operation Manual" of the website below.

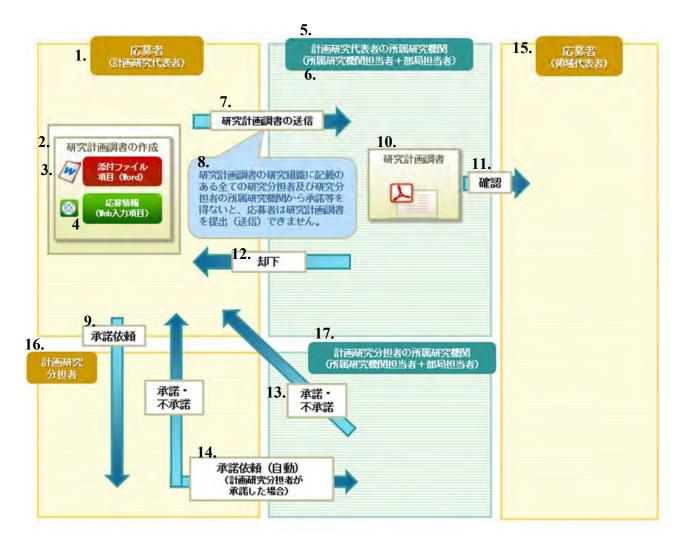
URL: https://www-shinsei.jsps.go.jp/kaken/topkakenhi/shinsei\_ka.html

• Procedures that Need to be Completed in Application



- (1) applicant (Principal Investigator of Planned Research)
- (2) the research institution to which the applicant (Principal Investigator of Planned Research) belongs
- (3) applicant (Head Investigator)
- (4) the research institution to which the applicant (Head Investigator) belongs
- (5) the Japan Society for the Promotion of Science (JSPS)
- (6) the Ministry of Education, Culture, Sports, Science and Technology (MEXT)
- $\overline{\mathcal{O}}$  person in charge in the research institution + person in charge in the department
- (8) request for issue and acquisition of the applicant's e-Rad ID and password
- (9) issuance information for tentative number of research area
- (II) sending issuance information for tentative number of research area
- (1) verification
- 12 rejection
- (13) tentative number of research area
- (1) notification of tentative number of research area
- (15) Research Proposal Document
- (b) forms to be uploaded as an attached file (Word)
- (1) application information (items to be entered in the website)
- (18) sending Research Proposal Document
- (19) Research Area Proposal
- (20) reflection
- (1) forms to be uploaded as an attached file (Word)
- 2 sending Research Area Proposal and Research Proposal Document (Planned Research)
- **(23)** approval
- (2) downloading of the forms to be uploaded as an attached fle
- (2) confirmation of the state of the application as necessary
- (b) only the person in charge of the research institution to which the applicant belongs (The person in charge of the department of the applicant cannot make an approval.)
- D log in
- (28) access
- **(29)** Research Area Proposal
- (3) Research Proposal Document (Planned Research)
- I Please see page 89 for procedures to add a Co-Investigator to the project members.

• Procedures to Add a Co-Investigator to the Project Members



- ① applicant (Principal Investigator of Planned Research)
- (2) preparation of Research Proposal Document
- ③ forms to be uploaded as an attached file (Word)
- (4) application information (items to be entered in the website)
- (5) the research institution to which the applicant (Principal Investigator of Planned Research) belongs
- (6) person in charge in the research institution + person in charge in the department
- O sending of Research Proposal Document
- (8) The applicant cannot submit (send) the Research Proposal Document unless he/she obtains the consent, etc., of all the Co-Investigator(s) that are indicated as project member in the Research Proposal Document and the research institution(s) to which the Co-Investigator(s) belong.
- (9) request for consent
- 1 Research Proposal Document
- (1) verification
- 12 rejection
- (13) consent/dissent
- (1) request for consent (automatic) (if the Co-Investigator of a Planned Research consents)
- (15) applicant (Head Investigator)
- 16 Co-Investigator of Planned Research
- 1 the research institution to which the applicant (Co-Investigator of Planned Research) belongs

# VI. Other Relevant Issues

## 1. Support through Grant-in-Aid for Scientific Research on Innovative Areas - Platforms for Advanced Technologies and Research Resources

In order to respond effectively to the diverse needs of researchers of KAKENHI research projects, the Grant-in-Aid for Scientific Research on Innovative Areas - Platforms for Advanced Technologies and Research Resources forms a resource and technical support platform for research (hereinafter referred to as "Platform") under the close cooperation of relevant institutes with inter-university research institutes and Joint Usage/Research Centers as core institutes. Together with providing technical support towards individual research projects and providing advanced problem solving methods to researchers, it provides an integral promotion of cooperation between researchers, interdisciplinary integration, and human resources development.

Applications for technical support, etc. are open for each of the Platforms below where it concerns research projects carried out through KAKENHI. Researchers desiring technical support, etc. from each of the Platforms are requested to check their respective websites, etc. and actively apply.

\* "Technical Support, etc." points to the sharing of equipment with researchers from a wide range of research fields, technical support and the collecting, conservation, and providing of resources (documents, data, experiment samples, specimen, etc.), and support for conservation techniques, etc.

"Advanced Technology Support Platform Program" has scientific value and an advanced nature through the combination of multiple facilities and equipment, and provides shared use of equipment and technical support to researchers in a wide variety of research areas.

"Research Platform Resource Support Program" collects, conserves, and supplies the resources that are the basis of research (documents, data, experiment samples, specimen, etc.) and also conducts support for conservation techniques, etc.

Area	Platform Name	Core Institution	Support Function
orm Program	Platform of Advanced Bioimaging Support (*)	National Institute for Physiological Sciences National Institute for Basic Biology	Advanced technical support and user training for : • Light microscopy • Electron microscopy • Magnetic resonance imaging • Imaging analysis
Support Platf	Platform of Advanced Animal Model Support (*)	The Institute of Medical Science The University of Tokyo	Support for constructing animal models, Support for pathological analysis, Support for physiological analysis, and Support for molecular profiling
Advanced Technology Support Platform Program	Platform for Advanced Genome Science (*)	National Institute of Genetics	Advanced genome analysis (de novo genome sequencing; re-sequencing for genome variation detection; analysis of transcriptome, epigenome and metagenome; ultra-high sensitivity analysis for single cells, single molecules, etc.; big-data analysis and advanced bioinformatics; by using of the latest facilities and technologies)

Area	Platform Name	Core Institution	Support Function
u	Platform for Integration and	National Museum	Digital Picture Library for Area
rar	Sophistication of Image	of Ethnology	Studies
m ogram	Information on Area Studies		
for		Research Center	Supply short-lived radioisotopes
rrch Platt Support	Radioisotopes for Fundamental	for Nuclear	produced by accelerators for
H H	Research	Physics, Osaka	fundamental research in various
		University	scientific fields.
Rese	Platform of Supporting Cohort	The Institute of	Support for cohort study using
	Study and Biospecimen	Medical Science	bioresources, Support for maintaining
Res	Analysis (*)	The University of	and utilizing human brain resources,
В		Tokyo	and Support using biospecimen

Also, Committee on Promoting Collaboration in Life Sciences that functions as a general information point and coordinator across the four Platforms marked with an asterisk (\*) above is set up. (Core Institution: The Institute of Medical Science, The University of Tokyo)

Each platform's website can be found in the link collection below: URL: https://www.mext.go.jp/a\_menu/shinkou/hojyo/1367903.htm

### 2. Promotion of the Shared Use of Research Equipment

In "Reform of Competitive Research Funds: Towards a Sustained Output of Research Achievements (Interim Summary)" (June 24, 2015, Competitive Research Fund Reform Review meeting) it was decided that, when the original research objectives were fully achieved, versatile and large equipment should, in principle, be shared.

Furthermore, in "On the Management of Research Organizations and the Introduction of a New, Unified System for the Shared Use of Research Equipment" (November, 2015, Science and Technology Council Advanced Research Foundation Subcommittee), the establishment and operation of a "research equipment sharing system on the research organization level" (hereinafter referred to as "equipment sharing system") is demanded of universities and national research and development agencies, etc.

With this in mind, when purchasing equipment with competitive research funds, please actively work on the use of equipment purchased with other research funds, and the purchase and shared use of equipment from several research funds where it concerns especially large and versatile equipment. Please also make ensure that sharing is possible within the rules of the said competitive research funds, and no obstacle is made to the execution of the research project.

○ "On the Management of Research Organizations and the Introduction of a New, Unified System for the Shared Use of Research Equipment"

(November 25, 2015, Science and Technology Council Advanced Research Foundation Subcommittee)

URL: https://www.mext.go.jp/b\_menu/shingi/gijyutu/gijyutu17/houkoku/1366220.htm

O "Reform of Competitive Research Funds: Towards a Sustained Output of Research Achievements (Interim Summary)"

(June 24, 2015, Competitive Research Fund Reform Review meeting) URL: <u>https://www.mext.go.jp/b\_menu/shingi/chousa/shinkou/039/gaiyou/1359306.htm</u>  Unification of Spending Rules for Competitive Research Funds
 (Revised version of the March 31, 2015, Agreement of the Liaison Meeting of Related Offices and Ministries on Competitive Research Funds: Revised on April 20, 2017)
 URL: <u>https://www8.cao.go.jp/cstp/compefund/shishin3\_siyouruuru.pdf</u>

# 3. Promotion of the 'Dialogue on Science and Technology with Citizens' (A Basic Approach Policy)

In the "Promotion of the 'Dialogue on Science and Technology with Citizens' (A Basic Course of Action)" (Adopted by the Minister of State for Science and Technology Policy and the Executive Members of the Council for Science and Technology Policy on June 19, 2010) which was compiled in June 2010, the activity in which researchers explain the content and achievements of their research activities to society and citizens in an easy-to-understand form is placed in the above-mentioned 'Dialogue on Science and Technology with Citizens.' Researchers who have received an allotment of public research funds amounting more than 30 million yen per year per case are requested to positively work on the 'Dialogue on Science and Technology with Citizens.' Universities and other research institutions are also requested to make positive efforts in order for researchers who have received public research funds to ensure the proper implementation of the 'Dialogue on Science and Technology between Citizens,' for example, by setting up support systems.

For KAKENHI, there is the question "Are you positively trying to publicize and disseminate the research content and research achievements?" especially in the research progress assessment of Specially Promoted Research, for which researchers receive a relatively high amount of research funds, and the interim assessment of Scientific Research on Innovative Areas (Research in a Proposed Research Area). Therefore, based on the above-mentioned basic policy, researchers should disseminate the achievements of research funded with KAKENHI to society and citizens in an even more positive way.

### 4. Cooperation with the National Bioscience Database Center

The National Bioscience Database Center (URL: <u>https://biosciencedbc.jp/</u>) has been established in the Japan Science and Technology Agency (JST, a national research and development agency), in order to promote the integrated use of databases in the area of life science that have been created by various research institutions and other institutions.

This Center spurs the active participation of related institutions, and based on four pillars, namely (1) the planning of strategies, (2) creation and operation of portal websites, (3) research on and development of core technology for the integration of databases and (4) the promotion of the integration of biotechnology-related databases, it is promoting projects aiming at the integration of databases in the area of life science. In this way, through wide sharing and utilization in the researchers community of the research achievements in the area of life science produced in Japan in researcher community, the Center aims at invigorating overall research in the area of life science, including research and development connected to basic research and industrial applied research.

JSPS would like to request researchers to cooperate by providing to the Center copies of raw data related to achievements published in research papers and other output in the area of life science, or copies of created open databases. Moreover, the copies provided will be able to be utilized on a non-exclusive basis as reproductions, alterations, or in other necessary forms. Furthermore, JSPS would like researchers to understand in advance that, in response to requests of the institutions that received copies, it would also like request researchers to cooperate by providing all the information necessary for utilizing the copies.

Furthermore, the National Bioscience Database Center has developed guidelines for data on humans, in order to promote the sharing and use of data related to research in the area of life science, with due considerations to the protection of personal information.

NBDC Human Data Sharing Guidelines

URL: https://humandbs.biosciencedbc.jp/guidelines/

< Inquiries >

National Bioscience Database Center, Japan Science and Technology Agency Telephone: 03-5214-8491

### **5. Inter-University Bio-Backup Project**

The purpose of the Inter-University Bio-Backup Project (IBBP) is to "back up" biological genetic resources, which are indispensable research resources in various research areas, and to avoid damage or loss of biological genetic resources due to unforeseen accidents, disasters, etc. The project newly commenced from 2012.

In the National Institute for Basic Biology of the Inter-University Research Institute Corporation National Institutes of Natural Sciences, which is the core of this project, the Inter-University Bio-Backup Project for Basic Biology) (IBBP Center, URL: <u>http://www.nibb.ac.jp/ibbp/</u>) has been established as a backup center for biological genetic resources. It is equipped with the newest equipment necessary for the backup of biological genetic resources.

Any researcher who belongs to a university or a research institution may apply for storage. Biological genetic resources that can be stored in the IBBP are samples that can be proliferated (amplified) or cryopreserved (for vegetable seeds, the refrigeration or deep-freezing preservation condition needs to be definite), and being not pathogenic is also a condition. Since backup is provided free of charge, researchers should make use of the IBBP Center.

< Inquiries >

Executive Office, IBBP Center, Inter-University Research Institute Corporation National Institutes of Natural Sciences

Telephone: 0564-59-5930, 5931

### 6. National BioResource Project

The National BioResource Project (NBRP) strategically collects and preserves important bioresources that are the basic and foundation of life science research at the core bases of this project and provides them to universities and research institutes, thereby contributing to the development of life science research in Japan. In the future, in order to contribute to the development of life science research in Japan, it is necessary to continually collect useful bioresources.

For that matter, please deposit (\*) available bioresources among bioresources developed by Grants-in-Aid for Scientific Research (limited to the bioresource targeted for NBRP). Please cooperate with the NBRP collecting activities.

It is recommended to utilize the resources already collected in NBRP from the viewpoint such as efficient implementation of research.

(\*) Deposit: This is a procedure to approve the use (preservation/provision) in this project without transferring the various rights related to the resource. By specifying specific provision conditions in the deposit agreement, you can add usage conditions such as restrictions on usage and quotation of articles to users.

List of NBRP core bases representative agencies URL: <u>https://nbrp.jp/resource/</u>

< Inquiries >

Division of Biobank, Department of Research Infrastructure, National Research and Development Agency Japan Agency for Medical Research and Development Telephone: 03-6870-2228

### 7. Security Export Control Policy (Coping with Technology Leakage Overseas)

In Japan, export controls (\*) are carried out under the Foreign Exchange and Foreign Trade Act (Act No. 228 of 1949) (hereinafter referred to as "Foreign Exchange Act"). Therefore, in principle, in order to export (provide) cargo and technology regulated by the Foreign Exchange Act, it is necessary to obtain permission of the Minister of Economy, Trade and Industry. It is reminded that KAKENHI grantees must observe the Foreign Exchange Act as well as other laws, guidelines and circular notices issued by the government.

(\*) Japan's Security Export Control System established on the basis of international agreements mainly consists of (i) "List rules" which require permission of the Minister of Economy, Trade and Industry in principle when exporting cargo or providing technology that carry specifications and/or functions higher than certain levels, such as carbon fiber and numerically controlled machine tool etc., and (II) "Catch-all regulation" which requires permission of the Minister of Economy, Trade and Industry when exporting cargo or providing technology that are not subject to regulation under the List rules but do fall under certain regulatory requirements (application requirements, consumer requirements).

Not only export of cargo but also provision of technology will be subject to the regulation by the Foreign Exchange Act. When providing a "List rules" technology to non-residents or providing it in a foreign country, prior permission for provision is required. "Provision of technology" includes not only providing technical information such as design drawings, specifications, manuals, samples, and prototypes via storage media such as paper, mail, CD, USB memory, but also providing work knowledge and technical assistance at seminars through technical instruction, skill training, etc. Researchers should be aware that there may be case in which technologies subject to regulation by the Foreign Exchange Act are involved when mentoring foreign students and/or joint research activities with oversea groups.

For this reason, in implementing various research activities including research projects funded with

KAKENHI, research institutions are asked to take systematic measures to ensure that the research achievements which have potential risks of being diverted to military use are not transferred to WMD developers, terrorist organizations, or people carrying out other dubious activities.

Details of the security trade control are published on the websites including the Ministry of Economy, Trade and Industry website.

Ministry of Economy, Trade and Industry: Security Trade Control (General)
http://www.meti.go.jp/policy/anpo/
Ministry of Economy, Trade and Industry: "Handbook on Security Trade Control"
https://www.meti.go.jp/policy/anpo/seminer/shiryo/handbook.pdf
Center for Information on Security Trade Controls
http://www.cistec.or.jp/index.html
"Guidance for the Control of Sensitive Technologies for Security Export for Academic and Research

Institutions 3rd Edition"

https://www.meti.go.jp/policy/anpo/law\_document/tutatu/t07sonota/t07sonota\_jishukanri03.pdf

### 8. Treatment Improvement for Students in Doctoral Course

In the 5th Science and Technology Basic Plan, the numerical target was set that approximately 20 percent of the doctoral students can receive the amount of subsidy roughly equivalent to their living cost. This policy is to substantiate the financial support to doctoral students in particular in order to attract talents from home and abroad. Research institutions are urged to enlarge job opportunities as RA etc. and treatment improvement for the doctoral students. The "Comprehensive Package for Enhancing Research Capacity and Supporting Young Researchers" (January 23, 2020, Decision of Council for Science, Technology and Innovation) state a goal that in the future every doctoral student, if he/she wishes, can receive an amount of subsidy roughly equivalent to his/her living cost, and set a specific measure to promote ensuring appropriate wage level for an RA employed with competitive or collaborative research funds.

Based on the above, when employs a doctoral student as RA for the KAKENHI project, the hourly wage standard has to be set according to the nature and content of his/her job based on the standard set by the PI's (or Co-I's) research institution and pay the wage according to the actual work hours under the proper labor management.

Furthermore, when employs a doctoral student PI (or Co-I) should pay due consideration not to overload the student with excessive work hours and to keep the balance with the time for student's own research and learning.

# Grants-in-Aid for Scientific Research-KAKENHI-"Review Section Table"

$\circ$ About the Review Section Table $\cdot \cdot \cdot$	97
• The Review Section Table (Overview) • • • • • • • • • • • •	98
$\circ$ The Review Section Table (Table for Basic Section) $\cdot \cdot \cdot$	105
<ul> <li>The Review Section Table</li> <li>(Table for Medium-sized and Broad Sections) • • • • • •</li> </ul>	130

December 22, 2016

Subdivision on Research Grant Screening Section of the Academic Deliberation in the Subdivision on Science, Council for Science and Technology

#### About the Review Section Table

- OThe Review Section Table is classified by sections for the KAKENHI's review criteria. Applicants should select a review section that is most suitable for their own research proposal.
- OThere are three review sections: Basic, Medium-sized and Broad. The Review Section Table contains 1) Overview, 2) Table for Basic Section, 3) Table for Medium-sized and Broad Sections. Looking at the Overview, the applicants can understand an overall picture of sections. In addition, check the each Review Section Table for the detailed contents of each section and select a review section for their research proposal.
- ○The Basic Section is the fundamental unit. The Basic Section applies to "Grant-in-Aid for Scientific Research (B/C) (application section "General")" and for "Grant-in-Aid for Early-Career Scientists". Each item of Basic Section offers some examples related research contents. They help applicants understand the concrete contents. However, it does not exclude proposal of contents other than if applicants' contents are not included the examples.
- OThe Medium-sized Section applies to "Grant-in-Aid for Scientific Research (A) (application section "General")" and "Grant-in-Aid for Challenging Research (Pioneering/Exploratory)". Several Basic Sections are attached to indicate the scope of review for the Middle-sized Section. However, it does not exclude proposal of contents other than the Basic Sections included in the Middle-sized Section. In addition, some items of Basic Sections belong to multiple Middle-sized Sections, so applicants can select a Middle-sized Section that seems to be most suitable for their own research proposal.

OThe Broad Section applies to "Grant-in-Aid for Scientific Research (S)".

Several Medium-sized Sections are attached to indicate the scope of review of the Broad Section. However, it does not exclude proposal of contents other than the Medium-sized Sections included in the Broad Section. Some items of Medium-sized Sections belong to several Broad Sections, so applicants can select a Broad Section that seems to be most suitable for their own research proposal.

 ○ To respond flexibly to research diversity in the review process, application in the Basic, Medium-sized and Broad Sections is made in the following formats: Basic Section: "○○ -related"; Medium-sized Section: "○○ and related fields," and Broad Section: listed alphabetically.

### The Review Section Table (Overview)

Section A	
Medium-sized	Section 1: Philosophy, art, and related fields
	Basic Section
01010	Philosophy and ethics-related
01020	Chinese philosophy, Indian philosophy and
01020	Buddhist philosophy-related
01030	Religious studies-related
01040	History of thought-related
01050	Aesthetics and art studies-related
01060	History of arts-related
01070	Theory of art practice-related
01080	Sociology of science, history of science and
01000	technology-related
90010	Design-related
Medium-sized	Section 2: Literature, linguistics, and related fields
	Basic Section
02010	Japanese literature-related
02020	Chinese literature-related
02030	English literature and literature in the English
02050	language-related
02040	European literature-related
02050	Literature in general-related
02060	Linguistics-related
02070	Japanese linguistics-related
02080	English linguistics-related
02090	Japanese language education-related
02100	Foreign language education-related
90020	Library and information science, humanistic
50020	and social informatics-related
Medium-sized	Section 3: History, archaeology, museology,
and relat	ed fields
	Basic Section
03010	Historical studies in general-related
03020	Japanese history-related
03030	History of Asia and Africa-related
03040	History of Europe and America-related
03050	Archaeology-related
03060	Cultural assets study-related
03070	Museology-related
Medium-sized	Section 4: Geography, cultural anthropology,
folklore,	and related fields
	Basic Section
04010	Geography-related
04020	Human geography-related
04030	Cultural anthropology and folklore-related
80010	Area studies-related
00010	+
80020	Tourism studies-related

d Sectio	on A (co	ontinued)
-		Section 5 : Law and related fields
		Basic Section
	05010	Legal theory and history-related
		Public law-related
_	05030	International law-related
	05040	Social law-related
_	05050	Criminal law-related
_	05060	Civil law-related
_	05070	New fields of law-related
Mediu	m-sized	Section 6: Political science and related fields
		Basic Section
	06010	Politics-related
_	06020	International relations-related
	80010	Area studies-related
	80030	Gender studies-related
Mediu	m-sized	Section 7 : Economics, business administration,
a	nd relate	ed fields
		Basic Section
	07010	Economic theory-related
	07020	Economic doctrines and economic thought-related
	07030	Economic statistics-related
	07040	Economic policy-related
	07050	Public economics and labor economics-related
	07060	Money and finance-related
	07070	Economic history-related
	07080	Business administration-related
	07090	Commerce-related
	07100	Accounting-related
	80020	Tourism studies-related
Mediu	m-sized	Section 8 : Sociology and related fields
		Basic Section
	08010	Sociology-related
	08020	Social welfare-related
	08030	Family and consumer sciences, and culture and living-related
		Tourism studies-related
	80020	I ourism studies-related

ad Sect	ion A (co	ontinued)	
Medi	um-sized	Section 9 : Education and related fields	
		Basic Section	
	09010	Education-related	
	09020	Sociology of education-related	
	09030	Childhood and nursery/pre-school education-related	
	09040	Education on school subjects and primary/	
	09040	secondary education-related	
	09050	Tertiary education-related	
	09060	Special needs education-related	
	09070	Educational technology-related	
	09080	Science education-related	
	02090	Japanese language education-related	
	02100	Foreign language education-related	
Medium-sized Section 10 : Psychology and related fields			
	Basic Section		
	10010	Social psychology-related	
	10020	Educational psychology-related	
	10030	Clinical psychology-related	
	10040	Experimental psychology-related	
	90030	Cognitive science-related	

Medium-size	d Section 11: Algebra, geometry, and related fields
	Basic Section
11010	Algebra-related
11020	Geometry-related
Medium-size	d Section 12: Analysis, applied mathematics, and related field
	Basic Section
12010	Basic analysis-related
12020	Mathematical analysis-related
12030	Basic mathematics-related
12040	Applied mathematics and statistics-related
Medium-size	d Section 13 : Condensed matter physics and related fields
	Basic Section
12010	Mathematical physics and fundamental theory of
13010	condensed matter physics-related
12020	Semiconductors, optical properties of condensed
13020	matter and atomic physics-related
12020	Magnetism, superconductivity and strongly
13030	correlated systems-related
13040	Biophysics, chemical physics and soft matter physics-relate
Medium-size	d Section 14: Plasma science and related fields
	Basic Section
14010	Fundamental plasma-related
14020	Nuclear fusion-related
14030	Applied plasma science-related
80040	Quantum beam science-related
Medium-size	d Section 15: Particle-, nuclear-, astro-physics, and related fie
	Basic Section
80040	Quantum beam science-related
15010	Theoretical studies related to particle-, nuclear-,
15010	cosmic ray and astro-physics
15000	Experimental studies related to particle-, nuclear-,
15020	cosmic ray and astro-physics
Medium-size	d Section 16: Astronomy and related fields
	Basic Section
16010	Astronomy-related
Medium-size	d Section 17: Earth and planetary science and related fields
	Basic Section
17010	Space and planetary sciences-related
17020	Atmospheric and hydrospheric sciences-related
17030	Human geosciences-related
17040	Solid earth sciences-related
17050	Biogeosciences-related

d Sec	tion C	
Medi	um-sized	Section 18: Mechanics of materials,
		on engineering, design engineering, and related fields
	1	Basic Section
	18010	Mechanics of materials and materials-related
		Manufacturing and production engineering-related
		Design engineering-related
		Machine elements and tribology-related
Medi		Section 19: Fluid engineering,
linear		engineering, and related fields
		Basic Section
	19010	
	19020	Thermal engineering-related
Medi		Section 20: Mechanical dynamics, robotics, and related field
litear		Basic Section
	20010	Mechanics and mechatronics-related
		Robotics and intelligent system-related
Madi		Section 21: Electrical and electronic engineering
Ivicui	and relate	
		Basic Section
	21010	Power engineering-related
	21010	
		Measurement engineering-related
	21040	Control and system engineering-related Electric and electronic materials-related
Madi	1	Electron device and electronic equipment-related
wieur	um-sizeu	Section 22: Civil engineering and related fields
		Basic Section
	22010	Civil engineering material, execution and
	22020	construction management-related
	22020	Structure engineering and earthquake engineering-related
	22030	Geotechnical engineering-related
	22040	Hydroengineering-related
	22050	Civil engineering plan and transportation
	220.50	engineering-related
N	22060	Environmental systems for civil engineering-related
Medi		Section 23: Architecture, building engineering,
	and relate	
		Basic Section
	23010	Building structures and materials-related
	23020	011
	23030	Architectural planning and city planning-related
	23040	, ,
<u> </u>		C C
Medi		Section 24 : Aerospace engineering,
	marine a	nd maritime engineering, and related fields
i i		Basic Section
	0.40.10	Aerospace engineering-related
	24010	
	24020	Marine engineering-related
Medi	24020	Marine engineering-related Section 25: Social systems engineering,
Medi	24020 um-sized	Section 25: Social systems engineering,
Medi	24020 um-sized	Section 25: Social systems engineering,
Medi	24020 um-sized	Section 25: Social systems engineering, gineering, disaster prevention engineering, and related field
Medi	24020 um-sized safety en	Section 25: Social systems engineering, gineering, disaster prevention engineering, and related field Basic Section

nd Secti	ion D	
		Section 26: Materials engineering and related fields
	ini sizea	Basic Section
-	26010	Metallic material properties-related
-	26020	Inorganic materials and properties-related
-	26030	Composite materials and interfaces-related
-	26040	Structural materials and functional materials-related
-	26050	Material processing and microstructure control-related
-	26060	Metals production and resources production-related
Medir		Section 27: Chemical engineering and related fields
Wiedit	1111-51200	Basic Section
-	27010	Transport phenomena and unit operations-related
-		
-		Chemical reaction and process system engineering-related
-	27030	Catalyst and resource chemical process-related
M	27040	Biofunction and bioprocess engineering-related
Medit	im-sized	Section 28: Nano/micro science and related fields
-	20010	Basic Section
-	28010	Nanometer-scale chemistry-related
-	28020	Nanostructural physics-related
-	28030	Nanomaterials-related
-	28040	Nanobioscience-related
		Nano/micro-systems-related
Mediu	ım-sized	Section 29: Applied condensed matter physics and related fiel
		Basic Section
	29010	Applied physical properties-related
	29020	Thin film/surface and interfacial physical properties-related
	29030	Applied condensed matter physics-related
Mediu	um-sized	Section 30: Applied physics and engineering and related fiel
		Basic Section
		Crystal engineering-related
		Optical engineering and photon science-related
		Section 31: Nuclear engineering, earth resources engineering
6	energy ei	ngineering, and related fields
		Basic Section
	31010	Nuclear engineering-related
	31020	Earth resource engineering, Energy sciences-related
Mediu	um-sized	Section 90: Biomedical engineering and related fields
		Basic Section
	90110	Biomedical engineering-related
	90120	Biomaterials-related
	90130	Medical systems-related
[	90140	Medical technology assessment-related
	90150	Medical assistive technology-related

ad Section E		Br
Medium-siz	ed Section 32: Physical chemistry,	
functi	onal solid state chemistry, and related fields	
	Basic Section	
3201	0 Fundamental physical chemistry-related	
3202	0 Functional solid state chemistry-related	
Medium-siz	ed Section 33: Organic chemistry and related fields	
	Basic Section	
3301	Structural organic chemistry	
5501	and physical organic chemistry-related	
3302	0 Synthetic organic chemistry-related	
Medium-siz	ed Section 34: Inorganic/coordination chemistry,	
analyt	cal chemistry, and related fields	
	Basic Section	
3401	0 Inorganic/coordination chemistry-related	
3402	0 Analytical chemistry-related	
2402	Green sustainable chemistry	
3403	and environmental chemistry-related	
Medium-siz	ed Section 35: Polymers, organic materials, and related fields	
	Basic Section	
3501	0 Polymer chemistry-related	
3502	0 Polymer materials-related	
3503	0 Organic functional materials-related	
Medium-siz	ed Section 36 : Inorganic materials chemistry,	
energ	related chemistry, and related fields	
	Basic Section	
3601	Inorganic compounds and inorganic materials	
5001	chemistry-related	
3602	0 Energy-related chemistry	
Medium-siz	ed Section 37: Biomolecular chemistry and related fields	
	Basic Section	
3701	0 Bio-related chemistry	
2702	Chemistry and chemical methodology of	
3702	biomolecules-related	
3703	0 Chemical biology-related	

d Sec	tion F	
Med	ium-sized	Section 38 : Agricultural chemistry and related fields
		Basic Section
	38010	Plant nutrition and soil science-related
	38020	Applied microbiology-related
	38030	Applied biochemistry-related
		Bioorganic chemistry-related
		Food sciences-related
	38060	Applied molecular and cellular biology-related
Med		Section 39: Agricultural and environmental biology
	and relate	
		Basic Section
	39010	Science in plant genetics and breeding-related
	39020	Crop production science-related
		Horticultural science-related
		Plant protection science-related
		Insect science-related
	39060	
	1	Landscape science-related
Med		Section 40: Forestry and forest products science,
	applied a	quatic science, and related fields
		Basic Section
	40010	Forest science-related
	40020	Wood science-related
	40030	Aquatic bioproduction science-related
	40040	Aquatic life science-related
Med	ium-sized	Section 41: Agricultural economics and rural sociology,
	agricultu	ral engineering, and related fields
		Basic Section
	41010	Agricultural and food economics-related
	41020	Rural sociology and agricultural structure-related
	41030	Rural environmental engineering and planning-related
	41040	Agricultural environmental engineering and
	41040	agricultural information engineering-related
	41050	Environmental agriculture-related
Med	ium-sized	Section 42: Veterinary medical science, animal science,
	and relate	ed fields
		Basic Section
	42010	Animal production science-related
	42020	Veterinary medical science-related
	42030	Animal life science-related
	42040	Laboratory animal science-related
	12040	Lassianty annual selence related

d Secti	ion G		Bro	ad Sec	tion H	
Mediu	um-sized	Section 43: Biology at molecular to cellular levels,	Medium-sized Section 47: Pharmaceutical sciences and related fields		Section 47: Pharmaceutical sciences and related fields	
	and relate	ed fields	Basic Section		Basic Section	
Γ		Basic Section			47010 Pharmaceutical chemistry and drug development scien	
	43010	Molecular biology-related			47020	Pharmaceutical analytical chemistry and physicochemistry-related
	43020	Structural biochemistry-related			47030	Pharmaceutical hygiene and biochemistry-related
	43030	Functional biochemistry-related			47040	Pharmacology-related
	43040	Biophysics-related			47050	Environmental and natural pharmaceutical resources-related
	43050	Genome biology-related			47060	Clinical pharmacy-related
	43060	System genome science-related		Medi	um-sized	Section 48: Biomedical structure and function and related field
Mediu	um-sized	Section 44: Biology at cellular to organismal levels,				Basic Section
	and relate	ed fields			48010	Anatomy-related
		Basic Section			48020	Physiology-related
	44010	Cell biology-related			48030	Pharmacology-related
	44020	Developmental biology-related			48040	Medical biochemistry-related
	44030	Plant molecular biology and physiology-related		Medium-sized Section 49: Pathology, infection/immunology, and related		
[	44040	Morphology and anatomical structure-related				Basic Section
[	44050	Animal physiological chemistry, physiology and			49010	Pathological biochemistry-related
	44030	behavioral biology-related			49020	Human pathology-related
Mediu	um-sized	Section 45: Biology at organismal to population levels			49030	Experimental pathology-related
	and anth	opology, and related fields			49040	Parasitology-related
		Basic Section			49050	Bacteriology-related
	45010	Genetics-related			49060	Virology-related
	45020	Evolutionary biology-related			49070	Immunology-related
	45030	Biodiversity and systematics-related				
	45040	Ecology and environment-related				
[	45050	Physical anthropology-related				
	45060	Applied anthropology-related				
Medium-sized Section 46: Neuroscience and related fields						
[		Basic Section				
[	46010	Neuroscience-general-related				
[	46020	Anatomy and histopathology of nervous system-related				
[	46030	Function of nervous system-related				

Mediu	ion I 1m-sized	Section 50: Oncology and related fields	Br
[		Basic Section	
	50010	Tumor biology-related	
-	50020	Tumor diagnostics and therapeutics-related	
Mediu		Section 51: Brain sciences and related fields	1
[		Basic Section	1
-	51010	Basic brain sciences-related	1
	51020	Cognitive and brain science-related	1
	51030	Pathophysiologic neuroscience-related	1
Mediu	um-sized	Section 52: General internal medicine and related fields	1
		Basic Section	1
-	52010	General internal medicine-related	
	52020	Neurology-related	1
-	52030		1
F	52040	Radiological sciences-related	
-	52050	Embryonic medicine and pediatrics-related	
Mediu		Section 53: Organ-based internal medicine and related fields	
ſ		Basic Section	
-	53010	Gastroenterology-related	
-	53020	Cardiology-related	
	53030	Respiratory medicine-related	
	53040	Nephrology-related	
	53050	Dermatology-related	
Mediu		Section 54: Internal medicine of the bio-information	
ŧ	integratio	on and related fields	
		Basic Section	
	54010	Hematology and medical oncology-related	
	54020	Connective tissue disease and allergy-related	
	54030	Infectious disease medicine-related	
	54040	Metabolism and endocrinology-related	
Mediu		Section 55: Surgery of the organs maintaining	1
J	homeosta	asis and related fields	
		Basic Section	
Ī	55010	General surgery and pediatric surgery-related	
-	55020	Digestive surgery-related	
Ī	55030	Cardiovascular surgery-related	
-	55040	Respiratory surgery-related	
	55050	Anesthesiology-related	
	55060	Emergency medicine-related	
Mediu	um-sized	Section 56: Surgery related to the biological and	
;	sensory f	unctions and related fields	
		Basic Section	
	56010	Neurosurgery-related	
Ē	56020	Orthopedics-related	
	56030	Urology-related	
Ē	56040	Obstetrics and gynecology-related	
Ē	56050	Otorhinolaryngology-related	
	00000		

Sec	tion I (cor	ntinued)
Medi	um-sized	Section 57 : Oral science and related fields
		Basic Section
	57010	Oral biological science-related
	57020	Oral pathobiological science-related
	57030	Conservative dentistry-related
	57040	Regenerative dentistry and dental engineering-related
	57050	Prosthodontics-related
	57060	Surgical dentistry-related
	57070	Developmental dentistry-related
	57080	Social dentistry-related
Medi	um-sized	Section 58: Society medicine, nursing, and related fields
		Basic Section
	58010	Medical management and medical sociology-related
	58020	Hygiene and public health-related: including laboratory approach
	58030	Hygiene and public health-related: excluding laboratory approach
	58040	Forensics medicine-related
	58050	Fundamental of nursing-related
	58060	Clinical nursing-related
	58070	Lifelong developmental nursing-related
	58080	Gerontological nursing and community health nursing-related
Medi	um-sized	Section 59: Sports sciences, physical education,
	health sci	ences, and related fields
		Basic Section
	59010	Rehabilitation science-related
	59020	Sports sciences-related
	59030	Physical education, and physical and health education-related
	59040	Nutrition science and health science-related
Medi	um-sized	Section 90: Biomedical engineering and related fields
		Basic Section
	90110	Biomedical engineering-related
	90120	Biomaterials-related
	90130	Medical systems-related
	90140	Medical technology assessment-related
	90150	Medical assistive technology-related

	tion J	Socian 60: Information science, computer angine		tion K	Section 62 Environmental analyses and evaluation
Medium-sized Section 60: Information science, computer engineering,			Medium-sized Section 63: Environmental analyses and evaluation		
	and relate			and relat	
		Basic Section			Basic Section
	60010	Theory of informatics-related		63010	Environmental dynamic analysis-related
	60020	Mathematical informatics-related		63020	Radiation influence-related
	60030	Statistical science-related		63030	Chemical substance influence on environment-related
	60040	Computer system-related		63040	Environmental impact assessment-related
	60050	Software-related	Med	ium-sized	Section 64: Environmental conservation measure
	60060	Information network-related		and relat	ed fields
	60070	Information security-related			Basic Section
	60080	Database-related		64010	Environmental load and risk assessment-related
	60090	High performance computing-related		64020	Environmental load reduction and remediation-related
	60100	Computational science-related		64030	Environmental materials and recycle technology-related
Med	ium-sized	Section 61: Human informatics and related fields		64040	Social-ecological systems-related
		Basic Section		64050	Sound material-cycle social systems-related
	61010	Perceptual information processing-related		64060	Environmental policy and social systems-related
	61020	Human interface and interaction-related		-	
	61030	Intelligent informatics-related			
	61040	Soft computing-related			
	61050	Intelligent robotics-related			
	61060	Kansei informatics-related			
	90010	Design-related			
	90030	Cognitive science-related			
Med	ium-sized	Section 62: Applied informatics and related fields			
		Basic Section			
	62010	Life, health and medical informatics-related			
	62020	Web informatics and service informatics-related			
	62030	Learning support system-related			
	62040	Entertainment and game informatics-related			
	000000	Library and information science,			
	90020	humanistic and social informatics-related			

## The Review Section Table (Table for Basic Section)

When selecting a review section, applicants should first acquire an overall picture of the review sections based on the Review Section Table (Overview). In addition, check the Review Section Table (Table for Basic Section) for the detailed contents of each section and select a review section for their research proposal.

Also, some items of Basic Section may be presented in plural Medium-sized and Broad Sections. The items of Basic Section presented in plural Medium-sized Section are 9 and 3 items among 9 are presented in plural Medium-sized and Broad Sections (as shown below).

In addition, five other Basic Sections (90110-90150) may be presented in only one Medium-sized Section and two Broad Sections.

When selecting a Medium-sized or Broad Section, applicants should refer to the Attachment 2 "Review Section Table (Table for Medium-sized and Broad Sections), and select the one that seems to be most suitable for their own research proposal.

Basic Section Item	Basic Section Description	Medium-sized Sections corresponding Basic Sections	Broad Sections corresponding Basic Sections
02090	Japanese language education-related	2, 9	А
02100	Foreign language education-related	2, 9	А
80010	Area studies-related	4, 6	А
80020	Tourism studies-related	4, 7, 8	А
80030	Gender studies-related	4,6,8	А
80040	Quantum beam science-related	14, 15	В
90010	Design-related	1, 23, 61	А, С, Ј
90020	Library and information science, humanistic and social informatics-related	2, 62	А, Ј
90030	Cognitive science-related	10,61	A, J
90110	Biomedical engineering-related	90	D, I
90120	Biomaterials-related	90	D, I
90130	Medical systems-related	90	D, I
90140	Medical technology assessment-related	90	D, I
90150	Medical assistive technology-related	90	D, I

[Basic sections may be presented in plural Medium-sized and Broad Section]

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Philosophy and ethics-related		
01010	Philosophy in general, Ethics in general, Western philosophy, Western ethics, Japanese philosophy, Japanese ethics, Applied ethics, etc.	1	А
	Chinese philosophy, Indian philosophy and Buddhist philosophy-related		
01020	Chinese philosophy/thought, Indian philosophy/thought, Buddhist philosophy, Bibliography, Philology, etc.	1	А
	Religious studies-related		
01030	History of religions, Philosophy of religion, Theology, Sociology of religion, Psychology of religion, Anthropology of religion, Studies of religious folklore, Mythology, Bibliography, Philology, etc.	1	А
	History of thought-related		
01040	History of thought in general, History of Western thought, History of Eastern thought, History of Japanese thought, etc.	1	А
	Aesthetics and art studies-related		
01050	Philosophy of art, Aesthetics, Miscellaneous art studies, etc.	1	А
	History of arts-related		
01060	Japanese art, Eastern art, Western art, Contemporary art, Craft, Design, Architecture, Costume, Photography, etc.	1	А
	Theory of art practice-related		
01070	Art expression, Arts management, Art policy, Art production, etc.	1	А
	Sociology of science, history of science and technology-related		
01080	Sociology of science, History of science, History of technology, History of medicine, Industrial archeology, Philosophy of science, Foundation of science, STS (Science, technology and society), etc.	1	А
	Japanese literature-related		
02010	Japanese literature in general, Ancient literature, Medieval literature, Chinese classics in Japan, Bibliography, Philology, Premodern literature, Modern literature, Contemporary literature, Literary theory, etc.	2	А
	Chinese literature-related		
02020	Chinese literature, Bibliography, Philology, Literary theory, etc.	2	А
	English literature and literature in the English language-related		
02030	English literature, American literature, Literature in the English language, Literary theory, Bibliography, Philology, etc.	2	А
	European literature-related		
02040	French literature, Literature in the French language, German literature, Literature in the German language, Classics, Russian and East European literature, Literature in other European languages, Literary theory, Bibliography, Philology, etc.	2	А
	Literature in general-related		
02050	Literature in other languages and areas, Literary theory, Comparative literature, Bibliography, Philology, Literature education, etc.	2	А
	Linguistics-related		
02060	Phonetics/phonology, Semantics/pragmatics, Morphosyntax, Sociolinguistics, Contrastive linguistics, Psycholinguistics, Neurolinguistics, Historical linguistics, Corpus linguistics, Endangered and minority languages, etc.	2	А

Basic	Examples of related research content	Medium-sized Broad Section Basic S		
Section		Medium-sized Section	Broad Section	
02070	Japanese linguistics-related Phonetics/phonology, Writing systems, Lexicon and semantics, Grammar, Stylistics, Pragmatics, Language life, Dialect, History of the Japanese language, History of Japanese linguistics, etc.	2	A	
02080	English linguistics-related Phonetics/phonology, Lexicon and semantics, Grammar, Stylistics, Pragmatics, Sociolinguistics, Diversity of the English language, Corpus linguistics, History of the English language, History of English linguistics, etc.	2	A	
02090	Japanese language education-related Research on learners, Language acquisition, Teaching material, Curriculum evaluation, Japanese language education for specific purposes, Bilingual education, Research on teachers, Japanese language for Japanese language education, History of Japanese language education, Cross-cultural understanding, etc.	2,9	А	
02100	Foreign language education-related Learning method, Computer-assisted language learning (CALL), Teaching material, Language testing, Theory of second language acquisition, Early English education, History of foreign language education and language policies, Curriculum evaluation, Training foreign language teachers, Cross-cultural understanding, etc.	2,9	А	
03010	Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc.	3	A	
03020	Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of local Japan, History of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.	3	A	
03030	History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.	3	А	
03040	History of Europe and America-related Ancient European history, Medieval European history, Modern and contemporary West European history, Modern and contemporary East European history, North and South American history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.	3	А	
03050	Archaeology-related Archaeology in general, Prehistoric archaeology, Historical archaeology, Japanese archaeology, Asian archaeology, Ancient civilizations, History of material culture, Experimental archaeology, Information archaeology, Study of buried cultural property, etc.	3	А	
03060	Cultural assets study-related         Dating methods, Material analysis, Production techniques, Conservation science,         Archaeological prospection, Plant and animal residues, Human remains, Cultural heritage,         Cultural resources, Cultural property policy, etc.	3	A	

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
03070	Museology-related Exhibition studies, Museum pedagogy, Museum informatics, Museum business management, Public finance and administration of museums, Museum material resources, History of museology, etc.	3	А
04010	Geography-related Geography in general, Land use, Landscape, Environmental system, Geomorphology, Climatology, Hydrology, Cartography, Geographic information system, Regional planning, etc.	4	А
04020	Human geography-related Human geography in general, Economic geography, Social geography, Political geography, Cultural geography, Urban geography, Rural geography, Historical geography, Regional geography, Geography education, etc.	4	А
04030	Cultural anthropology and folklore-related Cultural anthropology in general, Folklore in general, Material culture, Ecology, Social relationship, Religion, Arts, Health care, Border crossing, Minority, etc.	4	А
80010	Area studies-related           Area studies in general, Cross-regional comparative studies, Aid, International cooperation, Interregional exchange, Environment, Transnationalism, Globalization, Social development, etc.	4,6	A
80020	Tourism studies-related         Tourism studies in general, Tourism, Tourism resources, Tourism policy, Tourism industry,         Regional development, Tourists, Pilgrimage, etc.	4, 7, 8	А
80030	Gender studies-related Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc.	4, 6, 8	А
05010	Legal theory and history-related Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law, Law and policy, Law and economics, Judicial system, etc.	5	А
05020	Public law-related           Constitutional law, Administrative law, Tax law, etc.	5	А
05030	International law-related Public international law, Private international law, International human rights law, International economic law, EU law, etc.	5	А
05040	Social law-related Labor law, Economic law, Social security law, Education law, etc.	5	А
05050	Criminal law-related Criminal law, Criminal procedure, Criminology, Criminal justice policy, Juvenile law, Law and psychology, etc.	5	А
05060	Civil law-related Civil law, Commercial law, Civil procedure, Insolvency law, Alternative dispute resolution, etc.	5	А
05070	New fields of law-related         Environmental law, Medical law, Information law, Consumer law, Intellectual property law,         Law and gender, Legal profession, etc.	5	А

Basic Section	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
06010	Politics-related Political theory, History of political thought, Political history, Japanese political history, Japanese politics, Political process, Electoral studies, Political economy, Public administration, Local government, Comparative politics, Public policy, etc.	6	А
06020	International relations-related Theory of international relations, Modern international relations, Diplomatic history, International history, Foreign policy, International security, International political economy, Global governance, International cooperation, etc.	6	A
07010	Economic theory-related Microeconomics, Macroeconomics, Game theory, Behavioral economics, Experimental economics, Economic theory, Evolutionary economics, Economic institutions, Economic systems, etc.	7	А
07020	Economic doctrines and economic thought-related Economic doctrines, Economic thought, Social thought, Economic philosophy, etc.	7	А
07030	Economic statistics-related Statistical system, Statistical research, Population statistics, Income/wealth distribution, National accounts, Econometrics, Financial econometrics, etc.	7	А
07040	Economic policy-related International economics, Industrial organization, Economic development, Urban economics, Regional economy, Environmental and resource economics, Japanese economy, Economic policy, Transportation economics, Development economics, International development, etc.	7	A
07050	Public economics and labor economics-related         Public finance, Public economics, Health economics, Labor economics, Social security,         Education economics, Law and economics, Political economy, etc.	7	А
07060	Money and finance-related Monetary economics, Finance, International finance, Corporate finance, Financial engineering, Insurance, etc.	7	А
07070	Economic history-related Economic history, Business history, Industrial history, etc.	7	А
07080	Business administration-related Corporation theory, Organization theory, Organizational behavior, Corporate strategy, Business management, Human resource management, Management of technology, International business, Management information, Industrial management, Management in general, etc.	7	А
07090	Commerce-related Marketing, Consumer behavior, Distributive sciences, Logistics, Commerce in general, etc.	7	А
07100	Accounting-related Financial accounting, Management accounting, Auditing, Accounting in general, etc.	7	А
08010	Sociology-related           Sociology in general, Community, Family, Labor, Sociology of welfare, Gender, Media,           Ethnicity, Social movements, Social research, Sociology of medicine, Social demography, etc.	8	A

Basic	Examples of related research content	Medium-sized Broad Section Basic S		
Section		Medium-sized Section	Broad Section	
08020	Social welfare-related Social work, Social policy, Social welfare history, Child welfare, Social welfare for people with disabilities, Social welfare for aging, Community welfare, Poverty, Volunteerism, Social welfare in general, etc.	8	A	
08030	Family and consumer sciences, and culture and living-related Culture and living, Home economics, Consumer affairs, Lifestyle, Culture of clothing, Culture of food, Culture of dwelling, Dress and fashion, Diet habits, Housing, Family and consumer sciences in general, Family and consumer education, etc.	8	А	
09010	Education-related History of education, Philosophy of education, Curriculum and pedagogy, Evaluation of education, Teacher and trainer, School education, Social and community education, Vocational education and training, Lifelong learning, Institutions and administration, etc.	9	А	
09020	Sociology of education-related Sociology of education, Socialization, Educational organization and system, Destination and career formation, Class disparities, Gender, Education policy, Comparative education, Globalization and development, etc.	9	A	
09030	Childhood and nursery/pre-school education-related Childhood, Nursery/pre-school education, Right of child, Development, Contents and methods of child care, Childcare facilities and kindergarten, Caregiver and pre-school teacher, Child care support, Childhood culture, History and thought, etc.	9	А	
09040	Education on school subjects and primary/secondary education-related Education of individual subjects, Education excluding subjects, Student guidance and counselling, Career education, School management, Teacher education, ESD, Environmental education, Literacy, etc.	9	A	
09050	Tertiary education-related         Policy, Admission and articulation, Curriculum, Career guidance, Teacher and staff,         Scientific research, Regional link and contribution, Globalization, Management and governance,         Non-university higher education, etc.	9	А	
09060	Special needs education-related           Philosophy and history, Inclusion and cohesive society, Instructions and supports,           Developmental disabilities, Emotional disturbance, Intellectual disabilities, Language disorders,           Physical disabilities, Career education, etc.	9	А	
09070	Educational technology-related Curriculum development, Teaching-learning support systems, Utilization of media, Utilization of ICT, Teacher's education, Information literacy, etc.	9	А	
09080	Science education-related Science education, Science communication, Scientific literacy, Science and society, etc.	9	А	
10010	Social psychology-related Social psychology in general, Self, Group, Attitude and behavior, Affection/emotion, Interpersonal relation, Social issues, Culture, etc.	10	A	
10020	Educational psychology-related Educational psychology in general, Development, Family, School, Clinical practice, Personality, Learning, Assessment and evaluation, etc.	10	A	

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Clinical psychology-related		
10030	Clinical psychology in general, Psychological disorder, Assessment, Psychological intervention, Training, Mental health, Crime and delinquency, Community, etc.	10	А
	Experimental psychology-related		
10040	Experimental psychology in general, Sensation, Perception, Attention, Memory, Language, Emotion, Learning, etc.	10	А
	Algebra-related		
11010	Group theory, Ring theory, Representation theory, Algebraic combinatorics, Number theory, Arithmetic geometry, Algebraic geometry, Algebraic analysis, etc.	11	В
	Geometry-related		
11020	Differential geometry, Riemannian geometry, Symplectic geometry, Complex geometry, Topology, Differential topology, Low dimensional topology, etc.	11	В
	Basic analysis-related		
12010	Functional analysis, Complex analysis, Probability theory, Harmonic analysis, Operator theory, Spectral analysis, Operator algebras, Algebraic analysis, Representation theory, etc.	12	В
	Mathematical analysis-related		
12020	Functional equations, Real analysis, Dynamical system, Variational method, Nonlinear analysis, Applied analysis, etc.	12	В
	Basic mathematics-related		
12030	Mathematical logic and foundations, Information theory, Discrete mathematics, Computer mathematics, etc.	12	В
	Applied mathematics and statistics-related		
12040	Numerical analysis, Mathematical modelling, Optimal control, Game theory, Statistical mathematics, etc.	12	В
	Mathematical physics and fundamental theory of condensed matter physics-related		
13010	Statistical physics, Fundamental theory of condensed matter physics, Mathematical physics, Nonequilibrium nonlinear physics, Fluid dynamics, Computational physics, Quantum information theory, etc.	13	В
	Semiconductors, optical properties of condensed matter and atomic physics-related		
13020	Semiconductors, Dielectrics, Atoms and molecules, Mesoscopic systems, Crystals, Surfaces and interfaces, Optical properties of condensed matter, Quantum electronics, Quantum information, etc.	13	В
	Magnetism, superconductivity and strongly correlated systems-related		
13030	Magnetism, Strongly correlated electron systems, Superconductivity, Quantum fluids and solids, Molecular solids, etc.	13	В
	Biophysics, chemical physics and soft matter physics-related		
13040	Physics of biological phenomena, Physics of biological matters, Liquids and glasses, Soft matters, Rheology, etc.	13	В
	Fundamental plasma-related	14	
14010	Basic plasmas, Magnetized plasmas, Laser plasmas, Strongly coupled plasmas, Plasma diagnostics, Astrophysical and space plasmas, etc.		В
	Nuclear fusion-related		
14020	Plasma confinement, Plasma control, Plasma heating, Plasma diagnostics, Edge plasma, Plasma wall interaction, Inertial fusion, Fusion material, Fusion system, etc.	14	В

Basic	Examples of related research content	Medium-sized Sections an Broad Section correspond Basic Sections	
Section		Medium-sized Section	Broad Section
	Applied plasma science-related		
14030	Plasma processing, Plasma photonics, Plasma material science, General plasma applications, etc.	14	В
	Quantum beam science-related		
80040	Accelerators, Beam physics, Radiation detectors, Beam control, Applied quantum beam science, etc.	14, 15	В
	Theoretical studies related to particle-, nuclear-, cosmic ray and astro-physics		
15010	Particle physics, Nuclear physics, Cosmic-ray physics, Astrophysics, Relativity, Gravity, etc.	15	В
	Experimental studies related to particle-, nuclear-, cosmic ray and astro-physics		
15020	Particle physics, Nuclear physics, Cosmic-ray physics, Astrophysics, Relativity, Gravity, etc.	15	В
	Astronomy-related		_
16010	Optical/infrared astronomy, Radio astronomy, Solar physics, Astrometry, Theoretical astronomy, X-ray/γ-ray astronomy, etc.	16	В
15010	Space and planetary sciences-related Solar-terrestrial physics, Aeronomy, Planetary science, Exoplanetary science,	17	D
17010	Extraterrestrial material science, etc.	17	В
	Atmospheric and hydrospheric sciences-related		
17020	Climate system, Atmospheric science, Ocean science, Limnology, Glaciology, Paleoclimatology, etc.	17	В
	Human geosciences-related		
17030	Geoenvironmental science, Natural disaster science, Geospatial information science, Quaternary research, Earth resources science, etc.	17	В
	Solid earth sciences-related		
17040	Solid earth geophysics, Geology, Earth's interior material science, Solid earth geochemistry, etc.	17	В
	Biogeosciences-related		
17050	Origin and evolution of life, Extremophile biology, Biogeochemistry, Paleoenvironmental science, Paleontology, etc.	17	В
	Mechanics of materials and materials-related		
18010	Structural mechanics, Fatigue, Fracture, Biomaterials, Material design, Material characteristics, Material evaluation, etc.	18	С
	Manufacturing and production engineering-related		
18020	Machine tools, Machining, Non-traditional machining, Ultraprecision machining, Additive manufacturing, Precision metrology, Manufacturing systems,	18	С
	Computer-aided technology, Process planning, etc.		
	Design engineering-related		
18030	Product design, Service design, Design for reliability, Maintainability design, Lifecycle engineering, Reverse engineering, Safety design, Design engineering, etc.	18	С
	Machine elements and tribology-related		
18040	Machine elements, Mechanisms, Tribology, Actuators, Micromachines, etc.	18	С
	Fluid engineering-related		
19010	Fluid machinery, Flow measurement, Computational fluid dynamics, Turbulence,	19	С

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
19020	Thermal engineering-related Heat transfer, Convection, Combustion, Thermophysical properties, Refrigeration and air-conditioning, Heat engine, Energy conversion, etc.	19	С
20010	Mechanics and mechatronics-related Kinematics, Kinetics, Vibration, Acoustics, Automation, Learning control, Mechatronics, Micro/nano mechatronics, Biomechanics, etc.	20	С
20020	Robotics and intelligent system-related Robotics, Intelligent system, Human mechanical system, Human interface, Planning, Intelligent spatial system, Virtual reality, Augmented reality, etc.	20	С
21010	Power engineering-related           Electrical energy-related, Energy conservation, Power system engineering, Electric machinery,           Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc.	21	С
21020	Communication and network engineering-related Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems, Modulation/demodulation, Antennas, Networks, Multimedia, Cryptography/security, etc.	21	С
21030	Measurement engineering-related Measurement theory, Measuring instruments, Applied wave metrology, Measurement systems, Signal processing, Sensing devices, etc.	21	С
21040	Control and system engineering-related Control theory, System theory, Control systems, Knowledge-based control systems, System information processing, System control applications, Biosystems engineering, etc.	21	С
21050	Electric and electronic materials-related Semiconductor, Dielectric materials, Magnetic materials, Organic materials, Superconductor, Composite materials, Thin films, Quantum structures, Thick films, Fabrication/characterization methods, etc.	21	С
21060	Electron device and electronic equipment-related Electron devices, Circuit design, Optical devices, Spintronic devices, Millimeter wave/terahertz wave, Applied wave devices, Storage devices, Displays, Micro fabrication process technology, Implementation technology, etc.	21	С
22010	Civil engineering material, execution and construction management-related Concrete, Steel, Composite material, Wood, Pavement material, Repair and reinforce material, Execution, Maintenance, Construction management, Underground space, etc.	22	С
22020	Structure engineering and earthquake engineering-related Applied mechanics, Structure engineering, Steel structure, Concrete structure, Composite structure, Wind engineering, Earthquake engineering, Aseismatic structure, Earthquake prevention, etc.	22	С
22030	Geotechnical engineering-related Soil mechanics, Foundation engineering, Rock engineering, Engineering Geology, Ground behavior, Soil structure, Geo-disaster prevention, Geoenvironmental engineering, Tunnel engineering, Soil environment, etc.	22	С
22040	Hydroengineering-related Hydraulics, Environmental hydraulics, Hydrology, River engineering, Water resource engineering, Coastal engineering, Port and harbor engineering, Ocean engineering, etc.	22	С
22050	Civil engineering plan and transportation engineering-related Civil engineering plan, Regional urban planning, Spatial planning, Disaster prevention plan, Transportation plan, Transportation engineering, Railway engineering, Surveying and remote sensing, Landscape design, Civil engineering history, etc.	22	С

Basic	Examples of related research content	Medium-sized Sections a Broad Section correspond Basic Sections	
Section		Medium-sized Section	Broad Section
22060	Environmental systems for civil engineering-related Environment plan, Environmental system, Environment conservation, Water serve and drainage systems, Waste, Water environment, Atmospheric circulation, Noise and vibration, Environment ecology, Environmental monitoring, etc.	22	С
23010	Building structures and materials-related Load theory, Structural analysis, Structural design, Structures, Earthquake resistant design, Foundation, Geotechnics, Structural material, Maintenance, Building construction method, etc.	23	С
23020	Architectural environment and building equipment-related Sound environment, Vibration environment, Light environment, Heat environment, Air environment, Environmental psychology/physiology, Building equipment, Fire engineering, Urban environment, Environment design, etc.	23	С
23030	Architectural planning and city planning-related Planning theory, Design theory, Housing theory, Buildings, Urban/regional planning, Administration, Building economics, Production management, Disaster prevention planning, Landscape, etc.	23	С
23040	Architectural history and design-related Architectural history, Urban history, Architectural theory, Design, Landscape, Preservation, Renovation, etc.	23	С
24010	Aerospace engineering-related Thermo-fluid dynamics, Structural strength, Propulsion, Aerospace craft design, Production engineering, Aircraft system, Specific aircraft, Aerodynamics, Spacecraft system, Space utilization, etc.	24	С
24020	Marine engineering-related Navigation, Structural mechanics, Structural design, Production technology, Marine propulsion, Marine transport, Marine development engineering, Underwater engineering, Polar engineering, Marine environmental technology, etc.	24	С
25010	Social systems engineering-related Social systems, Industrial engineering, Operations research, Industrial management, Reliability engineering, Policy science, Regulatory science, Quality control, etc.	25	С
25020	Safety engineering-related Safety engineering, Safety system, Risk engineering, Risk management, Work safety, Product safety, Safety information, Human engineering, Liability engineering, etc.	25	С
25030	Disaster prevention engineering-related Disaster prediction, Hazard map, Building prevention against disaster, Lifeline prevention against disaster, Regional disaster prevention planning, Risk evaluation of disaster, Disaster prevention policy, Disaster resilience, etc.	25	С
26010	Metallic material properties-related Electric and magnetic properties, Electronic information properties, Metastable states, Diffusion, Phase transformation, Phase diagram, Crystal lattice defects, Mechanical properties, Thermal and optical properties, Materials computational science, etc.	26	D
26020	Inorganic materials and properties-related Functional ceramics, Functional glasses, Structural ceramics, Carbon-based materials, Crystal structure analysis, Microstructure control, Electric properties, Mechanical properties, Physical and chemical properties, Grain boundary, etc.	26	D

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
26030	Composite materials and interfaces-related Functional composite materials, Structural composite materials, Biocompatible composite materials, Polymer composite, Surface treatment, Dispersion control, Joining and welding, Adhesive bonding, Interface properties, Gradient function, etc.	26	D
26040	Structural materials and functional materials-related Social infrastructure materials, Toughness, Medical welfare materials, Functional polymer materials, Reliability, Photo-functional materials, Sensor materials, Energy materials, Battery functional materials, Environment functional materials, etc.	26	D
26050	Material processing and microstructure control-related Processing and molding, Thermal treatment, Crystal microstructure control, Laser processing, Precision processing, Polishing, Powder metallurgy, Coatings, Metal plating, Corrosion and protection, etc.	26	D
26060	Metals production and resources production-related Separation and purification, Melting and solidifying, Crystal growth, Casting, Resource security reservation, Scarce resources substitution, Low environment impact, Recycle, Ecomaterials, Energy saving, etc.	26	D
27010	Transport phenomena and unit operations-related Phase equilibrium, Transport properties, Momentum/heat/mass transfer, Fluid-phase unit operation, Adsorption, Membrane separation, Mixing, Powder technology, Crystallization, Film formation, etc.	27	D
27020	Chemical reaction and process system engineering-related Reaction operation, Novel reaction process, Reaction mechanism, Reactor design, Materials synthesis process, Micro-chemical process, Process control, Process system design, Process informatics, etc.	27	D
27030	Catalyst and resource chemical process-related Catalysis, Catalyst preparation, Catalytic function, Energy conversion process, Energy development, Energy-saving technology, Resources effective utilization technology, etc.	27	D
27040	Biofunction and bioprocess engineering-related Biocatalyst engineering, Biofunction engineering, Food engineering, Medicochemical engineering, Bioproduction process, Nano-bioprocess, Bioreactor, Bioseparation, Biosensor, Biorefinery, etc.	27	D
28010	Nanometer-scale chemistry-related Nanostructure creation, Clusters, Nanoparticles, Mesoscopic chemistry, Superstructures, Nanometer-scale surfaces and interfaces, Self-assembly, Nanocarbons, Molecular devices, Nanometer-scale optical devices, etc.	28	D
28020	Nanostructural physics-related Physics in nanoscale materials and structures, Nanoprobes, Quantum effects, Quantum dots, Quantum devices, Electron devices, Spin devices, Nanotribology, Nanocarbon physics, etc.	28	D
28030	Nanomaterials-related Creation of nanomaterials, Analysis of nanomaterials, Nanosurfaces, Nanointerfaces, Functional nanomaterials, Nanostructures, Nanoparticles, Carbon nanomaterials, Nanocrystalline materials, Nanocomposites, Nanodefects, Nanofabrication process, etc.	28	D
28040	Nanobioscience-related Biomolecular devices, Molecular manipulation, Molecular imaging, Nanomeasurements, Nanosynthesis, Single molecule science, Nano-bio interfaces, Biomolecular array, Genome engineering, etc.	28	D

Basic Section	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
28050	Nano/micro-systems-related MEMS, NEMS, BioMEMS, Nano/micro-fabrication, Nano/micro-optical devices, Nano/micro-chemical systems, Nano/micro-biosystems, Nano/micro-organism systems, Nano/micro-mechanics, Nano/micro-sensors, etc.	28	D
29010	Applied physical properties-related Magnetic materials, Superconductors, Dielectrics, Fine particles, Organic molecules, Liquid crystals, New functional materials, Organic molecules and bioelectronics, Spintronics, etc.	29	D
29020	Thin film/surface and interfacial physical properties-related Thin-film engineering, Thin-film electronics, Oxide electronics, Vacuum, Surface science, Analysis, Measurement, Nanoscopic technology, Surface and interfacial engineering, Advanced equipment, etc.	29	D
29030	Applied condensed matter physics-related Elementary quantities, Standards, Units, Physical quantity measurements and detection, Energy conversion, etc.	29	D
30010	Crystal engineering-related Metals, Semiconductors, Ceramics, Amorphous materials, Crystal growth, Artificial structures, Crystal characterization, Plasma materials engineering, Plasma processing, Plasma engineering, etc.	30	D
30020	Optical engineering and photon science-related Optical materials, Optical elements, Optical properties, Optical information processing, Laser, Optical sensing, Optical recording, Opto-electronics, Nonlinear optics, Vision optics, etc.	30	D
31010	Nuclear engineering-related Reactor physics and safety design, Thermal-hydraulics and structure, Fuel material, Nuclear chemistry, Nuclear life cycle, Radiation safety, Radiation beam engineering, Plasma engineering for fusion reactor, Equipment and material engineering for fusion reactor, Nuclear social environment, etc.	31	D
31020	Earth resource engineering, Energy sciences-related Earth resource sciences, Resource prospecting, Resource development, Resource cycle, Resource economy, Energy system, Environmental load evaluation, Renewable energy, Natural resource and energy technological policy, etc.	31	D
32010	Fundamental physical chemistry-related         Theoretical chemistry, Molecular spectroscopy, Structural chemistry,         Electronic state dynamics, Chemical reaction dynamics, Surface/interface,         Cluster and nano materials, Bio-related physical chemistry, Liquid structure dynamics,         Solid state properties, Molecular properties, etc.	32	E
32020	Functional solid state chemistry-related Optical properties, Electron spin, Molecular electronics and devices, Supermolecules, Liquid crystals, Crystals, Surface/interface, Nano particles, Colloids, Electrochemistry, Electronic properties, etc.	32	Е
33010	Structural organic chemistry and physical organic chemistry-related         Organic crystals, Molecular recognition, Supermolecules, Organic functional materials,         Extended π-electron system compounds, Heterocyclic chemistry, Organoelement chemistry,         Organic reaction mechanism, Organic photochemistry, Theoretical organic chemistry, etc.	33	E
33020	Synthetic organic chemistry-related Selective reactions, Asymmetric synthesis, Organometallic complex/catalysis, Catalyst design, Organocatalysts, Biocatalysis, Sustainable organic synthesis, Natural product synthesis, Process chemistry, Organic electrochemistry, etc.	33	E

Basic	Examples of related research content	Medium-sized Sections an Broad Section correspondi Basic Sections	
Section		Medium-sized Section	Broad Section
34010	Inorganic/coordination chemistry-related Coordination chemistry, Organometallic chemistry, Inorganic solid-state chemistry, Bioinorganic chemistry, Solution chemistry, Clusters, Supramolecular complexes, Coordination polymers, Typical elements, Physical properties and functions, etc.	34	Е
34020	Analytical chemistry-related Spectrometric analysis, Advanced measurements, Surface/interface analysis, Separation analysis, Analytical reagents, Radiochemical analysis, Electrochemical analysis, Bioanalysis, New analysis methods, etc.	34	Е
34030	Green sustainable chemistry and environmental chemistry-related Green process, Green catalysts, Recycle, Environmental assessment, Environmentally conscious materials, Reduction of environmental load, Environmental restoration, Resource saving, Geochemistry, Environmental radioactivity, etc.	34	Е
35010	Polymer chemistry-related         Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers,         Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties,         Polymer structures, Polymer thin film/surface, etc.	35	Е
35020	Polymer materials-related Properties of polymer materials, Synthesis of polymer materials, Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers, Gel, Biopolymers, Polymer composites, Polymer processing, etc.	35	E
35030	Organic functional materials-related Organic semiconductors, Liquid crystals, Optical materials, Device-related materials, Electrically conductive materials, Hybrid materials, Molecular functional materials, Organic hybrid materials, Materials for energy conversion, etc.	35	E
36010	Inorganic compounds and inorganic materials chemistry-related Crystals, Amorphous, Ceramics, Semiconductors, Inorganic device-related materials, Low-dimensional compounds, Porous materials, Nanoparticles, Multicomponent compounds, Hybrid materials, etc.	36	Е
36020	Energy-related chemistry Energy resources, Energy conversion materials, Energy carriers, Solar energy utilization, Material separation, Catalytic transformation, Battery and electrochemical materials, Energy-saving materials, Renewable energy, Unused energy, etc.	36	E
37010	Bio-related chemistry Bioorganic chemistry, Biological reaction engineering, Biofunctional chemistry, Biofunctional materials, Biotechnology, etc.	37	E
37020	Chemistry and chemical methodology of biomolecules-related Natural product chemistry, Biologically active compounds, Molecular mechanism of biological activities, Biofunctional molecules, Combinatorial chemistry, Metabolomic analysis, etc.	37	E
37030	Chemical biology-related In vivo functional expression, Intracellular chemical reactions, Drug discovery science, Chemical library, Structure-activity relationship, Chemical probes, Biomolecular measurements, Molecular imaging, Proteomics, etc.	37	E
38010	Plant nutrition and soil science-related Plant metabolism and physiology, Nutritional elements in plants, Soil classification, Soil physical chemistry, Soil organisms, etc.	38	F

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
38020	Applied microbiology-related           Microbial genetics/breeding, Microbial function, Microbial metabolism and physiology,           Microbial applications, Control of microbes, Microbial ecology, Production of useful materials, etc.	38	F
38030	Applied biochemistry-related Cellular biochemistry, Applied biochemistry, Structural biology, Regulation of bioactivity, Metabolism and physiology, Cellular function, Molecular function, Production of useful materials, etc.	38	F
38040	Bioorganic chemistry-related Bioactive substances, Signal molecules, Natural products chemistry, Biosynthesis, Structure-activity relationship, Synthetic organic chemistry, Chemical biology, etc.	38	F
38050	Food sciences-related Food function, Food chemistry, Nutritional chemistry, Food analysis, Food engineering, Food safety, Functional food, Nutritional epidemiology, Clinical nutrition, etc.	38	F
38060	Applied molecular and cellular biology-related Molecular cell biology, Cellular bioengineering, Molecular engineering, Gene expression control, Cell-cell/intermolecular interactions, Cellular function, Production of useful materials, etc.	38	F
39010	Science in plant genetics and breeding-related Genetic resources, Breeding theories, Genomic breeding, Plants with novel traits, Quality components, Stress tolerance, Yielding ability, Reproduction and multiplication, Growth physiology, Development, etc.	39	F
39020	Crop production science-related Field crops, Crop yield, Crop product quality, Crop morphology, Growth prediction, Crop physiology, Field management, Low-cost cultivation techniques, Environmentally friendly agriculture, Field ecosystem, etc.	39	F
39030	Horticultural science-related Plant growth, flowering, and fruit development, Nursery plant propagation and production, Crop production systems, Cultivation techniques, Protected horticulture, Controlled environment systems, Breeding and development of new cultivars, Quality of horticultural products, Postharvest physiology and management, Socio-horticulture, etc.	39	F
39040	Plant protection science-related Plant pathology, Clinical plant science, Agricultural insect pest, Natural enemy, Weed, Agricultural chemicals, Integrated pest management, etc.	39	F
39050	Insect science-related Sericulture insect technology, Insect genetics, Insect pathology, Insect physiology and biochemistry, Insect ecology, Chemical ecology, Systematics, Symbiosis and parasitism, Social insects, Medical entomology, etc.	39	F
39060	Conservation of biological resources-related Conservation biology, Biodiversity conservation, Conservation of phylogenetic diversity, Conservation of genetic resources, Ecosystem conservation, Conservation of endemic species, Conservation of microorganisms, etc.	39	F
39070	Landscape science-related Landscape architecture, Parks and open space planning, Landscape planning, Cultural landscape, Nature conservation, Landscape ecology, Parks and open space management, Parks, Environmental greening, Participatory community design, etc.	39	F
40010	Forest science-related Forest ecology, Forest biodiversity, Forest genetics and breeding, Silviculture, Forest protection, Forest environments, Erosion control, Forest planning, Forest policy, etc.	40	F

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Wood science-related		
40020	Wood structure, Wood property, Lignocellulose, Trace element, Fungus, Wood processing, Biomass-refinery, Wood based material, Wooden building, Forest products education, etc.	40	F
	Aquatic bioproduction science-related		
40030	Aquatic environment, Fisheries, Aquatic resource management, Aquatic organisms, Aquatic ecosystem, Aquaculture, Fisheries engineering, Fishing community/fisheries policy, Fisheries economics/management/marketing, Fisheries education, etc.	40	F
	Aquatic life science-related		
40040	Aquatic nutrition, Aquatic pathology, Aquatic genetics/heredity/breeding, Aquatic physiology, Utilization of aquatic organisms and biomass, Aquatic biological chemistry, Aquatic biotechnology, Aquatic food sciences, etc.	40	F
	Agricultural and food economics-related		
41010	Food economy, Agricultural production economy, Policy for agriculture, forestry and fishery, Food system, Food marketing, International agricultural development, Trade of agricultural commodities and livestock products, Rural resources and environment, etc.	41	F
	Rural sociology and agricultural structure-related		
41020	Farm organization, Farm management, Agricultural structure, Agricultural market, Agricultural history, Rural society, Rural life, Agricultural cooperative, etc.	41	F
	Rural environmental engineering and planning-related		
41030	Irrigation and drainage, Reclamation and conservation of agricultural land, Rural planning, Rural environment, Circulation of resources and energy, Disaster prevention in rural area, Stock management of agricultural infrastructures, Hydrodynamics and hydrology, Soil physics, Design and construction materials, etc.	41	F
	Agricultural environmental engineering and agricultural information engineering-related		
41040	Agricultural production facilities, Bioproduction machinery, Environmental control, Agricultural meteorology and micrometeorology, Agricultural information, Greenhouse horticulture, Plant factory, Postharvest and supply chain, Nondestructive measurement, Remote sensing and geographic information system, etc.	41	F
	Environmental agriculture-related		
41050	Biomass, Environmental manipulation, Biodiversity, Environmental analysis, Ecosystem services, Resources circulation system, Low-carbon societies, Life-cycle assessment, Environmental friendly agriculture, Watershed management, etc.	41	F
	Animal production science-related		
42010	Breeding/genetics, Reproduction, Nutrition/feeding, Anatomy/physiology, Product, Environment, Behavior, Therapy, Grassland, Grazing, etc.	42	F
	Veterinary medical science-related		
42020	Basic veterinary science, Pathological veterinary science, Applied veterinary science, Clinical veterinary science, Animal nursing, Animal welfare, Wildlife, etc.	42	F
	Animal life science-related		
42030	Homeostasis, Cellular function, Biological defense, Integrated genetics, Development/differentiation, Biotechnology, etc.	42	F
	Laboratory animal science-related		
42040	Genetic engineering, Developmental engineering, Animal models of disease, Facility management, Laboratory animal welfare, Laboratory animal-related technology, Bioresource, etc.	42	F

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
43010	Molecular biology-related Chromosome function, Chromatin, Epigenetics, Genome maintenance, Genome transmission, Chromosome re-organization, Gene expression, Non-coding RNA, Regulation of protein function, Molecular genetics, etc.	43	G
43020	Structural biochemistry-related Proteins, Nucleic acids, Lipids, Carbohydrates, Biological membrane, Molecular recognition, Denaturation, Three-dimensional structural analysis, Three-dimensional structural prediction, Molecular dynamics, etc.	43	G
43030	Functional biochemistry-related Enzymes, Sugar chain, Bioenergy conversion, Biological trace elements, Physiologically active substances, Cell signaling, Membrane transport, Proteolysis, Molecular recognition, etc.	43	G
43040	Biophysics-related Structure biology, Physical property of biomolecules, Biomembrane, Photobiology, Molecular motor, Biometrics, Bioimaging, Systems biology, Synthetic biology, Theoretical biology, etc.	43	G
43050	Genome biology-related Genome organization, Genome function, Genome diversity, Molecular evolution of genome, Genome repair/maintenance, Trans-omics, Epigenome, Gene resource, Genome dynamics, etc.	43	G
43060	System genome science-related Network analyses, Synthetic biology, Biological databases, Bioinformatics, Genome analysis technology, Genome biotechnology, etc.	43	G
44010	Cell biology-related         Cytoskeleton, Proteolysis, Organelle dynamics, Nuclear structure and function, Extracellular matrix,         Signal transduction, Cell cycle, Cell motility, Cell-cell interaction, Cellular genetics, etc.	44	G
44020	Developmental biology-related Cell differentiation, Stem cells, Regeneration, Germ layer formation, Morphogenesis, Organogenesis, Fertilization, Germ cells, Regulation of gene expression, Developmental genetics, Evolution and development, etc.	44	G
44030	Plant molecular biology and physiology-related Photosynthesis, Growth physiology, Plant development, Organelle, Cell wall, Responses to environment, Plant-microbe interaction, Metabolism, Plant molecular function, etc.	44	G
44040	Morphology and anatomical structure-related Animal and plant morphology, Micro-organismal morphology, Molecular morphology, Microstructure, Tissue organization, Morphogenesis, Comparative endocrinology, Microscopic technology, Imaging, etc.	44	G
44050	Animal physiological chemistry, physiology and behavioral biology-related Metabolic physiology, Neurophysiology, Neuroethology, Behavioral physiology, Animal physiological chemistry, Chronobiology, Comparative physiology, etc.	44	G
45010	Genetics-related         Genetic mechanism, Molecular genetics, Cellular genetics, Population genetics,         Evolutionary genetics, Developmental genetics, Behavioral genetics, Genetic diversity, etc.	45	G
45020	Evolutionary biology-related General evolutionary biology, Molecular evolution, Phenotypic evolution, Evolution of developmental traits, Evolution of ecological traits, Evolution of behaviors, Experimental evolution, Evolutionary theory, Evolution of symbiosis, Phylogenetics, Speciation, etc.	45	G

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Biodiversity and systematics-related		
45030	Taxonomic characters, Taxon, Classification system, Biodiversity, Phylogenetics, Evolution, Natural history, Speciation, etc.	45	G
45040	Ecology and environment-related Chemical ecology, Molecular ecology, Physiological ecology, Evolutionary ecology, Behavioral ecology, Population ecology, Community ecology, Ecosystem, Conservation ecology, Natural environment, etc.	45	G
45050	Physical anthropology-related Molecular anthropology and genetics, Morphology and function, Bioarchaeology, Behavior and cognition, Ecology, Primates, Evolution, Development and ontogeny, Variation and diversity, etc.	45	G
45060	Applied anthropology-related Physiological anthropology, Ergonomics, Forensic anthropology, Medical anthropology, Physiological polymorphisms, Environmental adaptability, Somatic and physiological function, Anthropometry and bioengineering, etc.	45	G
46010	Neuroscience-general-related Neurochemistry, Neuron, Glia, Genome, Epigenetics, Neurobiology, Information processing, Synapse, Neurogenesis, etc.	46	G
46020	Anatomy and histopathology of nervous system-related Neural development, Anatomy of nervous system, Neural network structure, Neuropathology, etc.	46	G
46030	Function of nervous system-related Neurophysiology, Neuropharmacology, Neurotransmission, Neuroinformatics, Behavioral neuroscience, Neural system physiology, Cerebral blood flow, Autonomic nervous system, etc.	46	G
47010	Pharmaceutical chemistry and drug development sciences-related Inorganic chemistry, Organic chemistry, Medicinal chemistry, Medicinal molecular design, Drug discovery, Bio-related materials, Chemical biology, etc.	47	Н
47020	Pharmaceutical analytical chemistry and physicochemistry-related Environmental analysis, Bioanalysis, Physicochemistry, Biophysics, Structural biology, Radiochemistry, Bioimaging, Drug formulation design, Computer science, Information science, etc.	47	Н
47030	Pharmaceutical hygiene and biochemistry-related Environmental hygiene, Healthful nutrition, Disease prevention, Toxicology, Drug metabolism, Host defense, Molecular biology, Cell biology, Biochemistry, etc.	47	Н
47040	Pharmacology-related Pharmacology, Pharmacogenomics, Applied pharmacology, Signal transduction, Drug interactions, Drug response, Pharmacotherapy, Pharmacotoxicology, etc.	47	Н
47050	Environmental and natural pharmaceutical resources-related Environmental resource science, Natural products chemistry, Bioactive natural compounds, Medicinal resources, Medicinal foods, Pharmaceutical microbiology, etc.	47	Н
47060	Clinical pharmacy-related Pharmacokinetics, Medical informatics, Social pharmacy, Clinical pharmacy, Pharmaceutics, Regulatory science, Education for the pharmacist, etc.	47	Н
48010	Anatomy-related Macroscopic anatomy, Histology, Embryology, etc.	48	Н

Basic	Examples of related research content	Medium-sized Sections Broad Section correspor Basic Sections		
Section		Medium-sized Section	Broad Section	
	Physiology-related			
48020	General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.	48	Н	
	Pharmacology-related			
48030	Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology, Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.	48	Н	
	Medical biochemistry-related			
48040	Biofunctional molecular and medical biochemistry, Genome medical sciences, Human genetics, Disease model, etc.	48	Н	
	Pathological biochemistry-related			
49010	Molecular pathology, Metabolic disorders, Molecular diagnosis, etc.	49	Н	
	Human pathology-related	_		
49020	Molecular pathology, Cyto- and histo-pathology, Diagnostic pathology, etc.	49	Н	
	Experimental pathology-related			
49030	Disease models, Pathological regulation, Tissue regeneration, etc.	49	Н	
	Parasitology-related			
49040	Parasite, Vector organism, Parasite pathogenicity, Epidemiology of parasites, Control of parasite infections, etc.	49	Н	
	Bacteriology-related			
49050	Bacterium, Fungus, Antimicrobial resistance, Bacterial pathogenicity, Epidemiology of bacteria, Control of bacterial infections, etc.	49	Н	
	Virology-related			
49060	Virus, Prion, Viral pathogenicity, Epidemiology of viruses, Control of viral infections, etc.	49	Н	
	Immunology-related			
49070	Immune system, Immune response, Inflammation, Immune-related disorder, Immune regulation, etc.	49	Н	
	Tumor biology-related			
50010	Cancer and gene, Tumor development, Invasion, Metastasis, Cancer microenvironment, Cancer and signal transduction, Characteristics of cancer cells, etc.	50	Ι	
	Tumor diagnostics and therapeutics-related			
50020	Genome analysis, Diagnostic markers, Molecule imaging, Chemotherapy, Nucleic acid therapy, Gene therapy, Immunotherapy, Molecular targeted therapy, Physical therapy, Radiation therapy, etc.	50	Ι	
	Basic brain sciences-related			
51010	Brain-machine interface, Model animal, Computational brain science, Brain information decoding, Control technologies, Brain imaging, Brain biometrics, etc.	51	Ι	
	Cognitive and brain science-related			
51020	Social behavior, Communication, Emotion, Decision making, Consciousness, Learning, Neuroeconomics, Neuropsychology, etc.	51	Ι	
	Pathophysiologic neuroscience-related			
51030	Clinical neuroscience, Dolorology, Sensory impairment, Movement disorder, Neurological disorder, Neurogenesis, Neuroimmunology, Cellular degeneration, Disease model, etc.	51	Ι	

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	General internal medicine-related		
52010	Laboratory medicine, General practice, Geriatrics, Psychosomatic internal medicine, Oriental medicine, Palliative medicine, etc.	52	Ι
	Neurology-related		
52020	Neurology, Neurofunctional imaging, etc.	52	Ι
	Psychiatry-related		
52030	Clinical psychiatry, Biological psychiatry, Forensic mental health, etc.	52	Ι
500.40	Radiological sciences-related		
52040	Diagnostic radiology, Therapeutic radiology, Radiation biology, Radiological technology, etc.	52	Ι
	Embryonic medicine and pediatrics-related		
52050	Fetal medicine, Neonatal medicine, Pediatrics, etc.	52	Ι
	Gastroenterology-related		
53010	Upper digestive tract, Lower digestive tract, Liver, Biliary tract, Pancreas, etc.	53	Ι
	Cardiology-related		
53020	Ischemic heart disease, Valvular heart disease, Arrhythmia, Cardiomyopathy, Heart failure, Peripheral arterial disease, Arteriosclerosis, Hypertension, etc.	53	Ι
	Respiratory medicine-related		
53030	Respiratory medicine, Asthma, Diffusive lung disease, COPD, Lung cancer, Pulmonary hypertension, etc.	53	Ι
	Nephrology-related		
53040	Acute renal failure, Chronic kidney disease, Diabetic nephropathy, Hypertension, Aqueous electrolyte metabolism, Artificial dialysis, etc.	53	Ι
	Dermatology-related		
53050	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc.	53	Ι
	Hematology and medical oncology-related		
54010	Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc.	54	Ι
	Connective tissue disease and allergy-related		
54020	Connective tissue disease, Allergy, Clinical immunology, Inflammation, etc.	54	Ι
	Infectious disease medicine-related		
54030	Infection diagnostics, Infection therapeutics, Host defense, International infection science, etc.	54	Ι
	Metabolism and endocrinology-related Energy balance, Glucose metabolism, Lipid metabolism, Purine metabolism,		
54040	Bone metabolism, Electrolyte balance, Endocrinology, Neuroendocrinology, Reproductive endocrinology, etc.	54	Ι
	General surgery and pediatric surgery-related		
55010	Surgical basic principles, Breast surgery, Endocrine surgery, Pediatric surgery, Transplant surgery, Artificial organs science, Regeneration, Operation support, etc.	55	Ι

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Digestive surgery-related		
55020	Upper gastrointestinal surgery, Lower gastrointestinal surgery, Hepatic surgery, Biliary surgery, Pancreatic surgery, etc.	55	Ι
	Cardiovascular surgery-related		
55030	Coronary artery surgery, Heart valve surgery, Surgery for myocardial disease, Aortic surgery, Vascular surgery, Congenital heart surgery, etc.	55	Ι
	Respiratory surgery-related		
55040	Lung surgery, Mediastinal surgery, Chest wall surgery, Respiratory tract surgery, etc.	55	Ι
	Anesthesiology-related		
55050	Anesthesiology, Perioperative management, Pain management, Resuscitology, Palliative medicine, etc.	55	Ι
	Emergency medicine-related		
55060	Intensive care medicine, Emergency resuscitation science, Trauma surgery, Disaster medicine, Disaster medical care, etc.	55	Ι
	Neurosurgery-related		
56010	Neurosurgery, Spine and spinal cord diseases, etc.	56	Ι
	Orthopedics-related		
56020	Orthopedics, Rehabilitation medicine, Sports medicine, etc.	56	Ι
	Urology-related		
56030	Urology, Male genitalia science, etc.	56	Ι
	Obstetrics and gynecology-related		
56040	Obstetrics, Reproductive endocrinology, Gynecologic oncology, Female health care medicine, etc.	56	Ι
	Otorhinolaryngology-related		
56050	Otorhinolaryngology, Head and neck surgery, etc.	56	Ι
	Ophthalmology-related		
56060	Ophthalmology, Ophthalmological optics, etc.	56	Ι
	Plastic and reconstructive surgery-related		
56070	Plastic surgery, Reconstructive surgery, Aesthetic plastic surgery, etc.	56	Ι
	Oral biological science-related		
57010	Oral anatomy, Oral histology and embryology, Oral physiology, Oral biochemistry, Pharmacology for hard tissues, etc.	57	Ι
	Oral pathobiological science-related		
57020	Oral infectious diseases, Oral pathology, Oral experimental oncology, Immunity and inflammation, Laboratory medicine, etc.	57	Ι
	Conservative dentistry-related Operative dentistry, Endodontology, Periodontology, etc.		

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Regenerative dentistry and dental engineering-related		
57040	Regenerative dentistry, Biomaterial science, Dental materials science, Oral and maxillofacial prosthetics, Oral implantology, etc.	57	Ι
57050	Prosthodontics-related		T
57050	Prosthodontics, Oral rehabilitation, Gerodontology, etc.	57	Ι
	Surgical dentistry-related		
57060	Oral and maxillofacial surgery, Oral maxillofacial reconstructive surgery, Dental anesthesiology, Psychosomatic medicine dentistry, Dental radiology, etc.	57	Ι
	Developmental dentistry-related		
57070	Orthodontics, Pediatric dentistry, etc.	57	Ι
	Social dentistry-related		
57080	Dental hygiene, Preventive dentistry, Oral health administration and management, Dental education, Forensic odontology, etc.	57	Ι
	Medical management and medical sociology-related		
	Medical management, Medical social science, Ethics for medical science,		
58010	Ethics for medical care, Biomedical education, History of medical science, Health policy and economics, Clinical trials, Health and medical services administration, Disaster medical science, etc.	58	Ι
	Hygiene and public health-related: including laboratory approach		
58020	Hygiene, Public health, Epidemiology, Global health, etc.	58	Ι
	Hygiene and public health-related: excluding laboratory approach		
58030	Hygiene, Public health, Epidemiology, Global health, etc.	58	Ι
	Forensics medicine-related		
58040	Forensic medicine, Forensic pathology, Forensic toxicology, Forensic genetics, Suicide, Abuse, Clinical forensic medicine, Sudden death, etc.	58	Ι
	Fundamental of nursing-related		
58050	Fundamental of nursing, Nursing education, Nursing administration, etc.	58	Ι
	Clinical nursing-related		
58060	Critical care and emergency nursing, Perioperative nursing, Nursing of chronic illness, Oncology nursing, Psychiatric nursing, Palliative care nursing, etc.	58	Ι
	Lifelong developmental nursing-related		
58070	Women's health nursing, Maternal nursing, Midwifery, Family health nursing, Child health nursing, School nursing, etc.	58	Ι
	Gerontological nursing and community health nursing-related		
58080	Gerontological nursing, Community health nursing, Public health nursing, Disaster nursing, etc.	58	Ι
	Rehabilitation science-related		
59010	Rehabilitation medicine, Rehabilitation nursing, Rehabilitation medical care, Physicotherapeutics, Occupational therapy, Assistive technology, Speech and language therapy, etc.	59	Ι

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Sports sciences-related		
59020	Sports physiology, Sports biochemistry, Sports medicine, Sports sociology, Sports management, Sports psychology, Sports education, Training science, Sports biomechanics, Adapted sports science, Doping, etc.	59	Ι
	Physical education, and physical and health education-related		
59030	Growth developmental science, Physical and health education, Physical education in school, Educational physiology, Physical systems science, Higher brain function science, Martial arts theory, Outdoor education, etc.	59	Ι
	Nutrition science and health science-related		
59040	Nutritional physiology, Nutritional biochemistry, Nutritional education, Clinical nutrition, Functional food, Lifestyle-related disease, Health promotion, Aging, etc.	59	Ι
	Theory of informatics-related		
60010	Discrete structure, Mathematical logic, Theory of computation, Mathematical theory of programs, Computational complexity theory, Algorithm theory, Information theory, Coding theory, Theory of cryptography, Learning theory, etc.	60	J
	Mathematical informatics-related		
60020	Optimization theory, Mathematical systems theory, System control theory, System analysis, System methodology, System modeling, System simulation, Combinatorial optimization, Queueing theory, Mathematical finance, etc.	60	J
	Statistical science-related		
60030	Statistics, Data science, Modeling, Statistical inference, Multivariate analysis, Time series analysis, Statistical quality control, Applied statistics, etc.	60	J
	Computer system-related		
60040	Computer architecture, Circuit and system, LSI design, LSI testing, Reconfigurable system, Dependable architecture, Low power technology, Hardware/software codesign, Embedded system, etc.	60	J
	Software-related		
60050	Programming language, Programming methodology, Operating system, Parallel and distributed computing, Software engineering, Virtualization technology, Cloud computing, Software dependability, Software security, etc.	60	J
	Information network-related		
60060	Network architecture, Network protocol, Internet, Mobile network, Pervasive computing, Sensor network, IoT, Traffic engineering, Network management, Service platform technology, etc.	60	J
	Information security-related		
	Cryptography, Tamper resistance technology, Authentication, Biometrics, Access control, Malware countermeasure,		
60070	Countermeasures against denial-of-service attacks, Privacy protection, Digital forensics, Security evaluation and authorization, etc.	60	J
	Database-related		
60080	Data model, Database system, Multimedia database, Information retrieval, Content management, Metadata, Big data, Geographic information system, etc.	60	J
	High performance computing-related		
60090	Parallel processing, Distributed processing, Cloud computing, Numerical analysis, Visualization, Computer graphics, High performance computing application, etc.	60	J

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
60100	Computational science-related Mathematical engineering, Computational mechanics, Numerical simulation, Multi-scale modeling, Large-scale computing, Massively parallel computing, Numerical computing methods, Advanced algorithms, etc.	60	J
61010	Perceptual information processing-related Pattern recognition, Image processing, Computer vision, Visual media processing, Acoustic media processing, Media editing, Media database, Sensing, Sensor fusion, etc.	61	J
61020	Human interface and interaction-related Human interface, Multi-modal interface, Human-computer interaction, Computer supported cooperative work, Virtual reality, Augmented reality, Realistic communication, Wearable device, Usability, Ergonomics, etc.	61	J
61030	Intelligent informatics-related Search, Inference, Machine learning, Knowledge acquisition, Intelligent system, Intelligent information processing, Natural language processing, Data mining, Ontology, Agent system, etc.	61	J
61040	Soft computing-related Neural network, Evolutionary computation, Fuzzy theory, Chaos, Complex systems, Probabilistic information processing, etc.	61	J
61050	Intelligent robotics-related Intelligent robot, Behavior and environment recognition, Planning, Sensory behavior system, Autonomous system, Digital human, Real world information processing, Physical agents, Intelligent space, etc.	61	J
61060	Kansei informatics-related Kansei design, Kansei cognitive science, Kansei psychology, Kansei robotics, Kansei measurement evaluation, Kansei interface, Kansei physiology, Kansei material science, Kansei pedagogy, Kansei brain science, etc.	61	J
62010	Life, health and medical informatics-related Bioinformatics, Life informatics, Biological information, Neuroinformatics, Neural information processing, Molecular computing, DNA computing, Medical information, Health information, Medical image, etc.	62	J
62020	Web informatics and service informatics-related         Web system, Social web, Semantic web, Web mining, Social network analysis,         Service engineering, Educational service, Medical service, Welfare service, Social service,         Information culture, etc.	62	J
62030	Learning support system-related Media literacy, Learning media, Social media, Learning content, Learning management, Learning support, Remote learning, e-Learning, etc.	62	J
62040	Entertainment and game informatics-related Music information processing, 3D content, Animation, Game programming, Network entertainment, Media art, Digital museum, Experience design, etc.	62	J
63010	Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc.	63	К
63020	Radiation influence-related Radiation, Measurement, Control, Repair, Biological effects, Risk, etc.	63	К

Basic	Examples of related research content	Broad Section	d Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
63030	Chemical substance influence on environment-related Toxicology, Toxic substance to human, Trace chemical substance, Endocrine disruptor, Repair, etc.	63	K
63040	Environmental impact assessment-related Atmosphere, Hydrosphere, Terrestrial impact, Impact assessment on human health, Social and economic impacts, Impact assessment on the future generation, Environmental impact assessment, Assessment methods, Monitoring, Simulation, etc.	63	K
64010	Environmental load and risk assessment-related Environmental analysis, Environmental load analysis, Environmental monitoring, Dynamics of environmental pollution, Environmental modelling, Evaluation of contamination, Exposure assessment, Toxicity evaluation, Environmental assessment, Chemical substance management, etc.	64	K
64020	Environmental load reduction and remediation-related Removal of contamination, Treatment of waste material, Control of contamination source, Disposal of waste material, E nvironmental load reduction, Remediation measure of contamination, Noise and vibration reduction, Countermeasure of ground settlement, Bioremediation, Radioactive decontamination, etc.	64	K
64030	Environmental materials and recycle technology-related Recycle materials, Valuable materials recovery, Separation, refining and purification, Environment-conscious design, Recycle chemistry, Green production, Zero emission, Resource circulation, Renewable energy, Biomass utilization, etc.	64	K
64040	Social-ecological systems-related Biodiversity, Conservation biology, Ecosystem services, Natural capital, Impact analysis on ecosystem, Ecosystem management, Ecosystem restoration, Ecological engineering, Regional environmental planning, Impact of climate change, etc.	64	К
64050	Sound material-cycle social systems-related Sound material-cycle systems, Material and energy budget analysis, Low carbon society, Unused energy, Regional revitalization, Water use system, Industrial symbiosis, Life cycle assessment (LCA), Integrated environmental management, 3R (reduction, reuse, recycle) social systems, etc.	64	К
64060	Environmental policy and social systems-related Environmental philosophy and ethics, Environmental laws, Environmental economics, Environmental information, Environmental education, Environmental social activities, Environmental management and governance, Consensus forming, Environmental safety and security, Social and public system, Sustainable development, etc.	64	К
90010	Design-related Information design, Environmental design, Industrial design, Spatial design, Design history, Theory of design, Design standard, Design support, Evaluation of design, Design education, etc.	1, 23, 61	A, C, J
90020	Library and information science, humanistic and social informatics-related Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, etc.	2, 62	A, J
90030	Cognitive science-related           Cognitive science in general, Cognitive models, Kansei, Human factors,           Cognitive and brain science, Comparative cognition, Cognitive linguistics, Cognitive engineering, etc.	10, 61	A, J

Basic	Examples of related research content	Broad Section	l Sections and corresponding Sections
Section		Medium-sized Section	Broad Section
	Biomedical engineering-related		
90110	Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.	90	D, I
	Biomaterials-related		
90120	Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials, Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.	90	D, I
	Medical systems-related		
90130	Medical ultrasound system, Diagnostic imaging system, Laboratory diagnosis systems, Minimally invasive treatment systems, Remote diagnosis and treatment systems, Organ preservation systems, Medical information systems, Computer-assisted surgery, Medical robot, etc.	90	D, I
	Medical technology assessment-related		
90140	Regulatory science, Safety evaluation, Clinical study, Medical technology ethics, Medical devices, etc.	90	D, I
	Medical assistive technology-related		
90150	Healthcare and rehabilitation engineering, Life assist technology, Care support technology, Accessibility design, Universal design, Rehabilitation and nursing robot, Assist device for artificial internal organ, Rehabilitation devices, Nursing science and engineering, etc.	90	D, I

## The Review Section Table (Table for Medium-sized and Broad Sections)

When selecting a review section, applicants should first acquire an overall picture of the review sections based on the Review Section Table (Overview). In addition, check the Review Section Table (Table for Medium-sized and Broad Sections) for the detailed contents of each section and select a review section for their research proposal.

Also, some items of Basic Section may be presented in plural Medium-sized and Broad Sections. The items of Basic Section presented in plural Medium-sized Section are 9 and 3 items among 9 are presented in plural Medium-sized and Broad Sections (as shown below).

In addition, five other Basic Sections (90110-90150) may be presented in only one Medium-sized Section and two Broad Sections.

Basic Section Item	Basic Section Description	Medium-sized Sections corresponding Basic Sections	Broad Sections corresponding Basic Sections
02090	Japanese language education-related	2, 9	А
02100	Foreign language education-related	2, 9	А
80010	Area studies-related	4, 6	А
80020	Tourism studies-related	4, 7, 8	А
80030	Gender studies-related	4,6,8	А
80040	Quantum beam science-related	14, 15	В
90010	Design-related	1, 23, 61	А, С, Ј
90020	Library and information science, humanistic and social informatics-related	2, 62	А, Ј
90030	Cognitive science-related	10,61	A, J
90110	Biomedical engineering-related	90	D, I
90120	Biomaterials-related	90	D, I
90130	Medical systems-related	90	D, I
90140	Medical technology assessment-related	90	D, I
90150	Medical assistive technology-related	90	D, I

[Basic sections may be presented in plural Medium-sized and Broad Section]

## [Medium-sized section may be presented in plural Broad Section]

Medium-sized Section Item	Medium-sized section Description	Broad Sections corresponding Medium-sized Section
90	Biomedical engineering and related fields	D, I

	. 10	
Mediur		tion 1 : Philosophy, art, and related fields
	Basic Section	Examples of related research content
		Philosophy and ethics-related
	01010	Philosophy in general, Ethics in general, Western philosophy, Western ethics, Japanese philosophy, Japanese eth Applied ethics, etc.
		Chinese philosophy, Indian philosophy and Buddhist philosophy-related
	01020	Chinese philosophy/thought, Indian philosophy/thought, Buddhist philosophy, Bibliography, Philology, etc.
		Religious studies-related
	01030	History of religions, Philosophy of religion, Theology, Sociology of religion, Psychology of religion, Anthropology of religion, Studies of religious folklore, Mythology, Bibliography, Philology, etc.
		History of thought-related
	01040	History of thought in general, History of Western thought, History of Eastern thought, History of Japanese thought, etc.
		Aesthetics and art studies-related
	01050	Philosophy of art, Aesthetics, Miscellaneous art studies, etc.
		History of arts-related
	01060	Japanese art, Eastern art, Western art, Contemporary art, Craft, Design, Architecture, Costume, Photography, etc.
		Theory of art practice-related
	01070	Art expression, Arts management, Art policy, Art production, etc.
		Sociology of science, history of science and technology-related
	01080	Sociology of science, History of science, History of technology, History of medicine, Industrial archeology, Philosophy of science, Foundation of science, STS (Science, technology and society), etc.
		Design-related
	90010	Information design, Environmental design, Industrial design, Spatial design, Design history, Theory of design, Design standard, Design support, Evaluation of design, Design education, etc.
Mediur	m-sized Sect	tion 2: Literature, linguistics, and related fields
	Basic Section	Examples of related research content
		Japanese literature-related
	02010	Japanese literature in general, Ancient literature, Medieval literature, Chinese classics in Japan, Bibliography, Philology, Premodern literature, Modern literature, Contemporary literature, Literary theory, etc.
		Chinese literature-related
	02020	Chinese literature, Bibliography, Philology, Literary theory, etc.
		English literature and literature in the English language-related
	02030	English literature, American literature, Literature in the English language, Literary theory, Bibliography, Philology, etc.

European literature-related

	Literature in general-related
02050	Literature in other languages and areas, Literary theory, Comparative literature, Bibliography, Philology,
02050	Literature education, etc.
	Linguistics-related
02060	Phonetics/phonology, Semantics/pragmatics, Morphosyntax, Sociolinguistics, Contrastive linguistics,
02000	Psycholinguistics, Neurolinguistics, Historical linguistics, Corpus linguistics,
	Endangered and minority languages, etc.
	Japanese linguistics-related
02070	Phonetics/phonology, Writing systems, Lexicon and semantics, Grammar, Stylistics, Pragmatics, Language life,
	Dialect, History of the Japanese language, History of Japanese linguistics, etc.
	English linguistics-related
02080	Phonetics/phonology, Lexicon and semantics, Grammar, Stylistics, Pragmatics, Sociolinguistics,
02080	Diversity of the English language, Corpus linguistics, History of the English language,
	History of English linguistics, etc.
	Japanese language education-related
	Research on learners, Language acquisition, Teaching material, Curriculum evaluation,
02090	Japanese language education for specific purposes, Bilingual education, Research on teachers,
	Japanese language for Japanese language education, History of Japanese language education, Cross-cultural understanding, etc.
	Cross-curtural understanding, etc.
	Foreign language education-related
	Learning method, Computer-assisted language learning (CALL), Teaching material, Language testing,
02100	Theory of second language acquisition, Early English education, History of foreign language education and language policies, Curriculum evaluation,
	Training foreign language teachers, Cross-cultural understanding, etc.
	Training foreign language teachers, cross cultural anderstanding, etc.
	Library and information science, humanistic and social informatics-related
90020	Library science, Information services, Information organizing, Information retrieval, Information media,
ium-sized Sect	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, e
ium-sized Sect	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, e tion 3:History, archaeology, museology, and related fields Examples of related research content
ium-sized Sect	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, e tion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related
ium-sized Sect	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium,
ium-sized Sect Basic Section	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related
ium-sized Sect Basic Section	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, e ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium,
ium-sized Sect Basic Section	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan,
ium-sized Sect Basic Section	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of local Japan, History of Japanese culture,
ium-sized Sect Basic Section 03010	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of local Japan, History of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city,
ium-sized Sect Basic Section 03010	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of local Japan, History of Japanese culture,
ium-sized Sect Basic Section 03010	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of Japanese culture, History of cultural and diplomatic exchange, Comparative history of Japanese culture, History of cultural and diplomatic exchange, Comparative history of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.
ium-sized Sect Basic Section 03010 03020	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of Japanese culture, History of cultural and diplomatic exchange, Comparative history of Japanese culture, History of cultural and diplomatic exchange, Comparative history of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history,
ium-sized Sect Basic Section 03010	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3: History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of Indieval Japan, History of early modern Japan, History of modern Japan, History of Japanese culture, History of cultural and diplomatic exchange, Comparative historical materials, etc. History of cultural and diplomatic exchange, Comparative history of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history,
ium-sized Sect Basic Section 03010 03020	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of Japanese culture, History of cultural and diplomatic exchange, Comparative history of Japanese culture, History of cultural and diplomatic exchange, Comparative history of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history,
ium-sized Sect Basic Section 03010 03020	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, e ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of Iocal Japan, History of Japanese culture, History of early modern Japan, History of modern Japan, History of Japanese city, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of cultural and diplomatic exchange, Comparative history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.
ium-sized Sect Basic Section 03010 03020 03030	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of Japanese religion, History of modern Japan, History of local Japan, History of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history Research in historical materials, etc. History of cultural and diplomatic exchange, Comparative history, Research in history, African history, Southeast Asian history, Oceanian history, South Asian history, Research in historical materials, etc. History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.
ium-sized Sect Basic Section 03010 03020	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of local Japan, History of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history Research in historical materials, etc. History of Europe and America-related Ancient European history, Medieval European history, Modern and contemporary West European history, Modern and contemporary East European history, North and South American history,
ium-sized Sect Basic Section 03010 03020 03030	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history related Japanese history in general, History of ancient Japan, History of medieval Japan, History of Japanese religion, History of modern Japan, History of local Japan, History of Japanese culture, History of Japanese religion, History of Japanese environment, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history Research in historical materials, etc. History of cultural and diplomatic exchange, Comparative history, Research in history, African history, Southeast Asian history, Oceanian history, South Asian history, Research in historical materials, etc. History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.
ium-sized Sect Basic Section 03010 03020 03030	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of local Japan, History of Japanese culture, History of cultural and diplomatic exchange, Comparative history, determined and diplomatic exchange, Comparative history of Japanese culture, History of early modern Japan, History of modern Japan, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Saia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Europe and America-related Ancient European history, Medieval European history, Modern and contemporary West European history, Modern and contemporary East European history, North and South American history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. Archaeology-related
ium-sized Sect Basic Section 03010 03020 03020 03030 03040	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, et ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of local Japan, History of Japanese culture, History of staia and Africa-related History of Saia and Africa-related History of Asia and Africa-related History of pre-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, Research in historical materials, etc. History of Europe and America-related Ancient European history, Medieval European history, Modern and contemporary West European history, Modern and contemporary East European history, North and South American history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.
ium-sized Sect Basic Section 03010 03020 03030	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, e ion 3 : History, archaeology, museology, and related fields Examples of related research content Historical studies in general-related Historical theory, Historical methodology, Research in historical materials, Memory and medium, World history, History of cultural and diplomatic exchange, Comparative history, etc. Japanese history-related Japanese history in general, History of ancient Japan, History of medieval Japan, History of early modern Japan, History of modern Japan, History of Japanese culture, History of cultural and diplomatic exchange, Comparative history of Japanese culture, History of early modern Japan, History of modern Japan, History of Japanese city, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Saia and Africa-related History of re-modern China, History of modern China, East Asian history, Central Eurasian history, Southeast Asian history, Oceanian history, South Asian history, West Asian history, African history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc. History of Europe and America-related Ancient European history, Medieval European history, Modern and contemporary West European history, Modern and contemporary East European history, North and South American history, History of cultural and diplomatic exchange, Comparative history, Research in historical materials, etc.

(Broad Section A)

132

(Broad Section A)

	Cultural assets study-related
03060	Dating methods, Material analysis, Production techniques, Conservation science, Archaeological prospection,
	Plant and animal residues, Human remains, Cultural heritage, Cultural resources, Cultural property policy, etc.
	Museology-related
03070	Exhibition studies, Museum pedagogy, Museum informatics, Museum business management,
	Public finance and administration of museums, Museum material resources, History of museology, etc.
sized Sect	tion 4: Geography, cultural anthropology, folklore, and related fields
Basic	Examples of related research content
Section	-
	Geography-related
04010	Geography in general, Land use, Landscape, Environmental system, Geomorphology, Climatology, Hydrology, Cartography, Geographic information system, Regional planning, etc.
	Human geography-related
04020	Human geography in general, Economic geography, Social geography, Political geography, Cultural geography, Urban geography, Rural geography, Historical geography, Regional geography, Geography education, etc.
	Cultural anthropology and folklore-related
04030	Cultural anthropology in general, Folklore in general, Material culture, Ecology, Social relationship, Religion, Arts, Health care, Border crossing, Minority, etc.
	Area studies-related
80010	Area studies in general, Cross-regional comparative studies, Aid, International cooperation,
	Interregional exchange, Environment, Transnationalism, Globalization, Social development, etc.
	Tourism studies-related
80020	Tourism studies in general, Tourism, Tourism resources, Tourism policy, Tourism industry,
	Regional development, Tourists, Pilgrimage, etc.
	Gender studies-related
80030	Gender studies-related Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc.
	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,
	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc. tion 5 :Law and related fields
sized Sect	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc.
sized Sect Basic	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc. tion 5 : Law and related fields Examples of related research content Legal theory and history-related
sized Sect Basic	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,
sized Sect Basic Section	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc. tion 5 : Law and related fields Examples of related research content Legal theory and history-related
sized Sect Basic Section	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,
sized Sect Basic Section	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,         Law and policy, Law and economics, Judicial system, etc.
sized Sect Basic Section 05010	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,         Law and policy, Law and economics, Judicial system, etc.         Public law-related
sized Sect Basic Section 05010 05020	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,         Law and policy, Law and economics, Judicial system, etc.         Public law-related         Constitutional law, Administrative law, Tax law, etc.         International law-related
sized Sect Basic Section 05010	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,         Law and policy, Law and economics, Judicial system, etc.         Public law-related         Constitutional law, Administrative law, Tax law, etc.
sized Sect Basic Section 05010 05020	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,         Law and policy, Law and economics, Judicial system, etc.         Public law-related         Constitutional law, Administrative law, Tax law, etc.         International law-related         Public international law, Private international law, International human rights law, International economic law,         EU law, etc.         Social law-related
sized Sect Basic Section 05010 05020	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,         Law and policy, Law and economics, Judicial system, etc.         Public law-related         Constitutional law, Administrative law, Tax law, etc.         International law-related         Public international law, Private international law, International human rights law, International economic law,         EU law, etc.
sized Sect Basic Section 05010 05020 05030	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law, Law and policy, Law and economics, Judicial system, etc.         Public law-related         Constitutional law, Administrative law, Tax law, etc.         International law-related         Public international law, Private international law, International human rights law, International economic law, EU law, etc.         Social law-related         Labor law, Economic law, Social security law, Education law, etc.         Criminal law-related
sized Sect Basic Section 05010 05020 05030	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law,         Law and policy, Law and economics, Judicial system, etc.         Public law-related         Constitutional law, Administrative law, Tax law, etc.         International law-related         Public international law, Private international law, International human rights law, International economic law,         EU law, etc.         Social law-related         Labor law, Economic law, Social security law, Education law, etc.
sized Sect Basic Section 05010 05020 05030 05040	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,         Reproductive technology, Gender equality, etc.         tion 5 : Law and related fields         Examples of related research content         Legal theory and history-related         Legal philosophy, Roman law, Legal history, Sociology of law, Comparative law, Foreign law, Law and policy, Law and economics, Judicial system, etc.         Public law-related         Constitutional law, Administrative law, Tax law, etc.         International law-related         Public international law, Private international law, International human rights law, International economic law, EU law, etc.         Social law-related         Labor law, Economic law, Social security law, Education law, etc.         Criminal law-related

Γ		New fields of law-related
	05070	Environmental law, Medical law, Information law, Consumer law, Intellectual property law, Law and gender, Legal profession, etc.
ledium-	-sized Sect	tion 6: Political science and related fields
	Basic Section	Examples of related research content
		Politics-related
	06010	Political theory, History of political thought, Political history, Japanese political history, Japanese politics, Political process, Electoral studies, Political economy, Public administration, Local government, Comparative politics, Public policy, etc.
		International relations-related
	06020	Theory of international relations, Modern international relations, Diplomatic history, International history, Foreign policy, International security, International political economy, Global governance, International cooperation, etc.
		Area studies-related
	80010	Area studies in general, Cross-regional comparative studies, Aid, International cooperation, Interregional exchange, Environment, Transnationalism, Globalization, Social development, etc.
		Gender studies-related
	80030	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution, Reproductive technology, Gender equality, etc.
edium-	-sized Sect	tion 7 : Economics, business administration, and related fields
	Basic Section	Examples of related research content
		Economic theory-related
	07010	Microeconomics, Macroeconomics, Game theory, Behavioral economics, Experimental economics, Economic theory, Evolutionary economics, Economic institutions, Economic systems, etc.
		Economic doctrines and economic thought-related
	07020	Economic doctrines, Economic thought, Social thought, Economic philosophy, etc.
		Economic statistics-related
	07030	Statistical system, Statistical research, Population statistics, Income/wealth distribution, National accounts, Econometrics, Financial econometrics, etc.
		Economic policy-related
	07040	International economics, Industrial organization, Economic development, Urban economics, Regional economy, Environmental and resource economics, Japanese economy, Economic policy, Transportation economics, Development economics, International development, etc.
		Public economics and labor economics-related
	07050	Public finance, Public economics, Health economics, Labor economics, Social security, Education economics, Law and economics, Political economy, etc.
F		Money and finance-related
	07060	Monetary economics, Finance, International finance, Corporate finance, Financial engineering, Insurance, etc.
┢		Economic history-related
	07070	Economic history, Business history, Industrial history, etc.
┝		Business administration-related
	07080	Corporation theory, Organization theory, Organizational behavior, Corporate strategy, Business management, Human resource management, Management of technology, International business, Management information, Industrial management, Management in general, etc.

	Commerce-related
07090	Marketing, Consumer behavior, Distributive sciences, Logistics, Commerce in general, etc.
	Accounting-related
07100	Financial accounting, Management accounting, Auditing, Accounting in general, etc.
	Tourism studies-related
80020	Tourism studies in general, Tourism, Tourism resources, Tourism policy, Tourism industry, Regional development, Tourists, Pilgrimage, etc.
ium-sized Sec	tion 8 : Sociology and related fields
Basic Section	Examples of related research content
	Sociology-related
08010	Sociology in general, Community, Family, Labor, Sociology of welfare, Gender, Media, Ethnicity, Social movements, Social research, Sociology of medicine, Social demography, etc.

	Social welfare-related
08020	Social work, Social policy, Social welfare history, Child welfare, Social welfare for people with disabilities,
	Social welfare for aging, Community welfare, Poverty, Volunteerism, Social welfare in general, etc.
	Family and consumer sciences, and culture and living-related
00020	Culture and living, Home economics, Consumer affairs, Lifestyle, Culture of clothing, Culture of food,
08030	Culture of dwelling, Dress and fashion, Diet habits, Housing, Family and consumer sciences in general,
	Family and consumer education, etc.
	Tourism studies-related
80020	Tourism studies in general, Tourism, Tourism resources, Tourism policy, Tourism industry,
	Regional development, Tourists, Pilgrimage, etc.
	Gender studies-related
80030	Gender studies in general, Feminism, Sexuality, Queer studies, Labor, Violence, Prostitution,
	Reproductive technology, Gender equality, etc.

Medium-sized Section 9 : Education and related fields

Basic Section	Examples of related research content
	Education-related
09010	History of education, Philosophy of education, Curriculum and pedagogy, Evaluation of education, Teacher and trainer, School education, Social and community education, Vocational education and training, Lifelong learning, Institutions and administration, etc.
	Sociology of education-related
09020	Sociology of education, Socialization, Educational organization and system, Destination and career formation, Class disparities, Gender, Education policy, Comparative education, Globalization and development, etc.
	Childhood and nursery/pre-school education-related
09030	Childhood, Nursery/pre-school education, Right of child, Development, Contents and methods of child care, Childcare facilities and kindergarten, Caregiver and pre-school teacher, Child care support, Childhood culture, History and thought, etc.
	Education on school subjects and primary/secondary education-related
09040	Education of individual subjects, Education excluding subjects, Student guidance and counselling, Career education, School management, Teacher education, ESD, Environmental education, Literacy, etc.
	Tertiary education-related
09050	Policy, Admission and articulation, Curriculum, Career guidance, Teacher and staff, Scientific research, Regional link and contribution, Globalization, Management and governance, Non-university higher education, etc.

(Broad Section A)

		Special needs education-related
	09060	Philosophy and history, Inclusion and cohesive society, Instructions and supports, Developmental disabilities, Emotional disturbance, Intellectual disabilities, Language disorders, Physical disabilities, Career education, etc.
		Educational technology-related
	09070	Curriculum development, Teaching-learning support systems, Utilization of media, Utilization of ICT, Teacher's education, Information literacy, etc.
		Science education-related
	09080	Science education, Science communication, Scientific literacy, Science and society, etc.
		Japanese language education-related
		Research on learners, Language acquisition, Teaching material, Curriculum evaluation,
	02090	Japanese language education for specific purposes, Bilingual education, Research on teachers, Japanese language for Japanese language education, History of Japanese language education,
		Cross-cultural understanding, etc.
		Foreign language education-related
		Learning method, Computer-assisted language learning (CALL), Teaching material, Language testing,
	02100	Theory of second language acquisition, Early English education,
		History of foreign language education and language policies, Curriculum evaluation, Training foreign language teachers, Cross-cultural understanding, etc.
Mediu	m-sized Sec	tion 10 : Psychology and related fields
	Basic Section	Examples of related research content
	Section	Social psychology-related
	10010	Social psychology in general, Self, Group, Attitude and behavior, Affection/emotion,
	10010	Interpersonal relation, Social issues, Culture, etc.
		Educational psychology-related
	10020	Educational psychology in general, Development, Family, School, Clinical practice, Personality, Learning, Assessment and evaluation, etc.
		Clinical psychology-related
	10030	Clinical psychology in general, Psychological disorder, Assessment, Psychological intervention, Training, Mental health, Crime and delinquency, Community, etc.
		Experimental psychology-related
	10040	Experimental psychology in general, Sensation, Perception, Attention, Memory, Language, Emotion, Learning, etc.
		Cognitive science-related
	90030	Cognitive science in general, Cognitive models, Kansei, Human factors, Cognitive and brain science, Comparative cognition, Cognitive linguistics, Cognitive engineering, etc.
	L	
Section	ı B	
l Section Mediu		tion 11: Algebra, geometry, and related fields

	Basic Section	Examples of related research content
F	11010	Algebra-related
_		Group theory, Ring theory, Representation theory, Algebraic combinatorics, Number theory, Arithmetic geometry, Algebraic geometry, Algebraic analysis, etc.
		Geometry-related
		Differential geometry, Riemannian geometry, Symplectic geometry, Complex geometry, Topology, Differential topology, Low dimensional topology, etc.

(Broad Section B)

Basic Section	Examples of related research content
	Basic analysis-related
12010	Functional analysis, Complex analysis, Probability theory, Harmonic analysis, Operator theory, Spectral analysis, Operator algebras, Algebraic analysis, Representation theory, etc.
	Mathematical analysis-related
12020	Functional equations, Real analysis, Dynamical system, Variational method, Nonlinear analysis, Applied analysis, etc.
	Basic mathematics-related
12030	Mathematical logic and foundations, Information theory, Discrete mathematics, Computer mathematics, etc
	Applied mathematics and statistics-related
12040	Numerical analysis, Mathematical modelling, Optimal control, Game theory, Statistical mathematics, etc.

Medium-sized Section 13: Condensed matter physics and related fields

Basic Section	Examples of related research content
	Mathematical physics and fundamental theory of condensed matter physics-related
13010	Statistical physics, Fundamental theory of condensed matter physics, Mathematical physics, Nonequilibrium nonlinear physics, Fluid dynamics, Computational physics, Quantum information theory, etc.
	Semiconductors, optical properties of condensed matter and atomic physics-related
13020	Semiconductors, Dielectrics, Atoms and molecules, Mesoscopic systems, Crystals, Surfaces and interfaces, Optical properties of condensed matter, Quantum electronics, Quantum information, etc.
	Magnetism, superconductivity and strongly correlated systems-related
13030	Magnetism, Strongly correlated electron systems, Superconductivity, Quantum fluids and solids, Molecular solids, etc.
	Biophysics, chemical physics and soft matter physics-related
13040	Physics of biological phenomena, Physics of biological matters, Liquids and glasses, Soft matters, Rheology, etc.

Medium-sized Section 14: Plasma science and related fields

Fundamental plasma-related
Basic plasmas, Magnetized plasmas, Laser plasmas, Strongly coupled plasmas, Plasma diagnostics, Astrophysical and space plasmas, etc.
Nuclear fusion-related
Plasma confinement, Plasma control, Plasma heating, Plasma diagnostics, Edge plasma, Plasma wall interaction, Inertial fusion, Fusion material, Fusion system, etc.
Applied plasma science-related
Plasma processing, Plasma photonics, Plasma material science, General plasma applications, etc.
Quantum beam science-related
Accelerators, Beam physics, Radiation detectors, Beam control, Applied quantum beam science, etc.
P F F

	Basic	Examples of related research content
-	Section	Quantum beam science-related
	80040	Accelerators, Beam physics, Radiation detectors, Beam control, Applied quantum beam science, etc.
-		Theoretical studies related to particle-, nuclear-, cosmic ray and astro-physics
	15010	Particle physics, Nuclear physics, Cosmic-ray physics, Astrophysics, Relativity, Gravity, etc.
		Experimental studies related to particle-, nuclear-, cosmic ray and astro-physics
	15020	Particle physics, Nuclear physics, Cosmic-ray physics, Astrophysics, Relativity, Gravity, etc.
Medium	-sized Sect	ion 16: Astronomy and related fields
	Basic Section	Examples of related research content
	1/010	Astronomy-related Optical/infrared astronomy, Radio astronomy, Solar physics, Astrometry, Theoretical astronomy,
	16010	$X$ -ray/ $\gamma$ -ray astronomy, etc.
Medium	-sized Sect	ion 17: Earth and planetary science and related fields
	Basic Section	Examples of related research content
F		Space and planetary sciences-related
	17010	Solar-terrestrial physics, Aeronomy, Planetary science, Exoplanetary science, Extraterrestrial material science, etc.
F		Atmospheric and hydrospheric sciences-related
	17020	Climate system, Atmospheric science, Ocean science, Limnology, Glaciology, Paleoclimatology, etc.
F		Human geosciences-related
	17030	Geoenvironmental science, Natural disaster science, Geospatial information science, Quaternary research, Earth resources science, etc.
F		Solid earth sciences-related
	17040	Solid earth geophysics, Geology, Earth's interior material science, Solid earth geochemistry, etc.
╞		Biogeosciences-related
	17050	Origin and evolution of life, Extremophile biology, Biogeochemistry, Paleoenvironmental science, Paleontology, etc.
ection (	2	1
1edium	-sized Sect	ion 18: Mechanics of materials, production engineering, design engineering, and related fields
	Basic Section	Examples of related research content
ſ		Mechanics of materials and materials-related
	18010	Structural mechanics, Fatigue, Fracture, Biomaterials, Material design, Material characteristics, Material evaluation, etc.
F		Manufacturing and production engineering-related
	18020	Machine tools, Machining, Non-traditional machining, Ultraprecision machining, Additive manufacturing, Precision metrology, Manufacturing systems, Computer-aided technology, Process planning, etc.

		Design engineering-related
	18030	Product design, Service design, Design for reliability, Maintainability design, Lifecycle engineering, Reverse engineering, Safety design, Design engineering, etc.
		Machine elements and tribology-related
	18040	Machine elements, Mechanisms, Tribology, Actuators, Micromachines, etc.
Mediu	m-sized Sect	ion 19: Fluid engineering, thermal engineering, and related fields
	Basic Section	Examples of related research content
	19010	Fluid engineering-related Fluid machinery, Flow measurement, Computational fluid dynamics, Turbulence, Multiphase flow, Compressible flow, Incompressible flow, etc.
		Thermal engineering-related
	19020	Heat transfer, Convection, Combustion, Thermophysical properties, Refrigeration and air-conditioning, Heat engine, Energy conversion, etc.
Mediu	m-sized Sect	tion 20: Mechanical dynamics, robotics, and related fields
	Basic Section	Examples of related research content
		Mechanics and mechatronics-related
	20010	Kinematics, Kinetics, Vibration, Acoustics, Automation, Learning control, Mechatronics, Micro/nano mechatronics, Biomechanics, etc.
		Robotics and intelligent system-related
	20020	Robotics, Intelligent system, Human mechanical system, Human interface, Planning,
1		Intelligent spatial system, Virtual reality, Augmented reality, etc.
Mediu	m-sized Sect	Intelligent spatial system, Virtual reality, Augmented reality, etc.
Mediu	m-sized Sect Basic Section	
Mediu	Basic	tion 21 : Electrical and electronic engineering and related fields
Mediu	Basic	tion 21 : Electrical and electronic engineering and related fields Examples of related research content
Mediu	Basic Section 21010	ion 21 : Electrical and electronic engineering and related fields Examples of related research content Power engineering-related Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc. Communication and network engineering-related
Mediu	Basic Section	ion 21 : Electrical and electronic engineering and related fields Examples of related research content Power engineering-related Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc.
Mediu	Basic Section 21010	ion 21 : Electrical and electronic engineering and related fields Examples of related research content Power engineering-related Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc. Communication and network engineering-related Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems,
Mediu	Basic Section 21010	ion 21 : Electrical and electronic engineering and related fields Examples of related research content Power engineering-related Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc. Communication and network engineering-related Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems, Modulation/demodulation, Antennas, Networks, Multimedia, Cryptography/security, etc.
Mediu	Basic Section 21010 21020	ion 21 : Electrical and electronic engineering and related fields Examples of related research content Power engineering-related Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc. Communication and network engineering-related Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems, Modulation/demodulation, Antennas, Networks, Multimedia, Cryptography/security, etc. Measurement engineering-related Measurement theory, Measuring instruments, Applied wave metrology, Measurement systems,
Mediu	Basic Section 21010 21020	ion 21 : Electrical and electronic engineering and related fields Examples of related research content Power engineering-related Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc. Communication and network engineering-related Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems, Modulation/demodulation, Antennas, Networks, Multimedia, Cryptography/security, etc. Measurement engineering-related Measurement theory, Measuring instruments, Applied wave metrology, Measurement systems, Signal processing, Sensing devices, etc.
Mediu	Basic Section           21010           21020           21030	ion 21: Electrical and electronic engineering and related fields Examples of related research content Power engineering-related Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc. Communication and network engineering-related Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems, Modulation/demodulation, Antennas, Networks, Multimedia, Cryptography/security, etc. Measurement engineering-related Measurement theory, Measuring instruments, Applied wave metrology, Measurement systems, Signal processing, Sensing devices, etc. Control and system engineering-related Control theory, System theory, Control systems, Knowledge-based control systems,
Mediu	Basic Section           21010           21020           21030	tion 21: Electrical and electronic engineering and related fields Examples of related research content Power engineering-related Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc. Communication and network engineering-related Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems, Modulation/demodulation, Antennas, Networks, Multimedia, Cryptography/security, etc. Measurement engineering-related Measurement theory, Measuring instruments, Applied wave metrology, Measurement systems, Signal processing, Sensing devices, etc. Control and system engineering-related Control theory, System theory, Control systems, Knowledge-based control systems, System information processing, System control applications, Biosystems engineering, etc.
Mediu	Basic Section           21010           21020           21030           21040	tion 21: Electrical and electronic engineering and related fields Examples of related research content Power engineering-related Electrical energy-related, Energy conservation, Power system engineering, Electric machinery, Power electronics, Effective utilization of electric energy, Electromagnetic compatibility, etc. Communication and network engineering-related Information theory, Nonlinear theory, Signal processing, Wired/wireless communication systems, Modulation/demodulation, Antennas, Networks, Multimedia, Cryptography/security, etc. Measurement engineering-related Measurement theory, Measuring instruments, Applied wave metrology, Measurement systems, Signal processing, Sensing devices, etc. Control and system engineering-related Control theory, System theory, Control systems, Knowledge-based control systems, System information processing, System control applications, Biosystems engineering, etc. Electric and electronic materials-related Semiconductor, Dielectric materials, Magnetic materials, Organic materials, Superconductor,

(Broad Section C)

Basic Section	Examples of related research content
	Civil engineering material, execution and construction management-related
22010	Concrete, Steel, Composite material, Wood, Pavement material, Repair and reinforce material, Execution, Maintenance, Construction management, Underground space, etc.
	Structure engineering and earthquake engineering-related
22020	Applied mechanics, Structure engineering, Steel structure, Concrete structure, Composite structure, Wind engineering, Earthquake engineering, Aseismatic structure, Earthquake prevention, etc.
	Geotechnical engineering-related
22030	Soil mechanics, Foundation engineering, Rock engineering, Engineering Geology, Ground behavior, Soil structure, Geo-disaster prevention, Geoenvironmental engineering, Tunnel engineering, Soil environment, etc.
	Hydroengineering-related
22040	Hydraulics, Environmental hydraulics, Hydrology, River engineering, Water resource engineering, Coastal engineering, Port and harbor engineering, Ocean engineering, etc.
	Civil engineering plan and transportation engineering-related
22050	Civil engineering plan, Regional urban planning, Spatial planning, Disaster prevention plan, Transportation plan, Transportation engineering, Railway engineering, Surveying and remote sensing, Landscape design, Civil engineering history, etc.
	Environmental systems for civil engineering-related
22060	Environment plan, Environmental system, Environment conservation, Water serve and drainage systems, Waste, Water environment, Atmospheric circulation, Noise and vibration, Environment ecology, Environmental monitoring, etc.
sized Sect	tion 23: Architecture, building engineering, and related fields
Basic Section	Examples of related research content
	Building structures and materials-related
23010	Load theory, Structural analysis, Structural design, Structures, Earthquake resistant design, Foundation, Geotechnics, Structural material, Maintenance, Building construction method, etc.
	Architectural environment and building equipment-related
23020	Sound environment, Vibration environment, Light environment, Heat environment, Air environment, Environmental psychology/physiology, Building equipment, Fire engineering, Urban environment, Environment design, etc.
	Architectural planning and city planning-related
23030	Planning theory, Design theory, Housing theory, Buildings, Urban/regional planning, Administration, Building economics, Production management, Disaster prevention planning, Landscape, etc.
	Architectural history and design-related
23040	Architectural history, Urban history, Architectural theory, Design, Landscape, Preservation, Renovation, etc
	Design-related
90010	Information design, Environmental design, Industrial design, Spatial design, Design history,
	Theory of design, Design standard, Design support, Evaluation of design, Design education, etc.
	ion 24: Aerospace engineering, marine and maritime engineering, and related fields
Basic Section	Examples of related research content
	Aerospace engineering-related
	Thermo-fluid dynamics, Structural strength, Propulsion, Aerospace craft design, Production engineering,

C)			Marine engineering-related
Section		24020	Navigation, Structural mechanics, Structural design, Production technology, Marine propulsion,
Sec		24020	Marine transport, Marine development engineering, Underwater engineering, Polar engineering,
ad 5			Marine environmental technology, etc.
(Broad	Mediur	n-sized Sect	ion 25: Social systems engineering, safety engineering, disaster prevention engineering, and related fields
		Basic	Examples of related research content
		Section	
			Social systems engineering-related
		25010	Social systems, Industrial engineering, Operations research, Industrial management, Reliability engineering,
			Policy science, Regulatory science, Quality control, etc.
			Safety engineering-related
		25020	Safety engineering, Safety system, Risk engineering, Risk management, Work safety, Product safety,
			Safety information, Human engineering, Liability engineering, etc.
			Disaster prevention engineering-related
		25020	Disaster prediction, Hazard map, Building prevention against disaster, Lifeline prevention against disaster,
		25030	Regional disaster prevention planning, Risk evaluation of disaster, Disaster prevention policy,
			Disaster resilience, etc.

Broad Section D

Medium-sized Section 26: Materials engineering and related fields

Basic Section	Examples of related research content
	Metallic material properties-related
26010	Electric and magnetic properties, Electronic information properties, Metastable states, Diffusion, Phase transformation, Phase diagram, Crystal lattice defects, Mechanical properties, Thermal and optical properties, Materials computational science, etc.
	Inorganic materials and properties-related
26020	Functional ceramics, Functional glasses, Structural ceramics, Carbon-based materials, Crystal structure analysis, Microstructure control, Electric properties, Mechanical properties, Physical and chemical properties, Grain boundary, etc.
	Composite materials and interfaces-related
26030	Functional composite materials, Structural composite materials, Biocompatible composite materials, Polymer composite, Surface treatment, Dispersion control, Joining and welding, Adhesive bonding, Interface properties, Gradient function, etc.
	Structural materials and functional materials-related
26040	Social infrastructure materials, Toughness, Medical welfare materials, Functional polymer materials, Reliability, Photo-functional materials, Sensor materials, Energy materials, Battery functional materials, Environment functional materials, etc.
	Material processing and microstructure control-related
26050	Processing and molding, Thermal treatment, Crystal microstructure control, Laser processing, Precision processing, Polishing, Powder metallurgy, Coatings, Metal plating, Corrosion and protection, etc.
	Metals production and resources production-related
26060	Separation and purification, Melting and solidifying, Crystal growth, Casting, Resource security reservation, Scarce resources substitution, Low environment impact, Recycle, Ecomaterials, Energy saving, etc.

Basic Section	Examples of related research content
	Transport phenomena and unit operations-related
2/010	Phase equilibrium, Transport properties, Momentum/heat/mass transfer, Fluid-phase unit operation, Adsorption, Membrane separation, Mixing, Powder technology, Crystallization, Film formation, etc.

	h	
		Chemical reaction and process system engineering-related
	27020	Reaction operation, Novel reaction process, Reaction mechanism, Reactor design, Materials synthesis process, Micro-chemical process, Process control, Process system design, Process informatics, etc.
		Catalyst and resource chemical process-related
	27030	Catalysis, Catalyst preparation, Catalytic function, Energy conversion process, Energy development, Energy-saving technology, Resources effective utilization technology, etc.
		Biofunction and bioprocess engineering-related
	27040	Biocatalyst engineering, Biofunction engineering, Food engineering, Medicochemical engineering, Bioproduction process, Nano-bioprocess, Bioreactor, Bioseparation, Biosensor, Biorefinery, etc.
/lediur	n-sized Sec	tion 28: Nano/micro science and related fields
	Basic Section	Examples of related research content
		Nanometer-scale chemistry-related
	28010	Nanostructure creation, Clusters, Nanoparticles, Mesoscopic chemistry, Superstructures, Nanometer-scale surfaces and interfaces, Self-assembly, Nanocarbons, Molecular devices, Nanometer-scale optical devices, etc.
		Nanostructural physics-related
	28020	Physics in nanoscale materials and structures, Nanoprobes, Quantum effects, Quantum dots, Quantum devices, Electron devices, Spin devices, Nanotribology, Nanocarbon physics, etc.
		Nanomaterials-related
	28030	Creation of nanomaterials, Analysis of nanomaterials, Nanosurfaces, Nanointerfaces, Functional nanomaterials, Nanostructures, Nanoparticles, Carbon nanomaterials, Nanocrystalline materials, Nanocomposites, Nanodefects, Nanofabrication process, etc.
		Nanobioscience-related
	28040	Biomolecular devices, Molecular manipulation, Molecular imaging, Nanomeasurements, Nanosynthesis, Single molecule science, Nano-bio interfaces, Biomolecular array, Genome engineering, etc.
		Nano/micro-systems-related
	20050	MEMS, NEMS, BioMEMS, Nano/micro-fabrication, Nano/micro-optical devices,
	28050	Nano/micro-chemical systems, Nano/micro-biosystems, Nano/micro-organism systems, Nano/micro-mechanics, Nano/micro-sensors, etc.
/lediur	n-sized Sec	tion 29: Applied condensed matter physics and related fields
	Basic Section	Examples of related research content
		Applied physical properties-related
	29010	Magnetic materials, Superconductors, Dielectrics, Fine particles, Organic molecules, Liquid crystals, New functional materials, Organic molecules and bioelectronics, Spintronics, etc.
		Thin film/surface and interfacial physical properties-related
	29020	Thin-film engineering, Thin-film electronics, Oxide electronics, Vacuum, Surface science, Analysis, Measurement, Nanoscopic technology, Surface and interfacial engineering, Advanced equipment, etc.
		Applied condensed matter physics-related

Medium-sized Section 30: Applied physics and engineering and related fields

Energy conversion, etc.

Basic Section	Examples of related research content
	Crystal engineering-related
50010	Metals, Semiconductors, Ceramics, Amorphous materials, Crystal growth, Artificial structures, Crystal characterization, Plasma materials engineering, Plasma processing, Plasma engineering, etc.

(Broad Section D)

N

29030

Elementary quantities, Standards, Units, Physical quantity measurements and detection,

	30020	Optical engineering and photon science-related Optical materials, Optical elements, Optical properties, Optical information processing, Laser, Optical sensing, Optical recording, Opto-electronics, Nonlinear optics, Vision optics, etc.
Mediur	m-sized Sect	ion 31: Nuclear engineering, earth resources engineering, energy engineering, and related fields
	Basic Section	Examples of related research content
	31010	Nuclear engineering-related Reactor physics and safety design, Thermal-hydraulics and structure, Fuel material, Nuclear chemistry, Nuclear life cycle, Radiation safety, Radiation beam engineering, Plasma engineering for fusion reactor, Equipment and material engineering for fusion reactor, Nuclear social environment, etc.
	31020	Earth resource engineering, Energy sciences-related Earth resource sciences, Resource prospecting, Resource development, Resource cycle, Resource economy, Energy system, Environmental load evaluation, Renewable energy, Natural resource and energy technological policy, etc.
Mediur	i	tion 90: Biomedical engineering and related fields
	Basic Section	Examples of related research content
	90110	Biomedical engineering-related Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.
	90120	Biomaterials-related Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials, Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.
	90130	Medical systems-related Medical ultrasound system, Diagnostic imaging system, Laboratory diagnosis systems, Minimally invasive treatment systems, Remote diagnosis and treatment systems, Organ preservation systems, Medical information systems, Computer-assisted surgery, Medical robot, etc.
	90140	Medical technology assessment-related Regulatory science, Safety evaluation, Clinical study, Medical technology ethics, Medical devices, etc.
	90150	Medical assistive technology-related         Healthcare and rehabilitation engineering, Life assist technology, Care support technology,         Accessibility design, Universal design, Rehabilitation and nursing robot,         Assist device for artificial internal organ, Rehabilitation devices, Nursing science and engineering, etc.
Section		
Mediur	m-sized Sect Basic Section	tion 32: Physical chemistry, functional solid state chemistry, and related fields Examples of related research content
	Section	Fundamental physical chemistry-related
	32010	Theoretical chemistry, Molecular spectroscopy, Structural chemistry, Electronic state dynamics, Chemical reaction dynamics, Surface/interface, Cluster and nano materials, Bio-related physical chemistry,

	Chemical reaction dynamics, Surface/interface, Cluster and nano materials, Bio-related physical chemistry, Liquid structure dynamics, Solid state properties, Molecular properties, etc.
	Functional solid state chemistry-related
52020	Optical properties, Electron spin, Molecular electronics and devices, Supermolecules, Liquid crystals, Crystals, Surface/interface, Nano particles, Colloids, Electrochemistry, Electronic properties, etc.

(Broad Section E)

Basic Section	Examples of related research content
	Structural organic chemistry and physical organic chemistry-related
33010	Organic crystals, Molecular recognition, Supermolecules, Organic functional materials, Extended p-electron system compounds, Heterocyclic chemistry, Organoelement chemistry, Organic reaction mechanism, Organic photochemistry, Theoretical organic chemistry, etc.
	Synthetic organic chemistry-related
33020	Selective reactions, Asymmetric synthesis, Organometallic complex/catalysis, Catalyst design, Organocatalysts, Biocatalysis, Sustainable organic synthesis, Natural product synthesis, Process chemistry, Organic electrochemistry, etc.

Medium-sized Section 34: Inorganic/coordination chemistry, analytical chemistry, and related fields

Basic Section	Examples of related research content	
	Inorganic/coordination chemistry-related	
34010	Coordination chemistry, Organometallic chemistry, Inorganic solid-state chemistry, Bioinorganic chemistry, Solution chemistry, Clusters, Supramolecular complexes, Coordination polymers, Typical elements, Physical properties and functions, etc.	
	Analytical chemistry-related	
34020	Spectrometric analysis, Advanced measurements, Surface/interface analysis, Separation analysis, Analytical reagents, Radiochemical analysis, Electrochemical analysis, Bioanalysis, New analysis methods, etc.	
	Green sustainable chemistry and environmental chemistry-related	
34030	Green process, Green catalysts, Recycle, Environmental assessment, Environmentally conscious materials, Reduction of environmental load, Environmental restoration, Resource saving, Geochemistry, Environmental radioactivity, etc.	

Medium-sized Section 35: Polymers, organic materials, and related fields

Basic Section	Examples of related research content	
	Polymer chemistry-related	
25010	Polymer synthesis, Polymer reactions, Precision polymerization, Functional polymers,	
35010	Self-assembled polymers, Chiral polymers, Bio-related polymers, Polymer properties,	
	Polymer structures, Polymer thin film/surface, etc.	
	Polymer materials-related	
25020	Properties of polymer materials, Synthesis of polymer materials,	
35020	Functional polymer materials, Liquid crystal polymers, Textiles, Rubbers,	
	Gel, Biopolymers, Polymer composites, Polymer processing, etc.	
	Organic functional materials-related	
25020	Organic semiconductors, Liquid crystals, Optical materials, Device-related materials,	
35030	Electrically conductive materials, Hybrid materials, Molecular functional materials,	
	Organic hybrid materials, Materials for energy conversion, etc.	

Medium-sized Section 36: Inorganic materials chemistry, energy-related chemistry, and related fields

Basic Section	Examples of related research content
36010	Inorganic compounds and inorganic materials chemistry-related Crystals, Amorphous, Ceramics, Semiconductors, Inorganic device-related materials, Low-dimensional compounds, Porous materials, Nanoparticles, Multicomponent compounds, Hybrid materials, etc.
36020	Energy-related chemistry Energy resources, Energy conversion materials, Energy carriers, Solar energy utilization, Material separation, Catalytic transformation, Battery and electrochemical materials, Energy-saving materials, Renewable energy, Unused energy, etc.

Basic Section	Examples of related research content
	Bio-related chemistry
37010	Bioorganic chemistry, Bioinorganic chemistry, Biological reaction engineering, Biofunctional chemistry, Biofunctional materials, Biotechnology, etc.
	Chemistry and chemical methodology of biomolecules-related
37020	Natural product chemistry, Biologically active compounds, Molecular mechanism of biological activities, Biofunctional molecules, Combinatorial chemistry, Metabolomic analysis, etc.
	Chemical biology-related
37030	In vivo functional expression, Intracellular chemical reactions, Drug discovery science, Chemical library, Structure-activity relationship, Chemical probes, Biomolecular measurements, Molecular imaging, Proteomics, etc.

Broad Section F

Basic Section	Examples of related research content
	Plant nutrition and soil science-related
38010	Plant metabolism and physiology, Nutritional elements in plants, Soil classification, Soil physical chemistry, Soil organisms, etc.
	Applied microbiology-related
38020	Microbial genetics/breeding, Microbial function, Microbial metabolism and physiology, Microbial application Control of microbes, Microbial ecology, Production of useful materials, etc.
	Applied biochemistry-related
38030	Cellular biochemistry, Applied biochemistry, Structural biology, Regulation of bioactivity, Metabolism and physiology, Cellular function, Molecular function, Production of useful materials, etc.
	Bioorganic chemistry-related
38040	Bioactive substances, Signal molecules, Natural products chemistry, Biosynthesis, Structure-activity relationship, Synthetic organic chemistry, Chemical biology, etc.
	Food sciences-related
38050	Food function, Food chemistry, Nutritional chemistry, Food analysis, Food engineering, Food safety, Functional food, Nutritional epidemiology, Clinical nutrition, etc.
	Applied molecular and cellular biology-related
38060	Molecular cell biology, Cellular bioengineering, Molecular engineering, Gene expression control, Cell-cell/intermolecular interactions, Cellular function, Production of useful materials, etc.

Medium-sized Section 39: Agricultural and environmental biology and related fields

Basic Section	Examples of related research content
	Science in plant genetics and breeding-related
39010	Genetic resources, Breeding theories, Genomic breeding, Plants with novel traits, Quality components,
	Stress tolerance, Yielding ability, Reproduction and multiplication, Growth physiology, Development, etc.
	Crop production science-related
39020	Field crops, Crop yield, Crop product quality, Crop morphology, Growth prediction, Crop physiology,
	Field management, Low-cost cultivation techniques, Environmentally friendly agriculture, Field ecosystem, etc.
	Horticultural science-related
	Plant growth, flowering, and fruit development, Nursery plant propagation and production,
39030	Crop production systems, Cultivation techniques, Protected horticulture, Controlled environment systems,
	Breeding and development of new cultivars, Quality of horticultural products,
	Postharvest physiology and management, Socio-horticulture, etc.

[		Plant protection science-related
	39040	Plant pathology, Clinical plant science, Agricultural insect pest, Natural enemy, Weed, Agricultural chemicals, Integrated pest management, etc.
		Insect science-related
	39050	Sericulture insect technology, Insect genetics, Insect pathology, Insect physiology and biochemistry, Insect ecology, Chemical ecology, Systematics, Symbiosis and parasitism, Social insects, Medical entomology, etc.
		Conservation of biological resources-related
	39060	Conservation biology, Biodiversity conservation, Conservation of phylogenetic diversity, Conservation of genetic resources, Ecosystem conservation, Conservation of endemic species, Conservation of microorganisms, etc.
		Landscape science-related
	39070	Landscape architecture, Parks and open space planning, Landscape planning, Cultural landscape, Nature conservation, Landscape ecology, Parks and open space management, Parks, Environmental greening, Participatory community design, etc.
lediun	n-sized Sect	tion 40: Forestry and forest products science, applied aquatic science, and related fields
	Basic Section	Examples of related research content
		Forest science-related
	40010	Forest ecology, Forest biodiversity, Forest genetics and breeding, Silviculture, Forest protection, Forest environments, Erosion control, Forest planning, Forest policy, etc.
		Wood science-related
	40020	Wood structure, Wood property, Lignocellulose, Trace element, Fungus, Wood processing, Biomass-refinery, Wood based material, Wooden building, Forest products education, etc.
		Aquatic bioproduction science-related
	40030	Aquatic environment, Fisheries, Aquatic resource management, Aquatic organisms, Aquatic ecosystem, Aquaculture, Fisheries engineering, Fishing community/fisheries policy, Fisheries economics/management/marketing, Fisheries education, etc.
		Aquatic life science-related
	40040	Aquatic nutrition, Aquatic pathology, Aquatic genetics/heredity/breeding, Aquatic physiology, Utilization of aquatic organisms and biomass, Aquatic biological chemistry, Aquatic biotechnology, Aquatic food sciences, etc.
/ledium	n-sized Sect	tion 41: Agricultural economics and rural sociology, agricultural engineering, and related fields
	Basic Section	Examples of related research content
		Agricultural and food economics-related
	41010	Food economy, Agricultural production economy, Policy for agriculture, forestry and fishery, Food system, Food marketing, International agricultural development, Trade of agricultural commodities and livestock products, Rural resources and environment, etc.
		Rural sociology and agricultural structure-related
	41020	Farm organization, Farm management, Agricultural structure, Agricultural market, Agricultural history, Rural society Rural life, Agricultural cooperative, etc.
		Rural environmental engineering and planning-related
	41030	Irrigation and drainage, Reclamation and conservation of agricultural land, Rural planning, Rural environment, Circulation of resources and energy, Disaster prevention in rural area, Stock management of agricultural infrastructures, Hydrodynamics and hydrology, Soil physics, Design and construction materials, etc.
		Agricultural environmental engineering and agricultural information engineering-related
	41040	Agricultural production facilities, Bioproduction machinery, Environmental control, Agricultural meteorology and micrometeorology, Agricultural information, Greenhouse horticulture, Plant factory, Postharvest and supply chain, Nondestructive measurement, Remote sensing and geographic information system, etc.

(Broad Section F)

146

		Environmental agriculture-related
	41050	Biomass, Environmental manipulation, Biodiversity, Environmental analysis, Ecosystem services, Resources circulation system, Low-carbon societies, Life-cycle assessment, Environmental friendly agriculture, Watershed management, etc.
Medium	n-sized Sect	ion 42: Veterinary medical science, animal science, and related fields
	Basic Section	Examples of related research content
		Animal production science-related
	42010	Breeding/genetics, Reproduction, Nutrition/feeding, Anatomy/physiology, Product, Environment, Behavior, Therapy, Grassland, Grazing, etc.
		Veterinary medical science-related
	42020	Basic veterinary science, Pathological veterinary science, Applied veterinary science, Clinical veterinary science Animal nursing, Animal welfare, Wildlife, etc.
		Animal life science-related
	42030	Homeostasis, Cellular function, Biological defense, Integrated genetics, Development/differentiation, Biotechnology, etc.
		Laboratory animal science-related
	42040	Genetic engineering, Developmental engineering, Animal models of disease, Facility management,
		Laboratory animal welfare, Laboratory animal-related technology, Bioresource, etc.

### Broad Section

d	Section G	
	Medium-sized Sec	tion 43: Biology at molecular to cellular levels, and related fields
	Basic Section	Examples of related research content
		Molecular biology-related
	43010	Chromosome function, Chromatin, Epigenetics, Genome maintenance, Genome transmission, Chromosome re-organization, Gene expression, Non-coding RNA, Regulation of protein function, Molecular genetics, etc.
		Structural biochemistry-related
	43020	Proteins, Nucleic acids, Lipids, Carbohydrates, Biological membrane, Molecular recognition, Denaturation, Three-dimensional structural analysis, Three-dimensional structural prediction, Molecular dynamics, etc.
		Functional biochemistry-related
	43030	Enzymes, Sugar chain, Bioenergy conversion, Biological trace elements, Physiologically active substances, Cell signaling, Membrane transport, Proteolysis, Molecular recognition, etc.
		Biophysics-related
	43040	Structure biology, Physical property of biomolecules, Biomembrane, Photobiology, Molecular motor, Biometrics, Bioimaging, Systems biology, Synthetic biology, Theoretical biology, etc.
		Genome biology-related
	43050	Genome organization, Genome function, Genome diversity, Molecular evolution of genome, Genome repair/maintenance, Trans-omics, Epigenome, Gene resource, Genome dynamics, etc.
		System genome science-related
	43060	Network analyses, Synthetic biology, Biological databases, Bioinformatics, Genome analysis technology, Genome biotechnology, etc.
	Medium-sized Sec	tion 44: Biology at cellular to organismal levels, and related fields
	Basic Section	Examples of related research content

Sectio	n -
	Cell biology-related
44010	Cytoskeleton, Proteolysis, Organelle dynamics, Nuclear structure and function, Extracellular matrix, Signal transduction, Cell cycle, Cell motility, Cell-cell interaction, Cellular genetics, etc.

(Broad Section G)

	Developmental biology-related
44020	Cell differentiation, Stem cells, Regeneration, Germ layer formation, Morphogenesis, Organogenesis,
	Fertilization, Germ cells, Regulation of gene expression, Developmental genetics, Evolution and development, etc.
	Plant molecular biology and physiology-related
44030	Photosynthesis, Growth physiology, Plant development, Organelle, Cell wall, Responses to environment,
	Plant-microbe interaction, Metabolism, Plant molecular function, etc.
	Morphology and anatomical structure-related
44040	Animal and plant morphology, Micro-organismal morphology, Molecular morphology, Microstructure,
	Tissue organization, Morphogenesis, Comparative endocrinology, Microscopic technology, Imaging, etc.
	Animal physiological chemistry, physiology and behavioral biology-related
44050	Metabolic physiology, Neurophysiology, Neuroethology, Behavioral physiology, Animal physiological chemistry,
	Chronobiology, Comparative physiology, etc.

Medium-sized Section 45: Biology at organismal to population levels and anthropology, and related fields

Basic Section	Examples of related research content
	Genetics-related
45010	Genetic mechanism, Molecular genetics, Cellular genetics, Population genetics, Evolutionary genetics, Developmental genetics, Behavioral genetics, Genetic diversity, etc.
	Evolutionary biology-related
45020	General evolutionary biology, Molecular evolution, Phenotypic evolution, Evolution of developmental traits, Evolution of ecological traits, Evolution of behaviors, Experimental evolution, Evolutionary theory, Evolution of symbiosis, Phylogenetics, Speciation, etc.
	Biodiversity and systematics-related
45030	Taxonomic characters, Taxon, Classification system, Biodiversity, Phylogenetics, Evolution, Natural history, Speciation, etc.
	Ecology and environment-related
45040	Chemical ecology, Molecular ecology, Physiological ecology, Evolutionary ecology, Behavioral ecology, Population ecology, Community ecology, Ecosystem, Conservation ecology, Natural environment, etc.
	Physical anthropology-related
45050	Molecular anthropology and genetics, Morphology and function, Bioarchaeology, Behavior and cognition, Ecology, Primates, Evolution, Development and ontogeny, Variation and diversity, etc.
	Applied anthropology-related
45060	Physiological anthropology, Ergonomics, Forensic anthropology, Medical anthropology, Physiological polymorphisms, Environmental adaptability, Somatic and physiological function, Anthropometry and bioengineering, etc.

Medium-sized Section 46: Neuroscience and related fields

Basic Section	Examples of related research content
	Neuroscience-general-related
46010	Neurochemistry, Neuron, Glia, Genome, Epigenetics, Neurobiology, Information processing, Synapse, Neurogenesis, etc.
	Anatomy and histopathology of nervous system-related
46020	Neural development, Anatomy of nervous system, Neural network structure, Neuropathology, etc.
	Function of nervous system-related
46030	Neurophysiology, Neuropharmacology, Neurotransmission, Neuroinformatics, Behavioral neuroscience, Neural system physiology, Cerebral blood flow, Autonomic nervous system, etc.

Medium	n-sized Sect	tion 47: Pharmaceutical sciences and related fields
	Basic Section	Examples of related research content
		Pharmaceutical chemistry and drug development sciences-related
	47010	Inorganic chemistry, Organic chemistry, Medicinal chemistry, Medicinal molecular design, Drug discovery, Bio-related materials, Chemical biology, etc.
		Pharmaceutical analytical chemistry and physicochemistry-related
	47020	Environmental analysis, Bioanalysis, Physicochemistry, Biophysics, Structural biology, Radiochemistry, Bioimaging, Drug formulation design, Computer science, Information science, etc.
-		Pharmaceutical hygiene and biochemistry-related
	47030	Environmental hygiene, Healthful nutrition, Disease prevention, Toxicology, Drug metabolism, Host defense, Molecular biology, Cell biology, Biochemistry, etc.
-		Pharmacology-related
	47040	Pharmacology, Pharmacogenomics, Applied pharmacology, Signal transduction, Drug interactions, Drug response, Pharmacotherapy, Pharmacotoxicology, etc.
		Environmental and natural pharmaceutical resources-related
	47050	Environmental resource science, Natural products chemistry, Bioactive natural compounds, Medicinal resource Medicinal foods, Pharmaceutical microbiology, etc.
		Clinical pharmacy-related
	47060	Pharmacokinetics, Medical informatics, Social pharmacy, Clinical pharmacy, Pharmaceutics, Regulatory science Education for the pharmacist, etc.
Medium	n-sized Sect	tion 48: Biomedical structure and function and related fields
Γ	Basic	Examples of related research content
	Section	
		Anatomy-related
	48010	Macroscopic anatomy, Histology, Embryology, etc.
-		Physiology-related
	48020	General physiology, Pathophysiology, Comparative physiology, Environmental physiology, etc.
		Pharmacology-related
	48030	Genomic pharmacology, Molecular and cellular pharmacology, Pathological pharmacology, Behavioral pharmacology, Pharmacology for drug discovery, Clinical pharmacology, etc.
		Medical biochemistry-related
	48040	Biofunctional molecular and medical biochemistry, Genome medical sciences, Human genetics, Disease model
Medium	n-sized Sect	tion 49: Pathology, infection/immunology, and related fields
	Basic Section	Examples of related research content
		Pathological biochemistry-related
	49010	Molecular pathology, Metabolic disorders, Molecular diagnosis, etc.
		Human pathology-related
		Molecular pathology, Cyto- and histo-pathology, Diagnostic pathology, etc.

(H		Experimental pathology-related
(Broad Section H)	49030	Disease models, Pathological regulation, Tissue regeneration, etc.
road		Parasitology-related
(B	49040	Parasite, Vector organism, Parasite pathogenicity, Epidemiology of parasites, Control of parasite infections, etc.
		Bacteriology-related
	49050	Bacterium, Fungus, Antimicrobial resistance, Bacterial pathogenicity, Epidemiology of bacteria, Control of bacterial infections, etc.
		Virology-related
	49060	Virus, Prion, Viral pathogenicity, Epidemiology of viruses, Control of viral infections, etc.
		Immunology-related
	49070	Immune system, Immune response, Inflammation, Immune-related disorder, Immune regulation, etc.

### Broad Section I

Mediu	m-sized Sect	ion 50: Oncology and related fields
	Basic Section	Examples of related research content
		Tumor biology-related
	50010	Cancer and gene, Tumor development, Invasion, Metastasis, Cancer microenvironment, Cancer and signal transduction, Characteristics of cancer cells, etc.
		Tumor diagnostics and therapeutics-related
	50020	Genome analysis, Diagnostic markers, Molecule imaging, Chemotherapy, Nucleic acid therapy, Gene therapy, Immunotherapy, Molecular targeted therapy, Physical therapy, Radiation therapy, etc.

### Medium-sized Section 51: Brain sciences and related fields

Basic Section	Examples of related research content
	Basic brain sciences-related
51010	Brain-machine interface, Model animal, Computational brain science, Brain information decoding, Control technologies, Brain imaging, Brain biometrics, etc.
	Cognitive and brain science-related
51020	Social behavior, Communication, Emotion, Decision making, Consciousness, Learning, Neuroeconomics, Neuropsychology, etc.
	Pathophysiologic neuroscience-related
51030	Clinical neuroscience, Dolorology, Sensory impairment, Movement disorder, Neurological disorder, Neurogenesis, Neuroimmunology, Cellular degeneration, Disease model, etc.

### Medium-sized Section 52: General internal medicine and related fields

Basic Section	Examples of related research content
	General internal medicine-related
52010	Laboratory medicine, General practice, Geriatrics, Psychosomatic internal medicine, Oriental medicine, Palliative medicine, etc.
52020	Neurology-related Neurology, Neurofunctional imaging, etc.

1		Psychiatry-related
	52030	Clinical psychiatry, Biological psychiatry, Forensic mental health, etc.
_		
		Radiological sciences-related
	52040	Diagnostic radiology, Therapeutic radiology, Radiation biology, Radiological technology, etc.
-		Embryonic medicine and pediatrics-related
	52050	Fetal medicine, Neonatal medicine, Pediatrics, etc.
Medium	n-sized Sect	tion 53: Organ-based internal medicine and related fields
	Basic Section	Examples of related research content
-	Section	Gastroenterology-related
	53010	Upper digestive tract, Lower digestive tract, Liver, Biliary tract, Pancreas, etc.
		Cardiology-related
	53020	Ischemic heart disease, Valvular heart disease, Arrhythmia, Cardiomyopathy, Heart failure, Peripheral arterial disease, Arteriosclerosis, Hypertension, etc.
F		Respiratory medicine-related
	53030	Respiratory medicine, Asthma, Diffusive lung disease, COPD, Lung cancer, Pulmonary hypertension, etc.
ŀ		Nephrology-related
	53040	Acute renal failure, Chronic kidney disease, Diabetic nephropathy, Hypertension, Aqueous electrolyte metabolis Artificial dialysis, etc.
-		Domestology related
		Dermatology-related
	53050	Dermatology-related Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc.
Medium		
Medium		Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc.
Medium	n-sized Sect Basic	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc. tion 54: Internal medicine of the bio-information integration and related fields Examples of related research content Hematology and medical oncology-related
Medium	n-sized Sect Basic Section	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc. tion 54: Internal medicine of the bio-information integration and related fields Examples of related research content Hematology and medical oncology-related Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc
Medium	n-sized Sect Basic Section	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc. tion 54: Internal medicine of the bio-information integration and related fields Examples of related research content Hematology and medical oncology-related
Medium	n-sized Sect Basic Section 54010	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc. tion 54: Internal medicine of the bio-information integration and related fields Examples of related research content Hematology and medical oncology-related Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc. Connective tissue disease and allergy-related Connective tissue disease, Allergy, Clinical immunology, Inflammation, etc.
Medium	n-sized Sect Basic Section 54010	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc. tion 54: Internal medicine of the bio-information integration and related fields Examples of related research content Hematology and medical oncology-related Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc. Connective tissue disease and allergy-related
Medium	n-sized Sect Basic Section 54010 54020	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc. tion 54: Internal medicine of the bio-information integration and related fields Examples of related research content Hematology and medical oncology-related Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc. Connective tissue disease and allergy-related Connective tissue disease, Allergy, Clinical immunology, Inflammation, etc. Infectious disease medicine-related
Medium	n-sized Sect Basic Section 54010 54020	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc. tion 54: Internal medicine of the bio-information integration and related fields Examples of related research content Hematology and medical oncology-related Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc. Connective tissue disease and allergy-related Connective tissue disease, Allergy, Clinical immunology, Inflammation, etc. Infectious disease medicine-related Infection diagnostics, Infection therapeutics, Host defense, International infection science, etc.
-	n-sized Sect Basic Section 54010 54020 54030 54040	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc. tion 54: Internal medicine of the bio-information integration and related fields Examples of related research content Hematology and medical oncology-related Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc. Connective tissue disease and allergy-related Connective tissue disease, Allergy, Clinical immunology, Inflammation, etc. Infectious disease medicine-related Infection diagnostics, Infection therapeutics, Host defense, International infection science, etc. Metabolism and endocrinology-related Energy balance, Glucose metabolism, Lipid metabolism, Purine metabolism, Bone metabolism,
-	n-sized Sect Basic Section 54010 54020 54030 54040	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc.         tion 54: Internal medicine of the bio-information integration and related fields         Examples of related research content         Hematology and medical oncology-related         Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc.         Connective tissue disease and allergy-related         Connective tissue disease, Allergy, Clinical immunology, Inflammation, etc.         Infectious disease medicine-related         Infectious disease medicine-related         Infection diagnostics, Infection therapeutics, Host defense, International infection science, etc.         Metabolism and endocrinology-related         Energy balance, Glucose metabolism, Lipid metabolism, Purine metabolism, Bone metabolism, Electrolyte balance, Endocrinology, Neuroendocrinology, Reproductive endocrinology, etc.
-	n-sized Sect Basic Section 54010 54020 54020 54030 54040 n-sized Sect Basic	Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc.  Dermatology, Cutaneous immune disease, Cutaneous infection, Cutaneous tumor, etc.  ition 54: Internal medicine of the bio-information integration and related fields  Examples of related research content Hematology and medical oncology-related Hematological oncology, Hematological immunology, Anemia, Thrombosis and hemostasis, Chemotherapy, etc.  Connective tissue disease and allergy-related Connective tissue disease, Allergy, Clinical immunology, Inflammation, etc.  Infectious disease medicine-related Infection diagnostics, Infection therapeutics, Host defense, International infection science, etc.  Metabolism and endocrinology-related Energy balance, Glucose metabolism, Lipid metabolism, Purine metabolism, Bone metabolism, Electrolyte balance, Endocrinology, Neuroendocrinology, Reproductive endocrinology, etc.  tion 55: Surgery of the organs maintaining homeostasis and related fields

	Digestive surgery-related
55020	Upper gastrointestinal surgery, Lower gastrointestinal surgery, Hepatic surgery, Biliary surgery,
55020	Pancreatic surgery, etc.
	Cardiovascular surgery-related
55030	Coronary artery surgery, Heart valve surgery, Surgery for myocardial disease, Aortic surgery, Vascular surgery, Congenital heart surgery, etc.
	Respiratory surgery-related
55040	Lung surgery, Mediastinal surgery, Chest wall surgery, Respiratory tract surgery, etc.
	Anesthesiology-related
55050	Anesthesiology, Perioperative management, Pain management, Resuscitology, Palliative medicine, etc.
	Emergency medicine-related
55060	Intensive care medicine, Emergency resuscitation science, Trauma surgery, Disaster medicine, Disaster medical care, etc.
ium-sized Sect	ion 56: Surgery related to the biological and sensory functions and related fields
Basic Section	Examples of related research content
	Neurosurgery-related
56010	Neurosurgery, Spine and spinal cord diseases, etc.
	Orthopedics-related
56020	Orthopedics, Rehabilitation medicine, Sports medicine, etc.
	Urology-related
56030	Urology, Male genitalia science, etc.
	Obstetrics and gynecology-related
56040	Obstetrics, Reproductive endocrinology, Gynecologic oncology, Female health care medicine, etc.
	Otorhinolaryngology-related
56050	Otorhinolaryngology, Head and neck surgery, etc.
	Ophthalmology-related
56060	Ophthalmology, Ophthalmological optics, etc.
	Plastic and reconstructive surgery-related
56070	Plastic surgery, Reconstructive surgery, Aesthetic plastic surgery, etc.
ium-sized Sect	ion 57: Oral science and related fields
Basic Section	Examples of related research content
	Oral biological science-related
57010	Oral anatomy, Oral histology and embryology, Oral physiology, Oral biochemistry, Pharmacology for hard tissues, etc.
	Oral pathobiological science-related
57020	Oral infectious diseases, Oral pathology, Oral experimental oncology, Immunity and inflammation,
	Laboratory medicine, etc.

(Broad Section I)

	Conservative dentistry-related
57030	Operative dentistry, Endodontology, Periodontology, etc.
	Regenerative dentistry and dental engineering-related
57040	Regenerative dentistry, Biomaterial science, Dental materials science, Oral and maxillofacial prosthetics, Oral implantology, etc.
	Prosthodontics-related
57050	Prosthodontics, Oral rehabilitation, Gerodontology, etc.
	Surgical dentistry-related
57060	Oral and maxillofacial surgery, Oral maxillofacial reconstructive surgery, Dental anesthesiology, Psychosomatic medicine dentistry, Dental radiology, etc.
	Developmental dentistry-related
57070	Orthodontics, Pediatric dentistry, etc.
	Social dentistry-related
57080	Dental hygiene, Preventive dentistry, Oral health administration and management, Dental education, Forensic odontology, etc.
m-sized Sec	tion 58: Society medicine, nursing, and related fields
Basic Section	Examples of related research content
	Medical management and medical sociology-related
58010	Medical management, Medical social science, Ethics for medical science, Ethics for medical care, Biomedical education, History of medical science, Health policy and economics, Clinical trials, Health and medical services administration, Disaster medical science, etc.
	Hygiene and public health-related: including laboratory approach
58020	Hygiene, Public health, Epidemiology, Global health, etc.
	Hygiene and public health-related: excluding laboratory approach
58030	Hygiene, Public health, Epidemiology, Global health, etc.
	Forensics medicine-related
58040	Forensic medicine, Forensic pathology, Forensic toxicology, Forensic genetics, Suicide, Abuse, Clinical forensic medicine, Sudden death, etc.
	Fundamental of nursing-related
58050	Fundamental of nursing, Nursing education, Nursing administration, etc.
	Clinical nursing-related
58060	Critical care and emergency nursing, Perioperative nursing, Nursing of chronic illness, Oncology nursing, Psychiatric nursing, Palliative care nursing, etc.
	Lifelong developmental nursing-related
58070	Women's health nursing, Maternal nursing, Midwifery, Family health nursing, Child health nursing, School nursing, etc.
	Gerontological nursing and community health nursing-related
58080	Gerontological nursing, Community health nursing, Public health nursing, Disaster nursing, etc.

(Broad Section I)

	Basic ection	Examples of related research content
		Rehabilitation science-related
5	9010	Rehabilitation medicine, Rehabilitation nursing, Rehabilitation medical care, Physicotherapeutics, Occupational therapy, Assistive technology, Speech and language therapy, etc.
		Sports sciences-related
5	9020	Sports physiology, Sports biochemistry, Sports medicine, Sports sociology, Sports management, Sports psychology, Sports education, Training science, Sports biomechanics, Adapted sports science, Doping, et
		Physical education, and physical and health education-related
5!	9030	Growth developmental science, Physical and health education, Physical education in school, Educational physiology, Physical systems science, Higher brain function science, Martial arts theory, Outdoor education, etc.
		Nutrition science and health science-related
5	9040	Nutritional physiology, Nutritional biochemistry, Nutritional education, Clinical nutrition, Functional food, Lifestyle-related disease, Health promotion, Aging, etc.
ledium-siz	ed Sect	ion 90:Biomedical engineering and related fields
Е	Basic	
Se	ection	Examples of related research content
Se		Biomedical engineering-related
		-
	ection	Biomedical engineering-related Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering,
9	ection	Biomedical engineering-related Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.
9	0110	Biomedical engineering-related Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc. Biomaterials-related Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials,
91	0110	Biomedical engineering-related         Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.         Biomaterials-related         Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials, Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.         Medical systems-related         Medical ultrasound system, Diagnostic imaging system, Laboratory diagnosis systems,
91	0110 0120	Biomedical engineering-related         Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.         Biomaterials-related         Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials, Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.         Medical systems-related
91	0110 0120	Biomedical engineering-related         Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.         Biomaterials-related         Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials, Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.         Medical systems-related         Medical ultrasound system, Diagnostic imaging system, Laboratory diagnosis systems, Minimally invasive treatment systems, Remote diagnosis and treatment systems, Organ preservation systems, Medical information systems, Computer-assisted surgery, Medical robot, etc.
91	0110 0120	Biomedical engineering-related         Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.         Biomaterials-related         Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials, Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.         Medical systems-related         Medical ultrasound system, Diagnostic imaging system, Laboratory diagnosis systems, Minimally invasive treatment systems, Remote diagnosis and treatment systems, Organ preservation systems,
91	ection 0110 0120 0130	Biomedical engineering-related         Medical imaging, Medical modeling, Biological simulation, Biometrics, Artificial organs, Tissue engineering, Biophysical properties, Biocontrol, Biomechanics, Nanobio systems, etc.         Biomaterials-related         Biofunctional materials, Tissue engineering materials, Biocompatible materials, Nanobio materials, Drug delivery systems, Stimuli-sensitive materials, Genetic engineering material, etc.         Medical systems-related         Medical ultrasound system, Diagnostic imaging system, Laboratory diagnosis systems, Minimally invasive treatment systems, Remote diagnosis and treatment systems, Organ preservation systems, Medical information systems, Computer-assisted surgery, Medical robot, etc.         Medical technology assessment-related

### Broad Section J

Medium-sized Section 60: Information science, computer engineering, and related fields

Basic Section	Examples of related research content			
	Theory of informatics-related			
60010	Discrete structure, Mathematical logic, Theory of computation, Mathematical theory of programs, Computational complexity theory, Algorithm theory, Information theory, Coding theory, Theory of cryptography Learning theory, etc.			
	Mathematical informatics-related			
60020	Optimization theory, Mathematical systems theory, System control theory, System analysis, System methodology, System modeling, System simulation, Combinatorial optimization, Queueing theory, Mathematical finance, etc.			

	Statistical science-related
60030	Statistics, Data science, Modeling, Statistical inference, Multivariate analysis, Time series analysis,
	Statistical quality control, Applied statistics, etc.
	Computer system-related
60040	Computer architecture, Circuit and system, LSI design, LSI testing, Reconfigurable system, Dependable architectur Low power technology, Hardware/software codesign, Embedded system, etc.
	Software-related
60050	Programming language, Programming methodology, Operating system, Parallel and distributed computing,
	Software engineering, Virtualization technology, Cloud computing, Software dependability, Software security, etc.
	Information network-related
60060	Network architecture, Network protocol, Internet, Mobile network, Pervasive computing, Sensor network, IoT,
	Traffic engineering, Network management, Service platform technology, etc.
	Information security-related
60070	Cryptography, Tamper resistance technology, Authentication, Biometrics, Access control, Malware countermeasur
60070	Countermeasures against denial-of-service attacks, Privacy protection, Digital forensics,
	Security evaluation and authorization, etc.
	Database-related
60080	Data model, Database system, Multimedia database, Information retrieval, Content management, Metadata, Big da
	Geographic information system, etc.
	High performance computing-related
60090	Parallel processing, Distributed processing, Cloud computing, Numerical analysis, Visualization, Computer graphic
	High performance computing application, etc.
	Computational science-related
60100	Mathematical engineering, Computational mechanics, Numerical simulation, Multi-scale modeling,
	Large-scale computing, Massively parallel computing, Numerical computing methods, Advanced algorithms, etc.

Medium-sized Section 61: Human informatics and related fields

Basic Section	Examples of related research content		
61010	Perceptual information processing-related		
	Pattern recognition, Image processing, Computer vision, Visual media processing, Acoustic media processing, Media editing, Media database, Sensing, Sensor fusion, etc.		
	Human interface and interaction-related		
61020	Human interface, Multi-modal interface, Human-computer interaction, Computer supported cooperative work, Virtual reality, Augmented reality, Realistic communication, Wearable device, Usability, Ergonomics, etc.		
	Intelligent informatics-related		
61030	Search, Inference, Machine learning, Knowledge acquisition, Intelligent system, Intelligent information processing, Natural language processing, Data mining, Ontology, Agent system, etc.		
	Soft computing-related		
61040	Neural network, Evolutionary computation, Fuzzy theory, Chaos, Complex systems, Probabilistic information processing, etc.		
61050	Intelligent robotics-related		
	Intelligent robot, Behavior and environment recognition, Planning, Sensory behavior system, Autonomous system, Digital human, Real world information processing, Physical agents, Intelligent space, etc.		
	Kansei informatics-related		
61060	Kansei design, Kansei cognitive science, Kansei psychology, Kansei robotics, Kansei measurement evaluation, Kansei interface, Kansei physiology, Kansei material science, Kansei pedagogy, Kansei brain science, etc.		
	Design-related		
90010	Information design, Environmental design, Industrial design, Spatial design, Design history, Theory of design, Design standard, Design support, Evaluation of design, Design education, etc.		

(Broad Section J)

		Cognitive science-related				
	90030	Cognitive science in general, Cognitive models, Kansei, Human factors, Cognitive and brain science, Comparative cognition, Cognitive linguistics, Cognitive engineering, etc.				
Mediu	Medium-sized Section 62: Applied informatics and related fields					
	Basic Section	Examples of related research content				
		Life, health and medical informatics-related				
	62010	Bioinformatics, Life informatics, Biological information, Neuroinformatics, Neural information processing, Molecular computing, DNA computing, Medical information, Health information, Medical image, etc.				
		Web informatics and service informatics-related				
	62020	Web system, Social web, Semantic web, Web mining, Social network analysis, Service engineering, Educational service, Medical service, Welfare service, Social service, Information culture, etc.				
		Learning support system-related				
	62030	Media literacy, Learning media, Social media, Learning content, Learning management, Learning support, Remote learning, e-Learning, etc.				
		Entertainment and game informatics-related				
	62040	Music information processing, 3D content, Animation, Game programming, Network entertainment, Media art, Digital museum, Experience design, etc.				
		Library and information science, humanistic and social informatics-related				
	90020	Library science, Information services, Information organizing, Information retrieval, Information media, Bibliometrics, Information resources, Information ethics, Digital humanities, Social Informatics, Digital archives, o				
		bioinometrics, information resources, information ethios, bigitar namatrics, sociar informatics, bigitar arenives,				
Section	n K	Biomonicales, mormation resources, mormation canes, Digital namanites, Social mormates, Digital aconves, v				
Section						
		tion 63: Environmental analyses and evaluation and related fields				
		tion 63 : Environmental analyses and evaluation and related fields Examples of related research content				
	um-sized Sect Basic	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related				
	um-sized Sect Basic	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content				
	um-sized Sect Basic Section	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information,				
	um-sized Sect Basic Section	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc.				
	Basic Section 63010	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc. Radiation influence-related				
	Basic Section 63010	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc. Radiation influence-related Radiation, Measurement, Control, Repair, Biological effects, Risk, etc.				
	Basic Section 63010 63020	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc. Radiation influence-related Radiation, Measurement, Control, Repair, Biological effects, Risk, etc. Chemical substance influence on environment-related Toxicology, Toxic substance to human, Trace chemical substance, Endocrine disruptor, Repair, etc. Environmental impact assessment-related				
	Basic Section 63010 63020	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc. Radiation influence-related Radiation, Measurement, Control, Repair, Biological effects, Risk, etc. Chemical substance influence on environment-related Toxicology, Toxic substance to human, Trace chemical substance, Endocrine disruptor, Repair, etc.				
Mediu	um-sized Sect Basic Section 63010 63020 63030 63040	tion 63: Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc. Radiation influence-related Radiation, Measurement, Control, Repair, Biological effects, Risk, etc. Chemical substance influence on environment-related Toxicology, Toxic substance to human, Trace chemical substance, Endocrine disruptor, Repair, etc. Environmental impact assessment-related Atmosphere, Hydrosphere, Terrestrial impact, Impact assessment on human health, Social and economic impacts, Impact assessment on the future generation, Environmental impact assessment, Assessment methods, Monitoring,				
Mediu	um-sized Sect Basic Section 63010 63020 63030 63040	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc. Radiation influence-related Radiation, Measurement, Control, Repair, Biological effects, Risk, etc. Chemical substance influence on environment-related Toxicology, Toxic substance to human, Trace chemical substance, Endocrine disruptor, Repair, etc. Environmental impact assessment-related Atmosphere, Hydrosphere, Terrestrial impact, Impact assessment on human health, Social and economic impacts, Impact assessment on the future generation, Environmental impact assessment methods, Monitoring, Simulation, etc.				
Mediu	um-sized Sect Basic Section 63010 63020 63030 63040 um-sized Sect Basic	tion 63 : Environmental analyses and evaluation and related fields Examples of related research content Environmental dynamic analysis-related Global warming, Environmental change, Water and material cycle, Polar regions, Chemical oceanography, Biological oceanography, Environmental measurements, Environmental model, Environmental information, Remote sensing, etc. Radiation influence-related Radiation, Measurement, Control, Repair, Biological effects, Risk, etc. Chemical substance influence on environment-related Toxicology, Toxic substance to human, Trace chemical substance, Endocrine disruptor, Repair, etc. Environmental impact assessment-related Atmosphere, Hydrosphere, Terrestrial impact, Impact assessment on human health, Social and economic impacts, Impact assessment on the future generation, Environmental impact assessment methods, Monitoring, Simulation, etc.				

-			
(K)		64020	Environmental load reduction and remediation-related
ion	ion		Removal of contamination, Treatment of waste material, Control of contamination source, Disposal of waste material,
ect			Environmental load reduction, Remediation measure of contamination, Noise and vibration reduction,
(Broad Section K)			Countermeasure of ground settlement, Bioremediation, Radioactive decontamination, etc.
(Bro			Environmental materials and recycle technology-related
		64030	Recycle materials, Valuable materials recovery, Separation, refining and purification, Environment-conscious design, Recycle chemistry, Green production, Zero emission, Resource circulation, Renewable energy, Biomass utilization, etc.
			Social-ecological systems-related
		(1010	Biodiversity, Conservation biology, Ecosystem services, Natural capital, Impact analysis on ecosystem,
		64040	Ecosystem management, Ecosystem restoration, Ecological engineering, Regional environmental planning, Impact of climate change, etc.
			Sound material-cycle social systems-related
		(1050	Sound material-cycle systems, Material and energy budget analysis, Low carbon society, Unused energy,
		64050	Regional revitalization, Water use system, Industrial symbiosis, Life cycle assessment (LCA),
			Integrated environmental management, 3R (reduction, reuse, recycle) social systems, etc.
			Environmental policy and social systems-related
		64060	Environmental philosophy and ethics, Environmental laws, Environmental economics, Environmental information,
			Environmental education, Environmental social activities, Environmental management and governance,
			Consensus forming, Environmental safety and security, Social and public system, Sustainable development, etc.

## (Reference 1) Review Panels and Other Matters

## 1. Concerning KAKENHI Review Omitted

### 2. Review Methods and Other Matters

The review for Grant-in-Aid for Scientific Research is carried out based on application documents in the Academic Deliberation Council for Science and Technology of MEXT. Moreover, the review takes place behind closed doors. The submitted application documents are not returned to the applicants.

For details on "assessment rules" ("Rules concerning the assessment for Grants-in-Aid for Scientific Research" (decided by the Research Grant Screening Section of the Academic Deliberation Council for Science and Technology on November 12, 2002) including the review criteria for Transformative Research Areas (A/B), please check the website for Grants-in-Aid for Scientific Research of MEXT (URL: <u>https://www.mext.go.jp/a\_menu/shinkou/hojyo/1284403.htm</u>).

("Rules concerning the assessment for Grants-in-Aid for Scientific Research" for FY2020 have already been released as of the time of this call for proposals.)

(1) The review of Grant-in-Aid for Transformative Research Areas (A) will be performed in a committee established for each review section (consisting of approximately 20 reviewers) as follows:

1) For each research area applied, a multiple number of reviewers will perform document review based on the Research Area Proposal.

2) Next, all reviewers will deliberate from a broad perspective and select the areas subject to interview.

3) Then, based on the Research Area Proposals and Research Proposal Documents for each Planned Research and referencing the review comments prepared by researchers in closely related specializations (to be prepared by about 3 researchers for each area subject to interview) and other information, all reviewers will perform document review, followed by interviews for all areas subject to interview.

(2) The review of Grant-in-Aid for Transformative Research Areas (B) will be performed in a committee established for each review section (consisting of approximately 20 reviewers) as follows:1) A preliminary screening may be conducted as necessary based on the Research Area Proposal (Summary Version). (Preliminary screening will not be conducted if the number of applications is small.)

2) All reviewers will perform document review based on the Research Area Proposals and Research Proposal Documents for each Planned Research, and referencing review comments prepared by researchers in closely related specializations (to be prepared by about 2 researchers for each research area) and other information. Then, the same group of reviewers will perform a panel review through deliberation from a broad perspective for each research area applied.

In the review process, the reviewers can utilize, as necessary, the "researchmap" and the Grants-in-Aid for Scientific Research Database (KAKEN). (see page 63).

### 3. Notification of Screening Results

### (1) Grant-in-Aid for Transformative Research Areas (A)

1) The council will issue a notification in writing on the results of the selection of the research area for which an interview will be organized. (Planned for Middle of May)

2) The council will issue a notification in writing to the research institution on whether the research project has been selected or not, based on the results of the review. (Planned for late September)

3) The council will issue a notification containing the opinions expressed in the review results and a summary of the state of the review to the Head Investigator of the research area which has been selected. To the Head Investigator of the research area which has not been selected, the council will issue a notification containing the opinions expressed in the review results and a summary of the state of the review, as well as the approximate ranking of the research area in question among the research areas in the same review section. (Planned for October)

4) The council will open to the public the opinions expressed in the review results for the adopted research area including on the database of the Grants-in-Aid for Scientific Research (KAKEN). (Planned for October)

#### (2) Grant-in-Aid for Transformative Research Areas (B)

1) The council will issue a notification in writing to the research institution on whether the research project has been selected or not, based on the results of the review. (Planned for late August)

2) The council will issue a notification containing the opinions expressed in the review results and a summary of the state of the review to the Head Investigator of the research area which has been selected. To the Head Investigator of the research area which has not been selected, the council will issue a notification containing the approximate ranking of the research area in question among the research areas in the same review section. Moreover, the council will issue, in addition to the above, a notification containing the opinions expressed in the review results and a summary of the state of the review to the Head Investigator of the research area which has not been selected from among the review to the Head Investigator of the research area which has not been selected from among the research areas subject to document review. (Planned for September)

3) The council will open to the public the opinions expressed in the review results for the adopted research area including on the database of the Grants-in-Aid for Scientific Research (KAKEN). (Planned for September)

## Inquiries

- **1.** Inquiries about the invitation of applications should be directed to the following divisions through the research institution.
- (1) For inquiries concerning the invitation of applications: Scientific Research Aid Division, Research Promotion Bureau, MEXT

Division	Team in charge	Internal line and direct phone
General inquiries about the Application Procedures	Administrative Team for Grants-in-Aid	Direct phone:03-6734-4091 Switchboard:03-5253-4111 (Internal line:4091)
Grant-in-Aid for Transformative Research Areas (A/B)	Grants-in-Aid for Scientific Research Team I and II	Direct phone:03-6734-4094 Switchboard:03-5253-4111 (Internal line:4094, 4308)

\* Available every day except on Saturdays, Sundays, National Holidays, the New Year Holidays (from December 29 until January 3).

# (2) For inquiries concerning the use of the KAKENHI Electronic Application System · Call center

Telephone: 0120-556-739 (toll-free)

\* Available from 9:30 to 17:30 every day except Saturdays, Sundays, National Holidays and the New Year Holidays (from December 29 until January 3)

# The following phone numbers are also available. Institutional Research and Information Division, Policy Planning Department, Japan Society for the Promotion of Science Talankanan 02 22(2) 1017 1022 1107 1024

Telephone: 03-3263-1017, 1022, 1107, 1024

### (3) For inquiries concerning the use of the Cross-ministerial Research and Development Management System (e-Rad)

### · e-R ad Help Desk:

Telephone: 0570-066-877 (Navi Dial)

- \* Available from 9:00 to 18:00 except on Saturdays, Sundays, National Holidays and the New Year Holidays (from December 29 until January 3)
- \* The following phone numbers are also available. 03-6631-0622

< Important points >

- How to operate e-Rad Manuals on how to operate e-Rad can be referred or downloaded from the portal site (URL: <u>https://www.e-rad.go.jp</u>). Please agree to the terms of service and apply.
- 2) Time period when e-Rad is available Monday to Sunday, 00:00 - 24:00 (in operation 24 hours a day, 365 days a year) However even during the above-mentioned time period, the operation of e-Rad may be disrupted or suspended, when maintenance and inspection is being carried out. If the operation is scheduled to be disrupted or suspended, this will be announced beforehand on the portal site.
- (4) For matters related to the "Self-Assessment Checklist on the Improvement of the System" based on the "Guidelines on the Management and Audit of Public Research Funds at Research Institutions (Implementation Standards)"

Office of Research Funding Administration, Promotion Policy Division, Research Promotion Bureau, MEXT Telephone: 03-5253-4111 (Internal line: 3827, 3862)

(5) For matters related to the "Checklist Pertaining to the Current Status" based on the "Guidelines for Responding to Misconduct in Research"

Office for Research Integrity Promotion, Human Resources Policy Division, Science and Technology Policy Bureau, MEXT Telephone: 03-5253-4111 (Internal line: 3874, 3873, 4028)

# (6) For matters related to use of support by Platform formed by "Foundation of Scientific Research Support"

Grants-in-Aid for Scientific Research Team I and II, Scientific Research Aid Division, Research Promotion Bureau, MEXT Phone: 03-6734-4087

- (7) For matters related to the "National Bioscience Database" National Bioscience Database Center, Japan Science and Technology Agency (JST) Telephone: 03-5214-8491
- (8) For matters related to the "Inter-University Bio-Backup Project" Executive Office, IBBP Center, Inter-University Research Institute Corporation National Institutes of Natural Sciences Telephone: 0564-59-5930, 5931

### (9) For matters related to the "National BioResource Project" Division of Biobank, Department of Research Infrastructure, National Research and Development Agency Japan Agency for Medical Research and Development Telephone: 03-6870-2228

### (10) For matters related to the "researchmap" Service Support Center (in charge of the researchmap), Department of Information Infrastructure, National Institute of Advanced Industrial Science and Technology (JST) Web inquiry form: <u>https://researchmap.jp/public/inquiry/</u>

### (11) For matters related to the "Security Export Control Policy"

Security Export Control Administration Division, Trade Control Department, Trade and Economic Cooperation Bureau, Ministry of Economy, Trade and Industry Telephone: 03-3501-2800 FAX: 03-3501-0996

### 2. Application forms can be downloaded from the following website.

MEXT's website on Grants-in-Aid for Scientific Research URL: <u>https://www.mext.go.jp/a\_menu/shinkou/hojyo/boshu/1351544.htm</u>